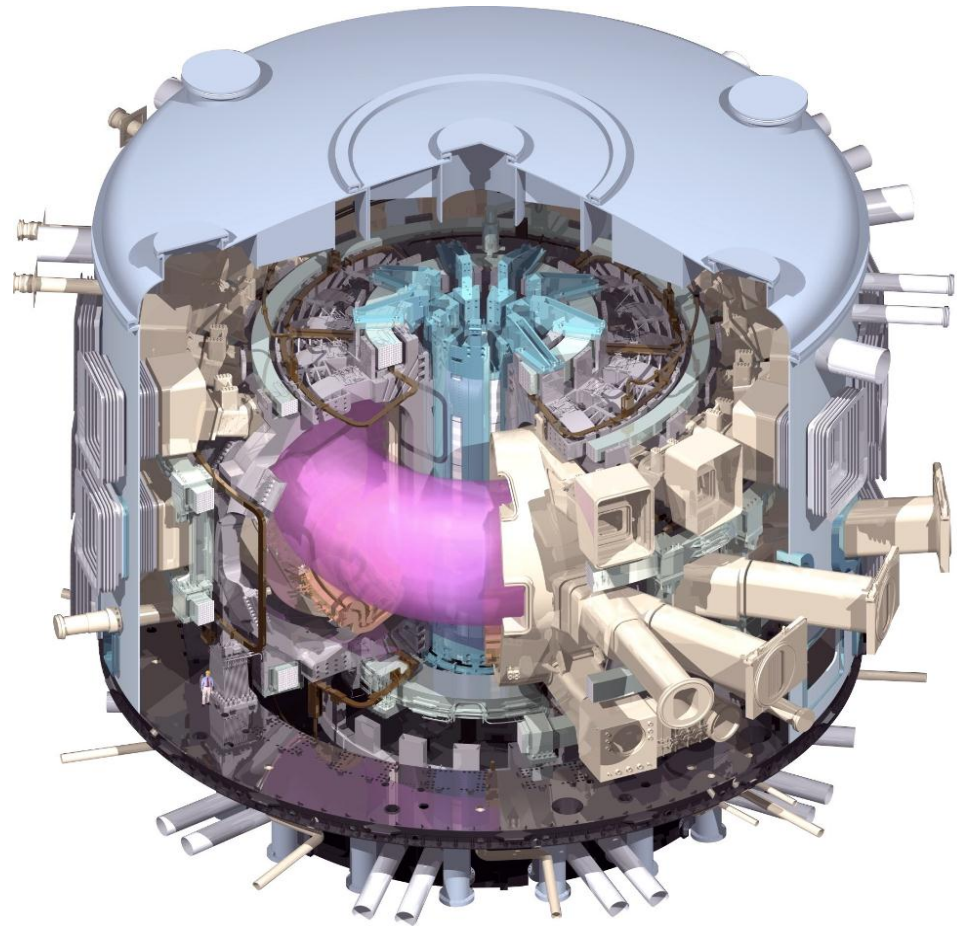


# Nonlinear Modelling of Fast Ion Driven Instabilities in Fusion Plasmas

SD Pinches

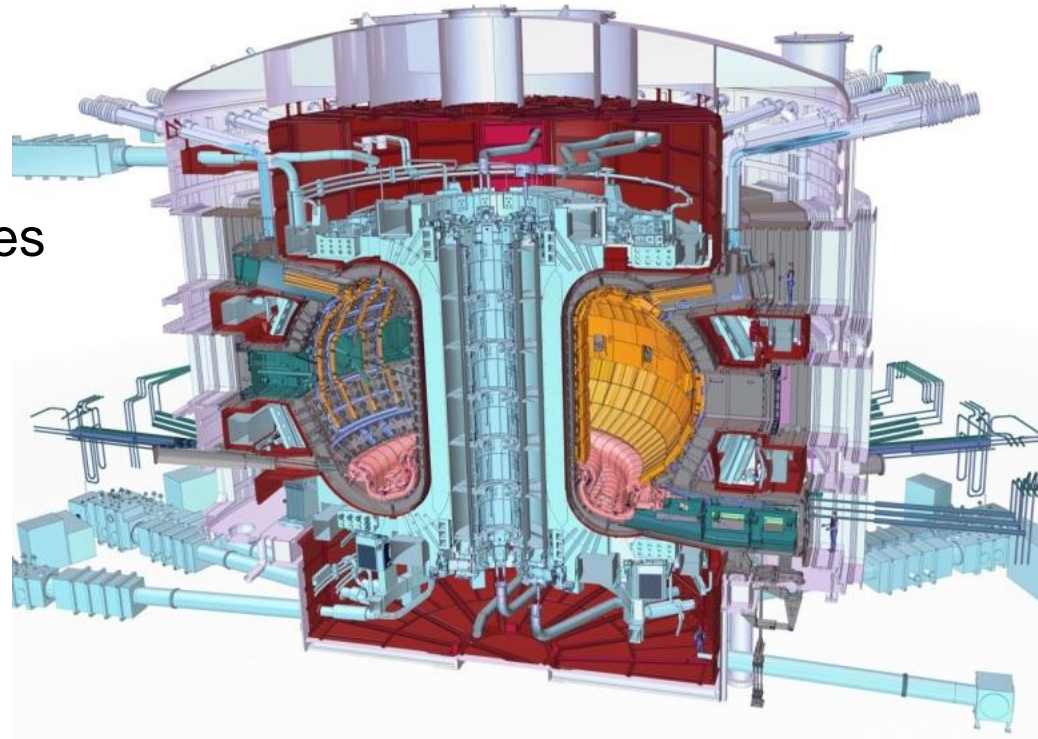
ITER Organization



*The views and opinions expressed herein do not necessarily reflect those of the ITER Organization*

# Outline of Talk

- Introduction to fast ions and fast ion driven modes
- Overview of the HAGIS code
- Nonlinear modelling of fast ion driven instabilities
  - Growth and saturation
  - Multiple modes interacting
  - Pitchfork splitting
  - Frequency sweeping modes
  - Fishbones
  - Tornado modes
- Summary



# ITER Mission

- The overall programmatic objective:
  - to demonstrate the scientific and technological feasibility of **fusion energy** for peaceful purposes
- The principal goal:
  - to design, construct and operate a **tokamak experiment** at a scale which satisfies this objective
- **ITER is designed to confine a Deuterium-Tritium plasma in which  $\alpha$ -particle heating dominates all other forms of plasma heating:**

**⇒ a burning plasma experiment**

# ITER Mission

## Physics:

- Produce a **significant fusion power amplification factor** ( $Q \geq 10$ ) in long-pulse operation (300 – 500 s)
- Aim to achieve **steady-state operation** of a tokamak ( $Q \geq 5, \leq 3000$  s)
- Retain the possibility of exploring '**controlled ignition**' ( $Q \geq 30$ )

## Technology:

- Demonstrate **integrated operation of technologies** for a fusion power plant
- **Test components** required for a fusion power plant
- Test concepts for a **tritium breeding module**

# Burning plasma physics in ITER

- **Access to plasmas which are dominated by  $\alpha$ -particle heating will open up new areas of fusion physics research, in particular:**
  - confinement of  $\alpha$ -particles in plasma
  - response of plasma to  $\alpha$ -heating
  - influence of  $\alpha$ -particles on stability
- **Experiments in existing tokamaks have already provided some positive evidence**
  - ‘energetic particles’ (including  $\alpha$ -particles) are **well confined** in the plasma
  - ‘energetic particle’ populations interact with the background plasma and **transfer their energy as predicted by theory**
  - but ‘energetic particles’ can drive instabilities (**Alfvén eigenmodes**) - for ITER parameters at  $Q=10$ , the **impact is predicted to be tolerable**

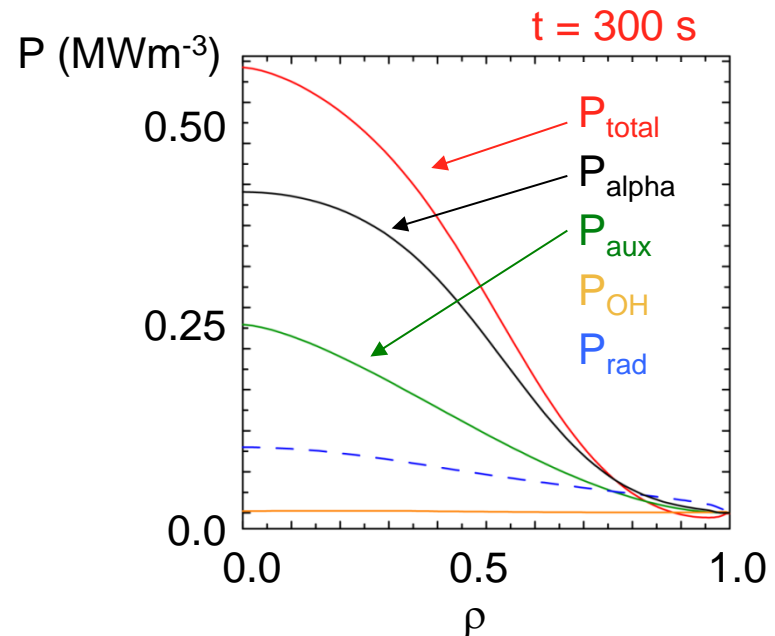
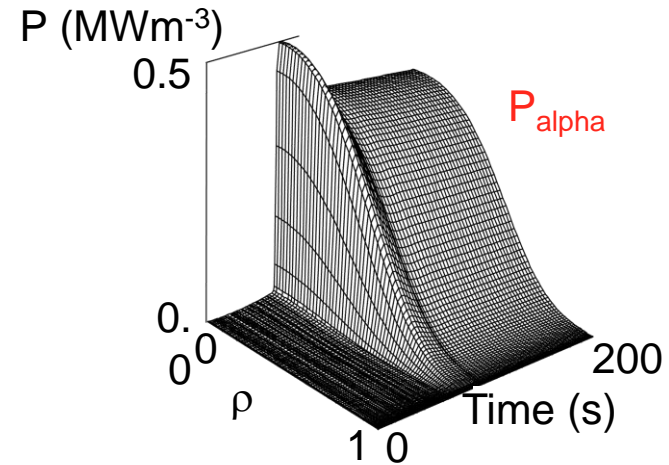
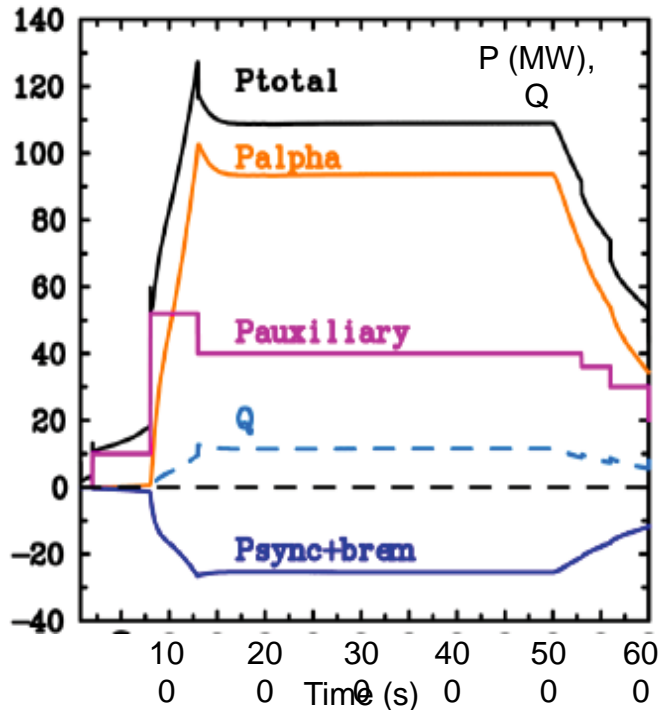
# ITER Baseline Reference Scenarios

- The set of DT reference scenarios in ITER is specified via illustrative cases in the *Project Requirements* ⇒ **Design Basis scenarios**

Parameter	Inductive Operation	Hybrid Operation	Non-inductive Operation
<b>Plasma Current, <math>I_p</math> (MA)</b>	15	13.8	9
<b>Safety Factor, <math>q_{95}</math></b>	3.0	3.3	5.3
<b>Confinement Time, <math>\tau_E</math> (s)</b>	3.4	2.7	3.1
<b>Fusion Power, <math>P_{fus}</math> (MW)</b>	500	400	360
<b>Power Multiplication, <math>Q</math></b>	10	5.4	6
<b>Burn time (s)</b>	300 – 500	1000	3000

In addition, a range of non-active (H, He) and D plasma scenarios must be supported for commissioning purposes to support rapid transition to DT operation

# Alpha-particle heating at $Q = 10$



- As the alpha power rises in high-Q plasmas, the plasma will enter a novel regime

- Plasma behaviour dominated by  $\alpha$ -particle heating

⇒ Burning plasma regime

SH Kim

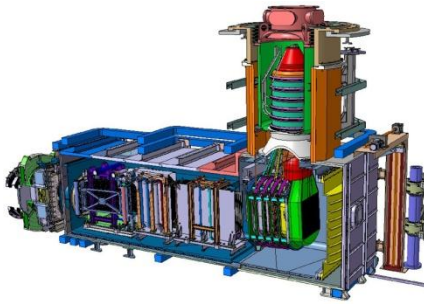
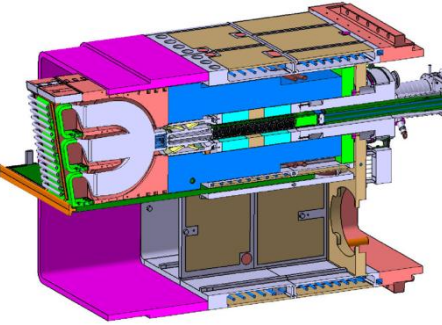
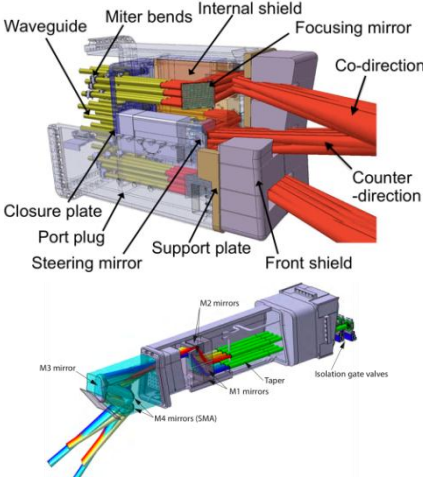
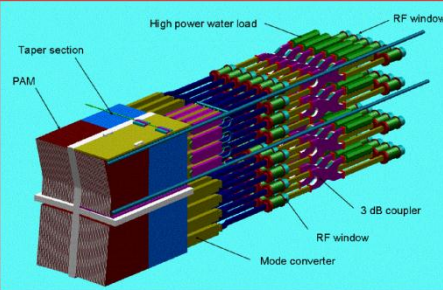


# Sources of Energetic Particles

- Nuclear fusion
  - Isotropic slowing-down distribution
  - For DT fusion,  $\alpha$ -particle birth energy of 3.5 MeV
- Neutral beam injection (NBI)
  - Anisotropic slowing-down distribution
  - Well defined  $E_b$
- Radio Frequency (RF)
  - E.g. Ion Cyclotron (ICRH)
  - No well defined characteristic energy
  - Anisotropic

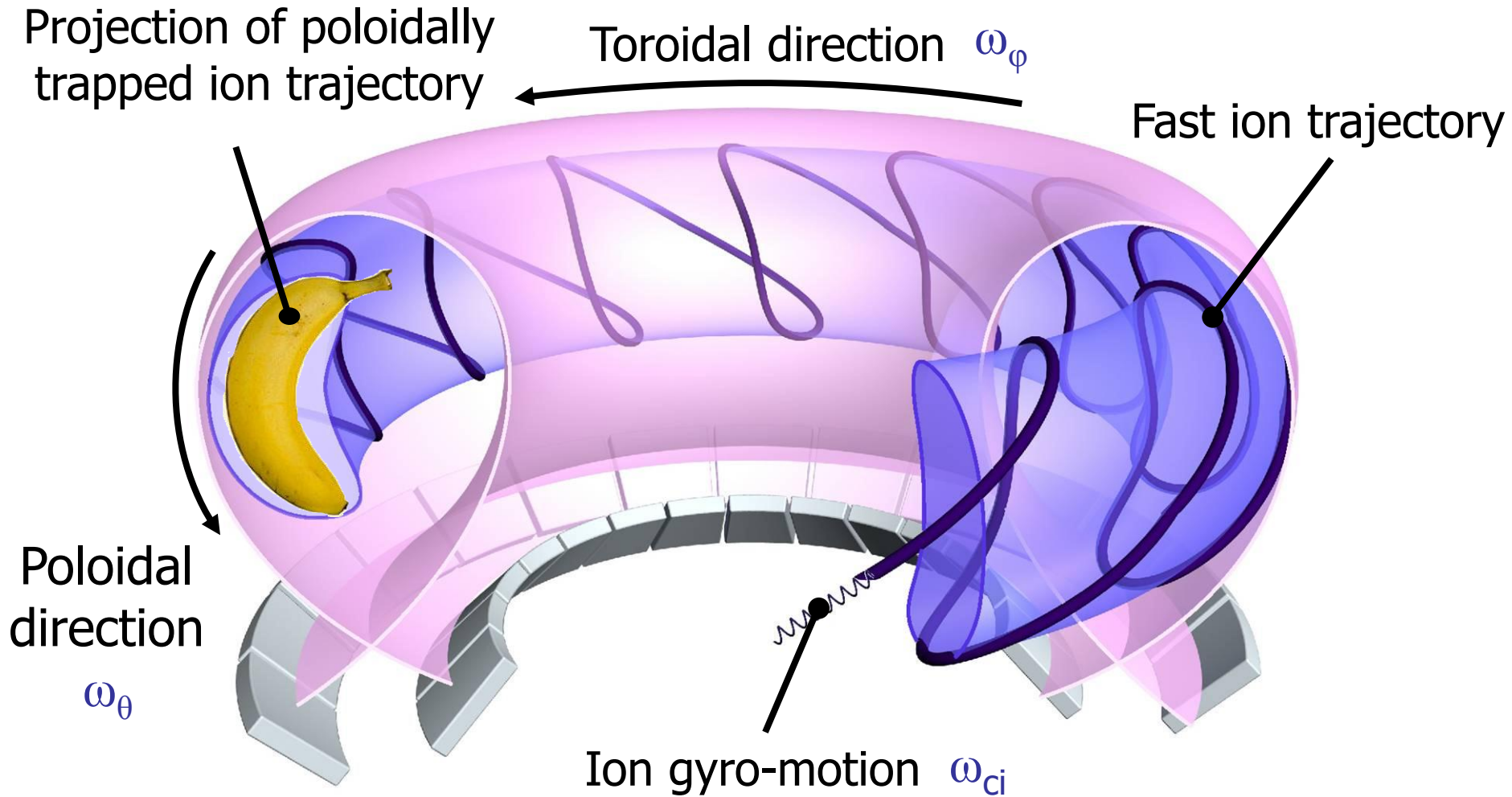


# ITER Heating and Current Drive Systems

NB	IC	EC	LH
Neutral Beam -1 MeV	Ion Cyclotron 40 – 55 MHz	Electron Cyclotron 170 GHz	Lower Hybrid ~5 GHz
			
<p>33MW*</p> <p>+16.5MW#</p>	<p>20MW*</p> <p>+20MW#</p>	<p>20MW*</p> <p>+20MW#</p>	<p>0MW*</p> <p>+40MW#</p>
Bulk current drive limited modulation	Sawtooth control modulation < 1 kHz	NTM/sawtooth control modulation up to 5 kHz	Off-axis bulk current drive

\*Baseline Power #Possible Upgrade

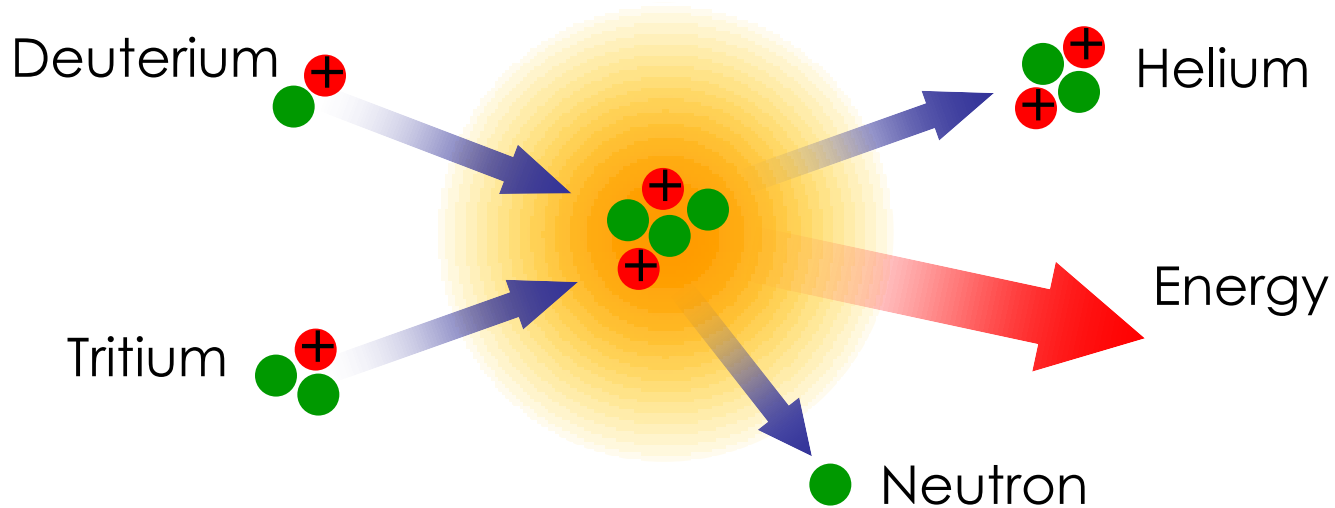
# Fast Ion Orbits



Various natural frequencies associated with particle motion

# Burning Plasmas

- New physics element in burning plasmas:
  - Plasma is self-heated by fusion alpha particles



$$v_{Ti} \ll v_A < v_\alpha \ll v_{Te}$$

ITER  
parameters

$$v_{Ti} = 0.9 \times 10^6 \text{ m/s}$$

$$v_A = 8 \times 10^6 \text{ m/s}$$

$$v_\alpha = 12 \times 10^6 \text{ m/s}$$

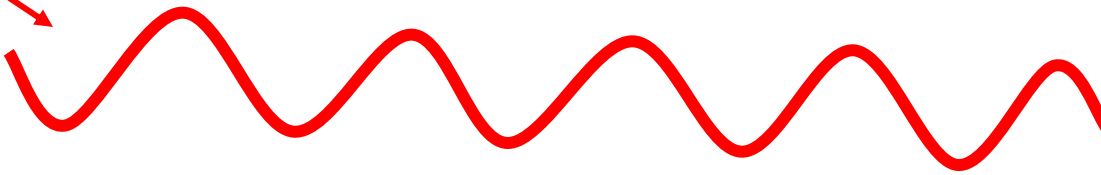
$$v_{Te} = 59 \times 10^6 \text{ m/s}$$

# Alfvén waves and $\alpha$ s

Alfvén wave is *very* weakly damped by background plasma

3.5 MeV

$\alpha$



10 keV

i

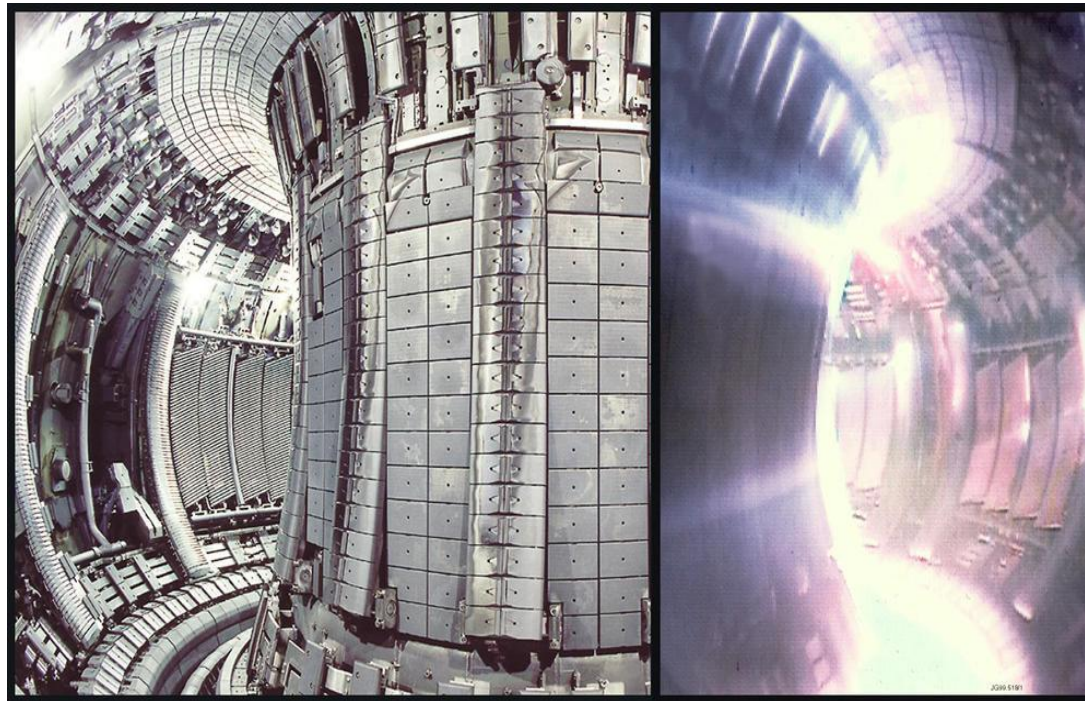
e

10 keV

Fusion products ( $\alpha$ s) interact with Alfvén waves *much* better than thermal plasma

# Loss of Fast Particles

- Loss of bulk plasma heating
  - Clearly unacceptable for an efficient power plant
- Damage to first wall
  - Can only tolerate losses of a few % in a reactor



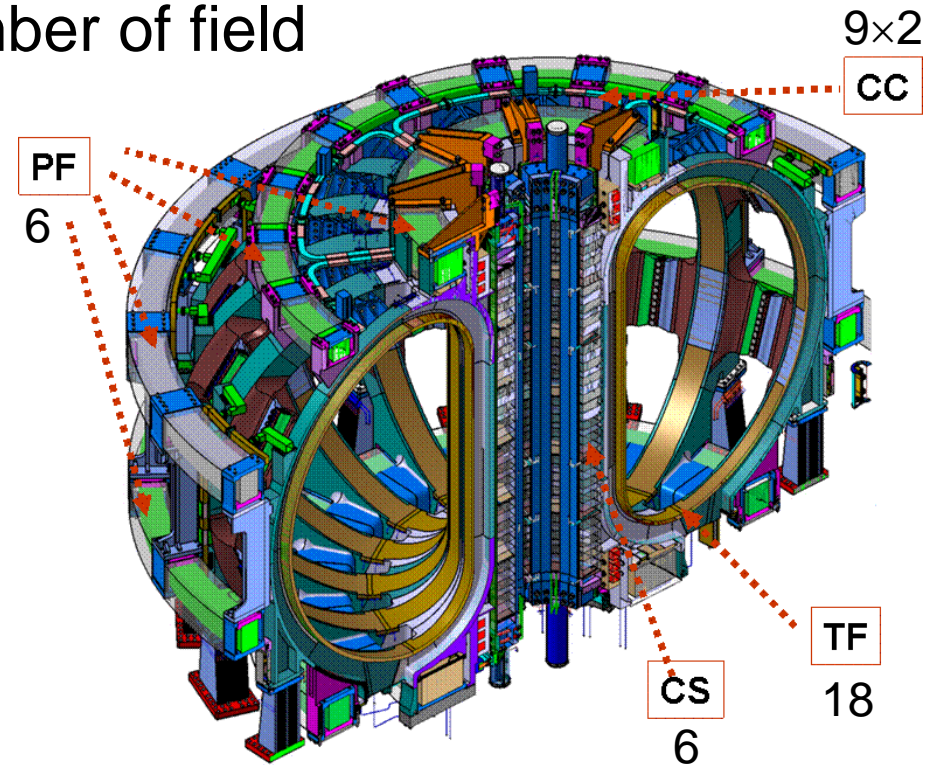


# Reasons for Loss

- Imperfections in confining magnetic field
  - Ripple due to finite number of field coils, TBMs, ELM coils

48 superconducting coils

System	Energy GJ	Peak Field	Total MAT	Cond length km	Total weight t
Toroidal Field TF	41	11.8	164	82.2	6540
Central Solenoid	6.4	13.0	147	35.6	974
Poloidal Field PF	4	6.0	58.2	61.4	2163
Correction Coils CC	-	4.2	3.6	8.2	85



- Self-generated field imperfections
  - Collective instabilities

# Wave Induced Losses in TFTR

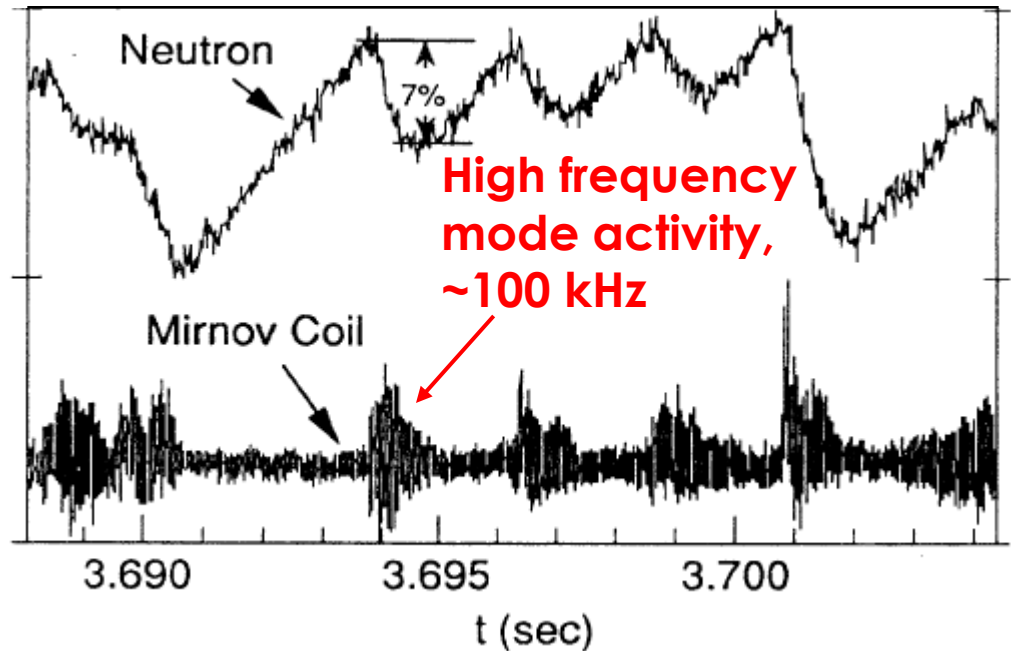
- Specially designed experiments

- Low field,  $B_t = 1$  T
- Deuterium NBI,  $E_b(D^2) = 100$  keV
- $V_b \sim V_A$

- Modes observed for  $P_{NBI} > 5$  MW

- Correlated with neutron reduction

- Neutron yield dominated by beam-plasma reactions  
 $\Rightarrow$  **Fast ion loss**

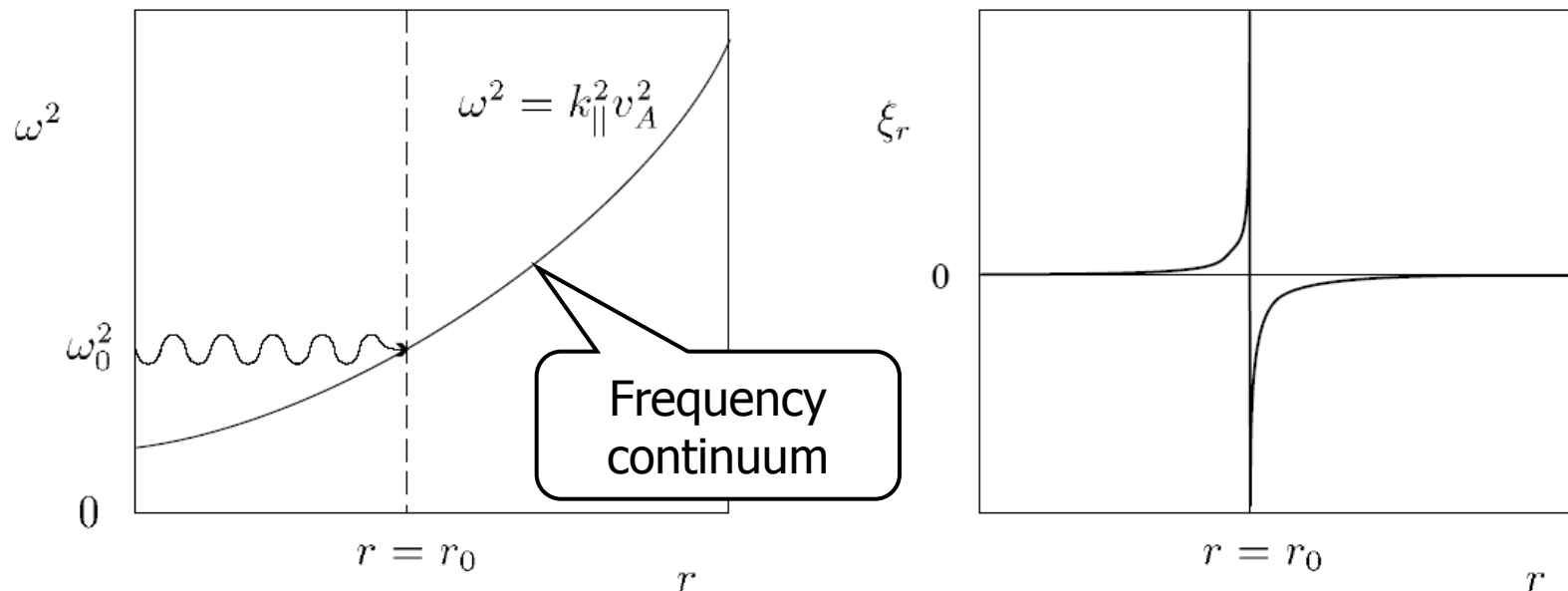


K.L. Wong *et al.*, Phys. Rev. Lett. **66** (1991)



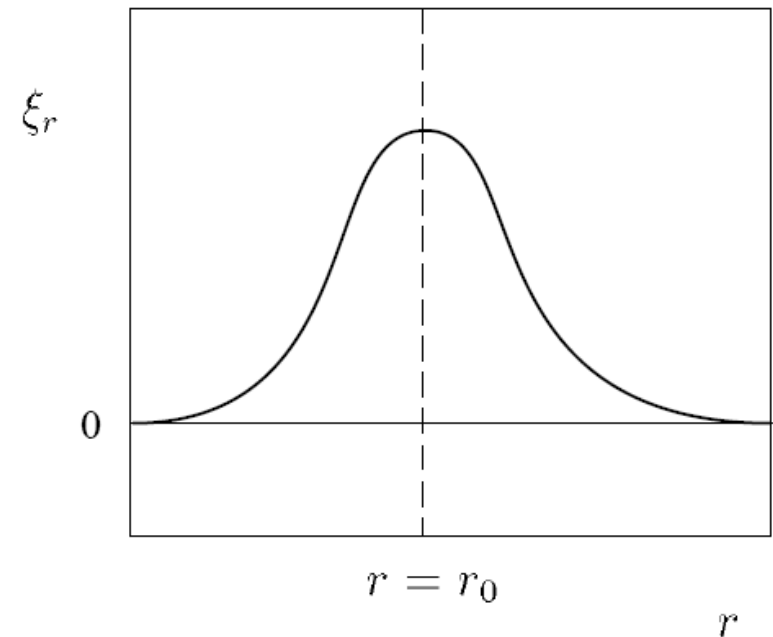
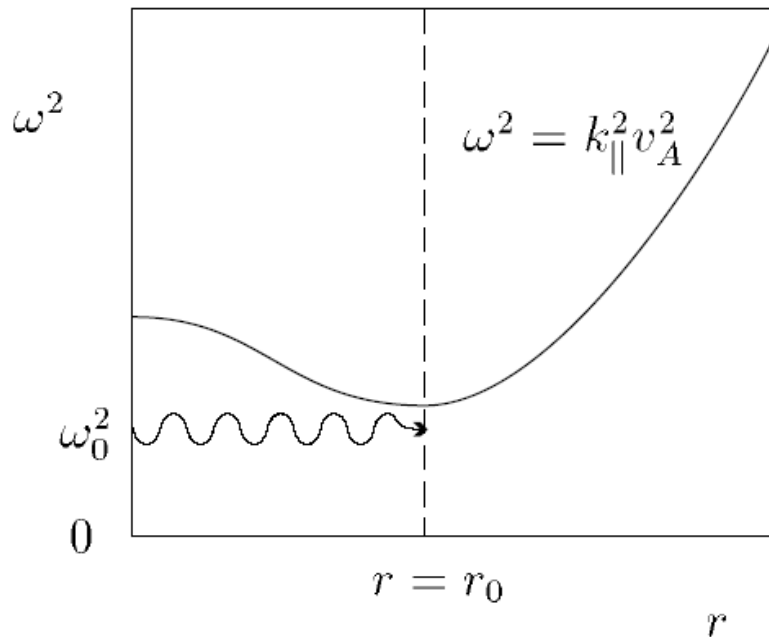
# Alfvén Waves

- Analogous to waves on a string
  - $v_A = B/\sqrt{(\mu_0 m_i n_i)}$
  - $\omega^2 = \omega_A^2(r) \equiv k_{\parallel}^2 v_A^2(r)$
  - Form continuum of waves in inhomogeneous plasma
  - Damped due to phase mixing with neighbouring waves



# Alfvén Waves and Eigenmodes

- Current carrying inhomogeneous cylinder:
  - Helical field
  - Continuum has extremum
  - $k_{\parallel} = k_{\parallel}(r)$
  - Global Alfvén Eigenmode (GAE)



K. Appert *et al.*, Plasma Phys. **24** (1982), D. W. Ross *et al.*, Phys. Fluids **25** (1982)

# Alfvén Waves in Tori

- Tokamak plasma:

- Fourier decomposition:

- $A \sim \exp[i(n\phi - m\theta - \omega t)]$

- $B \approx B_0 R_0 / R \approx B_0 (1 - r/R_0 \cos \theta)$

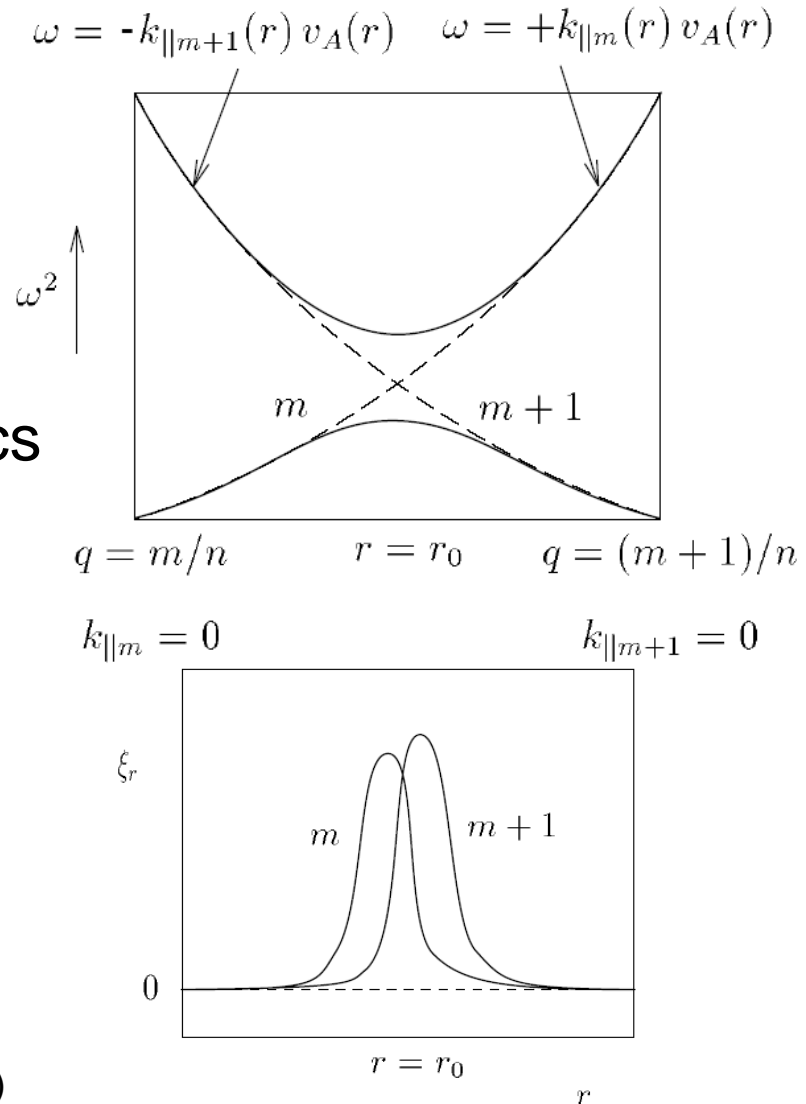
- Neighbouring poloidal harmonics couple due to toroidicity

- Gaps in frequency continuum

- Toroidal Alfvén Eigenmodes (TAE) exist in frequency gap

- Weakly damped

- $f_{\text{TAE}} \sim v_A / (2qR)$



C. Z. Cheng, Liu Chen and M. S. Chance, Ann. Phys. **161** (1985)

# Alfvén Eigenmodes

- Exist in frequency gaps
- Comprise of two primary harmonics,  $m$  and  $m + L$ 
  - **Wave-particle resonance condition:**

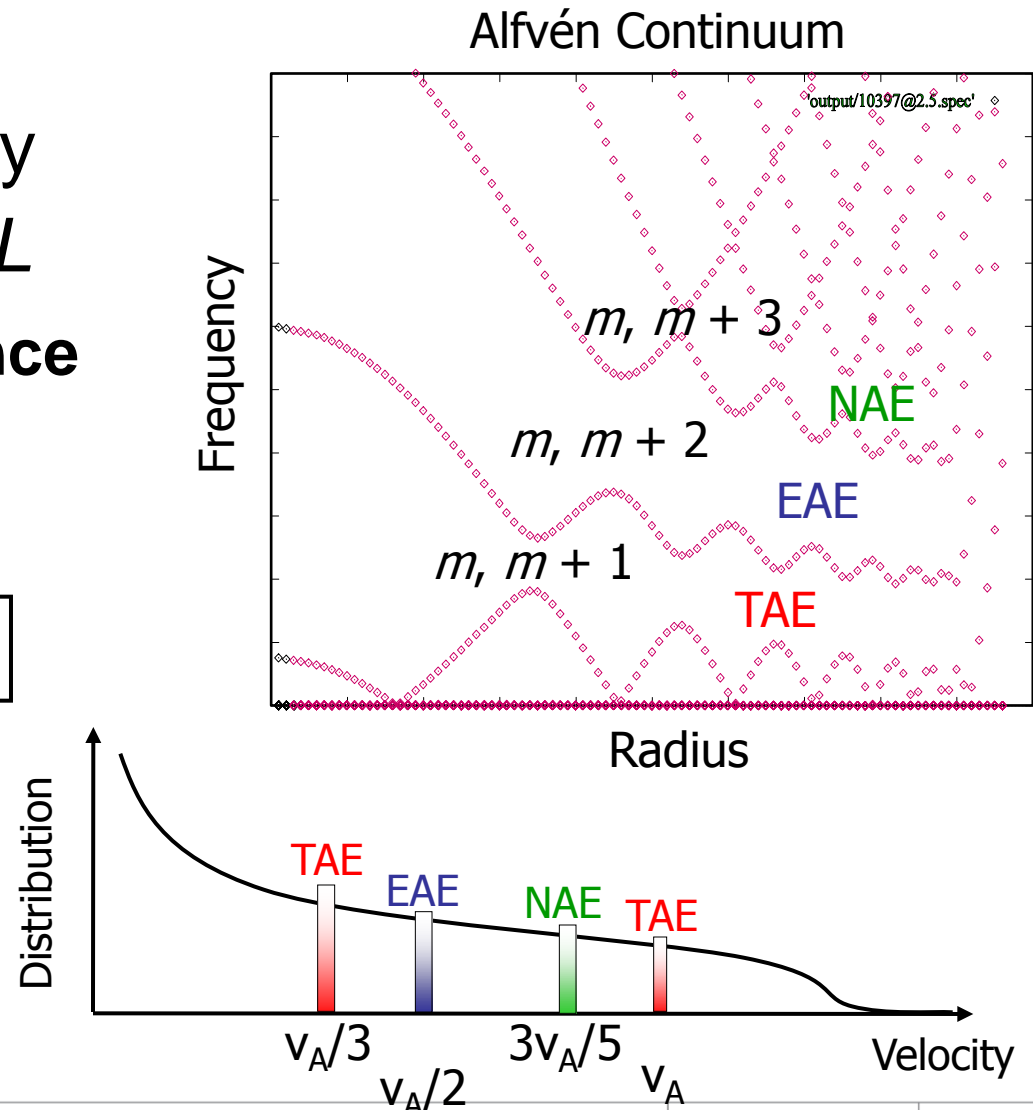
$$\omega - n \omega_\phi + (m \pm 1) \omega_\theta = 0$$

$$v_{||} = \pm L / (2 \pm L) v_A$$

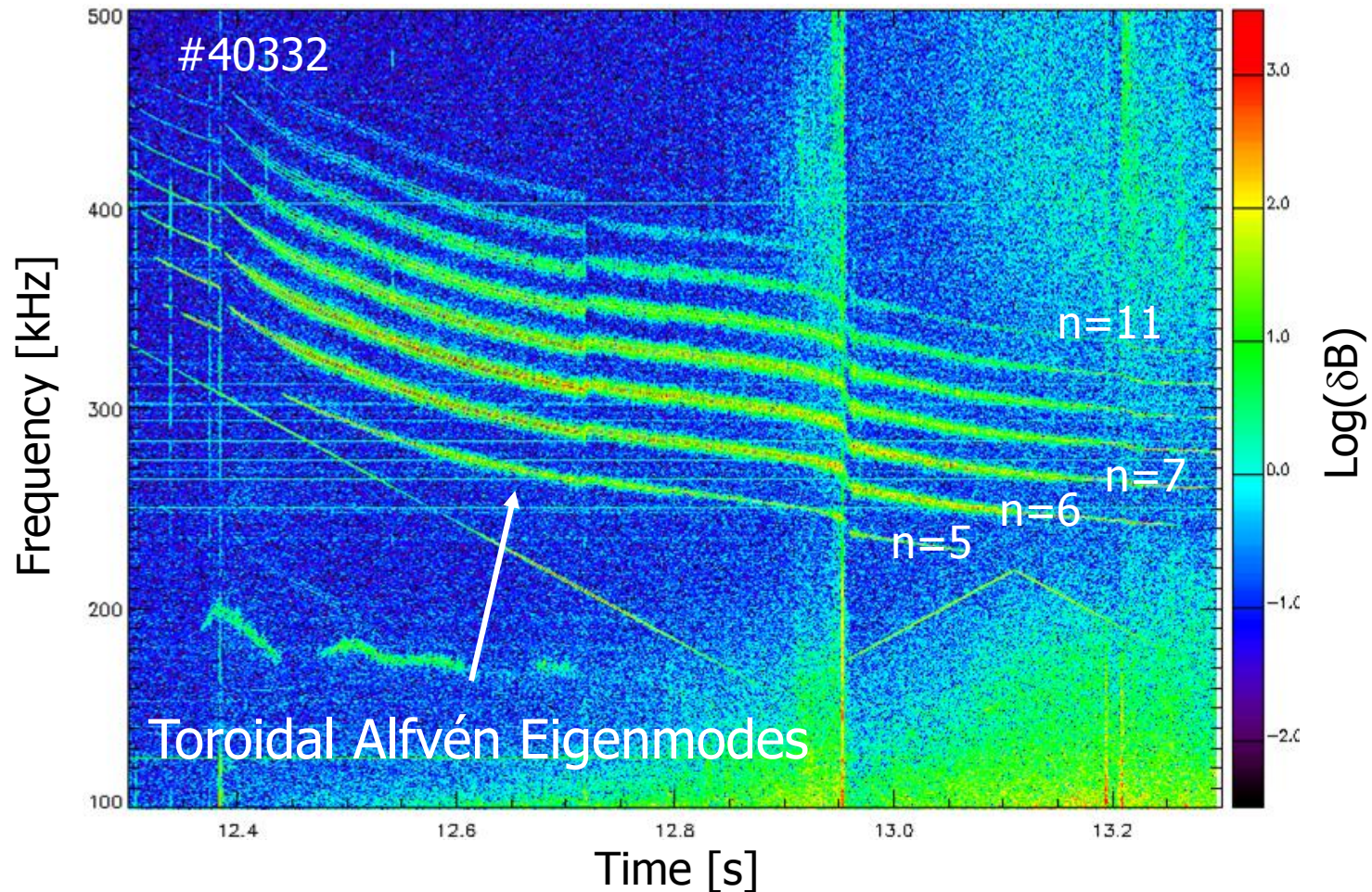
– **TAE**:  $L = 1$

– **EAE**:  $L = 2$

– **NAE**:  $L = 3$



# TAE in JET driven by ICRH accelerated ions



- TAE have constant amplitude and fine frequency splitting  
⇒ **Nonlinear effect**

# Fast Particle Drive

- Collective instabilities

- Fast particle gradients act as source of free energy

- Non-Maxwellian distribution

- $\gamma \sim \omega \frac{\partial f}{\partial E} + n \frac{\partial f}{\partial P_\phi}$

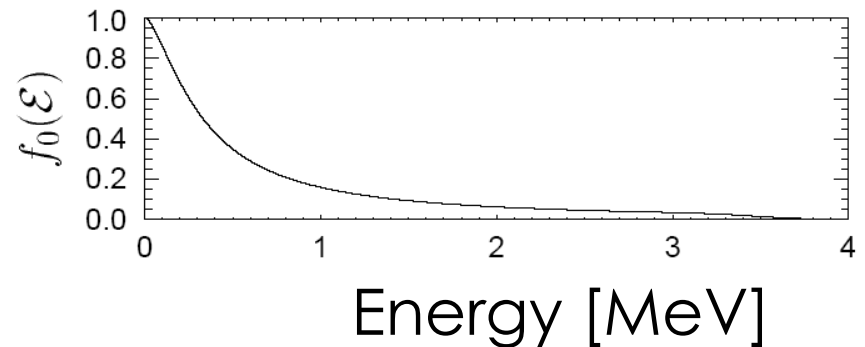
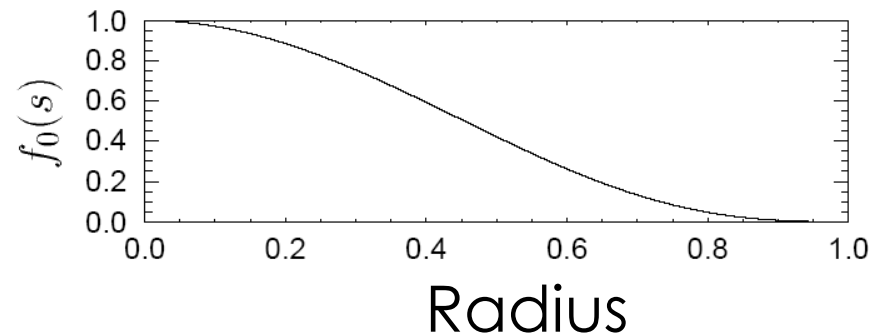
- $\sim \omega \frac{\partial f}{\partial E} - n \frac{\partial f}{\partial \psi}$

- Negative radial gradient

- $\Rightarrow$  *Drive* ( $n > 0$ )

- Negative energy gradient

- $\Rightarrow$  *Damping*

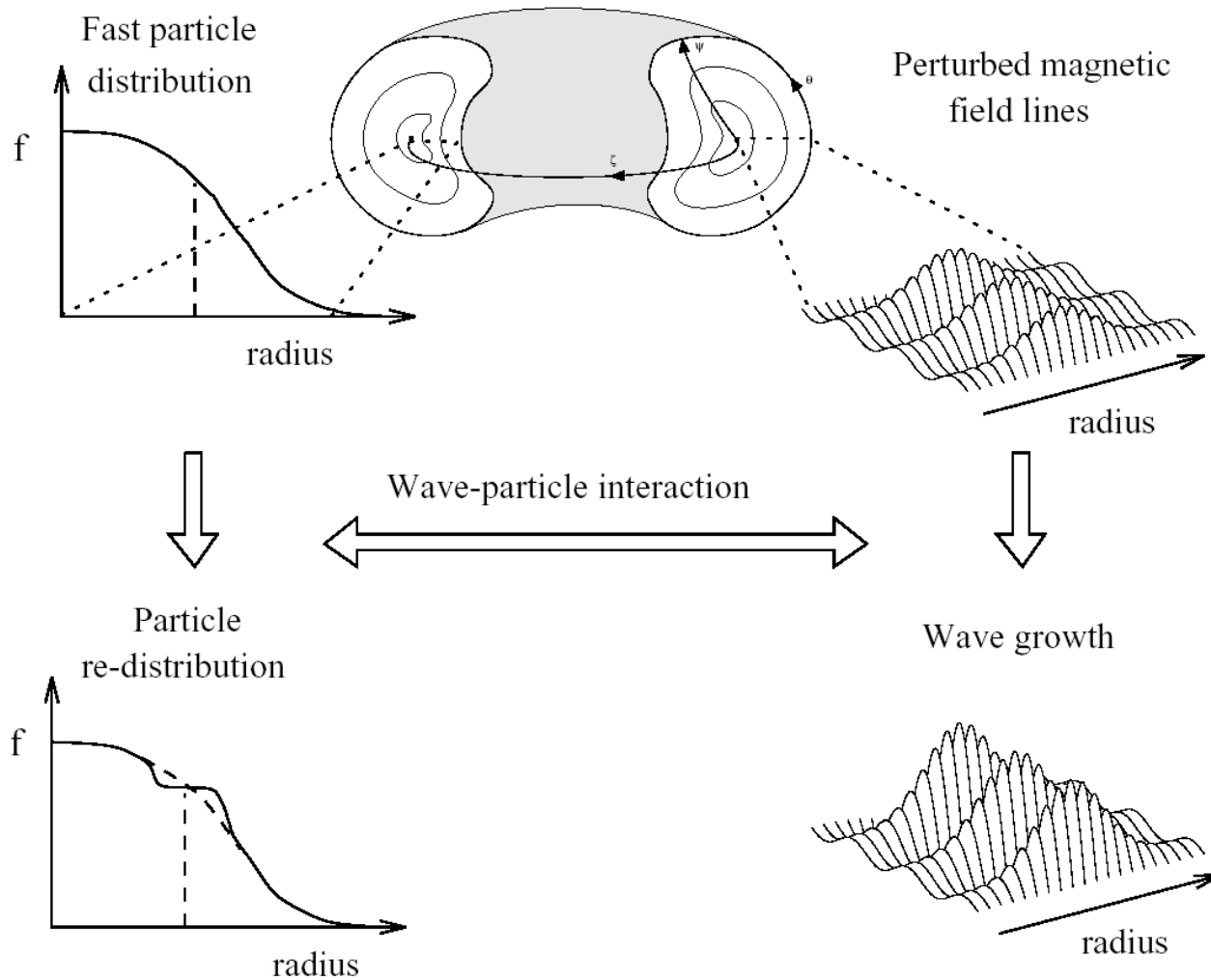


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# HOW CAN WE MODEL NONLINEAR FAST ION DRIVEN INSTABILITIES IN FUSION PLASMAS?



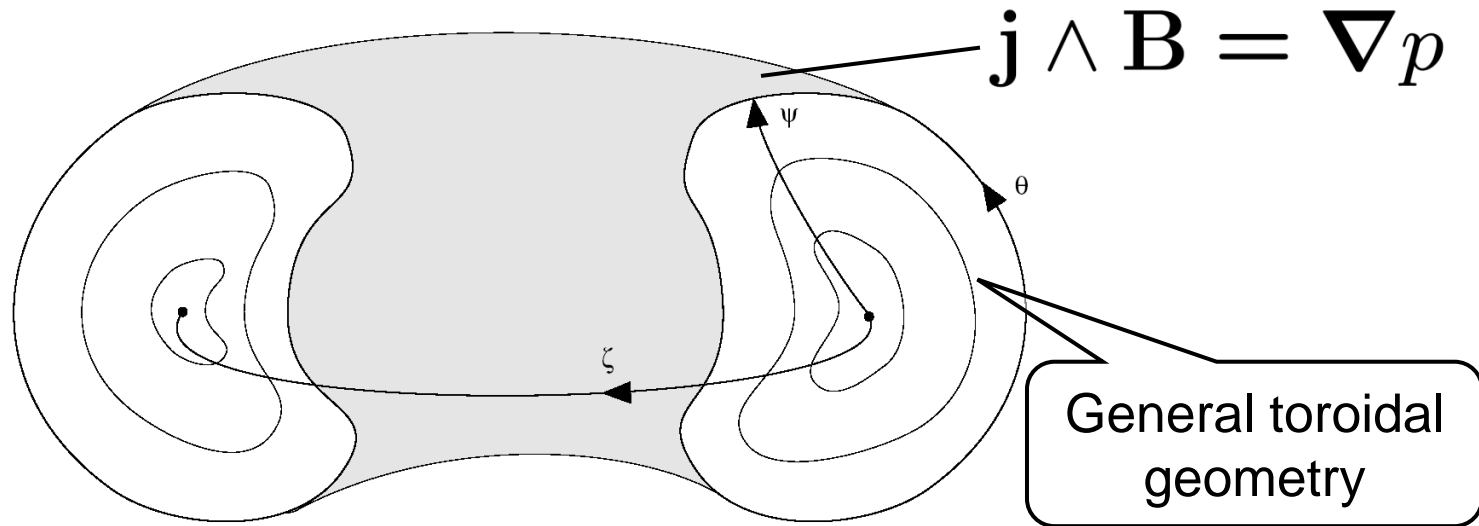
# The HAGIS Code



S. D. Pinches *et al.*, Comput. Phys. Commun. **111** (1998)

# Equilibrium Representation

- Straight field line (Boozer) coordinates  $\psi_p, \theta, \zeta$



$$\mathbf{B} = \delta(\psi_p, \theta) \nabla \psi_p + I(\psi_p) \nabla \theta + g(\psi_p) \nabla \zeta,$$

$$\mathbf{B} = \nabla \psi \wedge \nabla \theta - \nabla \psi_p \wedge \nabla \zeta,$$

$$\Rightarrow \mathbf{A} = \psi \nabla \theta - \psi_p \nabla \zeta.$$

# Evolution of Energetic Particles

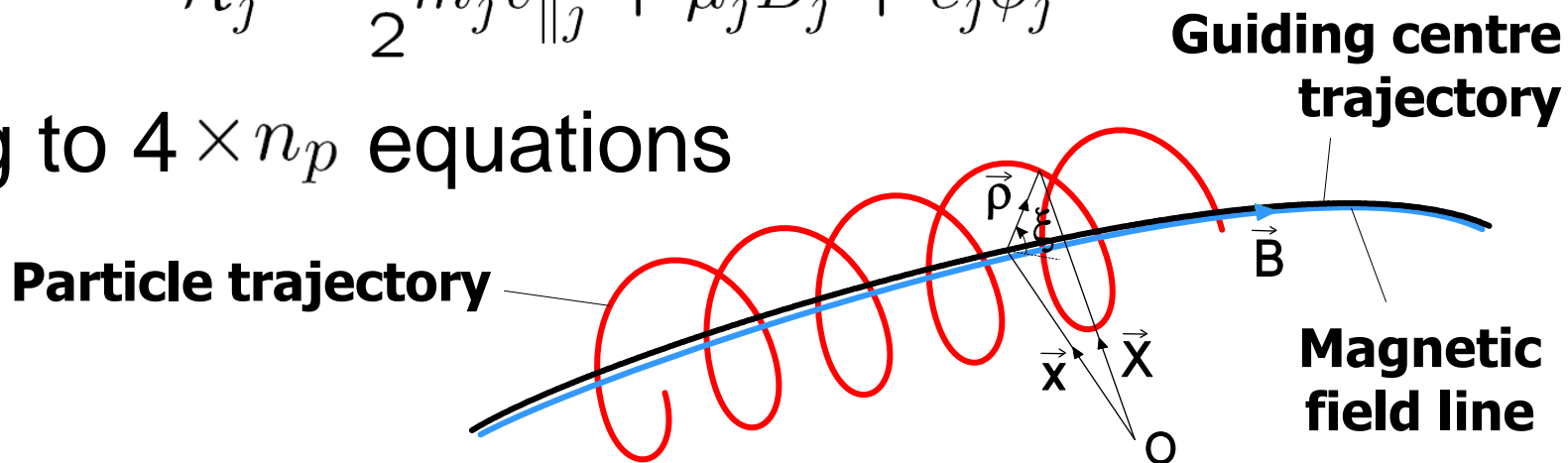
Exact particle Lagrangian,  $\mathcal{L}_{exact} = \sum_{ep} \frac{1}{2} m V^2 + e \mathbf{V} \cdot \mathbf{A} - e \phi$   
 is gyro-averaged and written in the form,

$$\mathcal{L}_{ep} = \sum_{j=1}^{n_p} P_{\theta_j} \dot{\theta}_j + P_{\zeta_j} \dot{\zeta}_j - \mathcal{H}_j$$

with

$$\mathcal{H}_j = \frac{1}{2} m_j v_{\parallel j}^2 + \mu_j B_j + e_j \phi_j$$

leading to  $4 \times n_p$  equations



# Equations of Motion

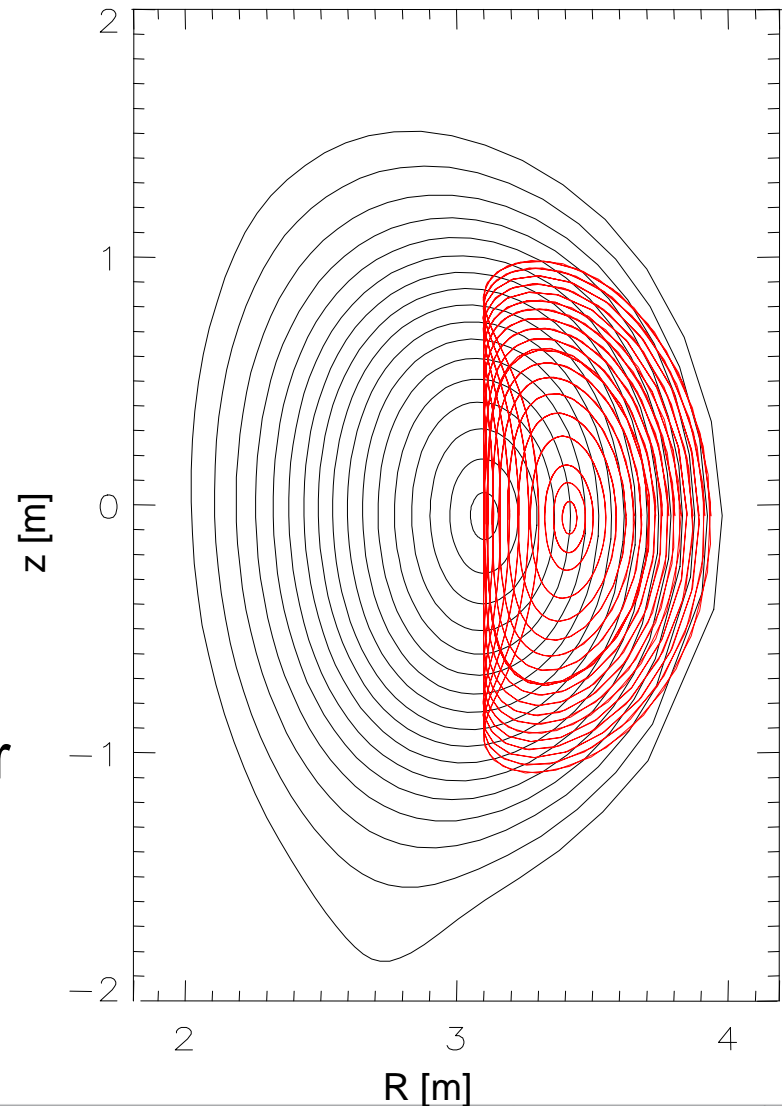
Derived from total system Hamiltonian for each particle:

$$\begin{aligned}
 \dot{\theta} &= \frac{1}{D} \left[ \rho_{\parallel} B^2 (1 - \rho_c g' - g \tilde{\alpha}') + g \left\{ (\rho_{\parallel}^2 B + \mu) B' + \tilde{\Phi}' \right\} \right], \\
 \dot{\zeta} &= \frac{1}{D} \left[ \rho_{\parallel} B^2 (\rho_c I' + q + I \tilde{\alpha}') - I \left\{ (\rho_{\parallel}^2 B + \mu) B' + \tilde{\Phi}' \right\} \right], \\
 \dot{\psi}_p &= \frac{1}{D} \left[ \rho_{\parallel} B^2 \left( g \frac{\partial \tilde{\alpha}}{\partial \theta} - I \frac{\partial \tilde{\alpha}}{\partial \zeta} \right) - \left( g \frac{\partial \tilde{\Phi}}{\partial \theta} - I \frac{\partial \tilde{\Phi}}{\partial \zeta} \right) - g (\rho_{\parallel}^2 B + \mu) \frac{\partial B}{\partial \theta} \right], \\
 \dot{\rho}_{\parallel} &= \frac{1}{D} \left[ \left( I \frac{\partial \tilde{\alpha}}{\partial \zeta} - g \frac{\partial \tilde{\alpha}}{\partial \theta} \right) \left\{ (\rho_{\parallel}^2 B + \mu) B' + \tilde{\Phi}' \right\} - (q + \rho_c I' + I \tilde{\alpha}') \frac{\partial \tilde{\Phi}}{\partial \zeta} \right. \\
 &\quad \left. + (\rho_c g' - 1 + g \tilde{\alpha}') \left\{ (\rho_{\parallel}^2 B + \mu) \frac{\partial B}{\partial \theta} + \frac{\partial \tilde{\Phi}}{\partial \theta} \right\} \right] - \frac{\partial \tilde{\alpha}}{\partial t},
 \end{aligned}$$

RB White & MS Chance, Phys. Fluids 27 10 (1984)

# Fast Particle Orbits

- ICRH ions in JET deep shear reversal
  - On axis heating<sup>†</sup>:  
 $\Lambda = \mu B_0 / E = 1$
  - $E = 500$  keV
- Produces predominately potato orbits
- Particle trajectories verified through comparison with other codes and analytic solutions



<sup>†</sup>J. Hedin, PhD Thesis 1999

# Calculation of AE Eigenfunctions

Wave Lagrangian:

$$\mathcal{L}_w = \sum \left[ \frac{1}{2} m v^2 + e (\mathbf{A} \cdot \mathbf{v} - \phi) \right] + \frac{1}{2\mu_0} \int_V \left( \frac{1}{c^2} E^2 - B^2 \right) dx^3$$

Expanding in perturbed field powers:

- $\mathcal{L}^{(0)}$  describes the equilibrium and is solved by, for example, HELENA
- $\mathcal{L}^{(1)}$  describes first order force balance
- $\mathcal{L}^{(2)}$  describes fixed amplitude Alfvén Eigenmodes and is solved by appropriate linear codes, e.g. CASTOR, MISHKA, PHOENIX, or LIGKA

# Wave Evolution

- Linear eigenmode structure is assumed to remain fixed throughout simulations
- Each wave is allowed two degrees of freedom, amplitude and phase-shift;  $\mathcal{A}_k$  and  $\alpha_k$

$$\tilde{\Phi}_k = A_k(t) \sum_m \tilde{\phi}_{km}(\psi) e^{i(n_k \zeta - m\theta - \omega_k t - \alpha_k(t))}$$

- The wave Lagrangian can then be written as

$$L_w = \sum_{k=1}^{n_w} \frac{E_k}{\omega_k} A_k^2 \dot{\alpha}_k,$$

where

$$E_k = \frac{1}{2\mu_0} \int_V \frac{|\nabla_{\perp} \tilde{\Phi}_k|^2}{v_A^2} d^3x,$$

and  $n_w$  is the number of eigenmodes in the system



# Wave Equations

- Linear eigenstructure assumed invariant
- Introduce slowly varying amplitude and phase:

$$\tilde{\Phi}_k = A_k(t) \sum_m \tilde{\phi}_{km}(\psi) e^{i(n_k \zeta - m\theta - \omega_k t - \alpha_k(t))}$$

- Gives wave equations as:

$$\dot{\mathcal{X}}_k = \frac{1}{2E_k} \sum_{j=1}^{n_p} \delta f_j \Delta \Gamma_j^{(p)} \sum_m (k_{\parallel m} v_{\parallel j} - \omega_k) S_{jkm} + \mathcal{X}_k \gamma_d,$$

$$\dot{\mathcal{Y}}_k = -\frac{1}{2E_k} \sum_{j=1}^{n_p} \delta f_j \Delta \Gamma_j^{(p)} \sum_m (k_{\parallel m} v_{\parallel j} - \omega_k) C_{jkm} + \mathcal{Y}_k \gamma_d,$$

Additional mode damping rate,  $\gamma_d$

- where

$$\begin{aligned} \mathcal{X}_k &\equiv A_k \cos(\alpha_k), & C_{jkm} &\equiv \Re[\tilde{\phi}_{km}(\psi_j) e^{i\Theta_{jkm}}] \\ \mathcal{Y}_k &\equiv A_k \sin(\alpha_k), & S_{jkm} &\equiv \Im[\tilde{\phi}_{km}(\psi_j) e^{i\Theta_{jkm}}] \\ & & \Theta_{jkm} &\equiv n_k \zeta_j - m\theta_j - \omega_k t \end{aligned}$$

# Distribution Function

- Represented by a finite number of *markers*
- Markers represent deviation from initial distribution function - so-called  $\delta f$  method
  - Dramatically reduces numerical noise

$$f = \underbrace{f_0(\mathcal{E}, P_\zeta; \mu)}_{\text{analytic}} + \underbrace{\delta f(\Gamma^{(p)}, t)}_{\text{markers}}$$

$$\frac{df}{dt} = 0 \Rightarrow \dot{\delta f} = -\dot{P}_\zeta \frac{\partial f_0}{\partial P_\zeta} - \dot{\mathcal{E}} \frac{\partial f_0}{\partial \mathcal{E}} - v_{\text{eff}} \delta f$$

$$\int f g d\Gamma^{(p)} \longleftrightarrow \int f_0 g d\Gamma^{(p)} + \sum_{j=1}^{n_p} \delta n_j g_j$$

where  $\delta n_j(t) \equiv \delta f_j(t) \Delta \Gamma_j^{(p)}(t)$

# Marker Loading

- Number of particles represented by a marker:

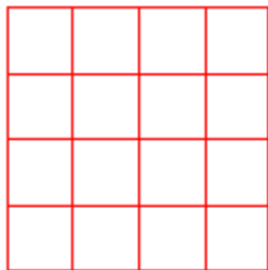
$$\delta n_j(t) \equiv \delta f_j(t) \Delta \Gamma_j^{(p)}(t)$$

- Physical volume element associated with a marker:

$$\Delta \Gamma_j^{(p)} \equiv \mathcal{J}_j^{(pc)}(t) \underbrace{\mathcal{J}_j^{(cu)}(0) \Delta \mathcal{U}_j}_{\Delta \Gamma_j^{(c)}(0)}$$

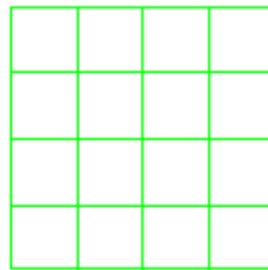
Uniformly loaded space

$\mathcal{U}$



Canonical phase space

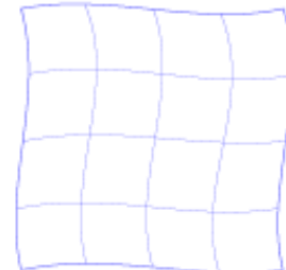
$\Gamma^{(c)}$



Incompressible  
volume elements

Physical phase space

$\Gamma^{(p)}$



Time dependent  
volume elements



# Quiet Start Method

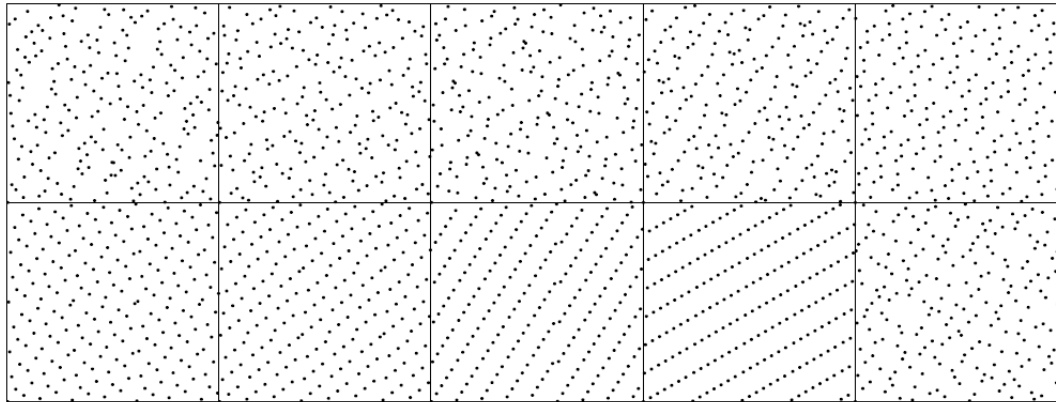
- Markers are uniformly loaded using Hammersley's sequence:

$$x_i = \{i/N, \phi_2(i), \phi_3(i), \phi_5(i), \phi_7(i)\}.$$

- If integer  $i$  is written in base  $r$ :

$$i = a_0 + a_1 r + a_2 r^2 + \dots$$

$$\phi_r(i) = a_0 r^{-1} + a_1 r^{-2} + a_2 r^{-3} + \dots$$



Projections of uniformly loaded 5-D hypercube

- This achieves a discrepancy  $\propto 1/N$ , where a random distribution has a discrepancy  $\propto 1/\sqrt{N}$ .

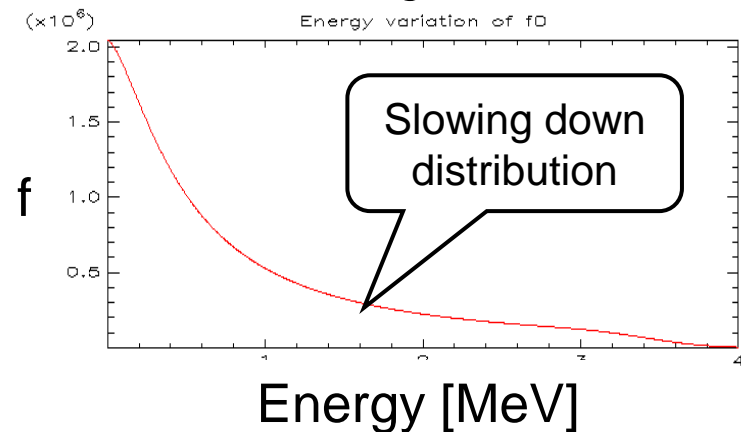
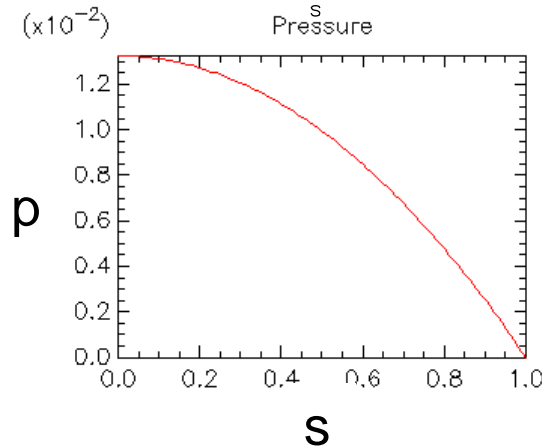
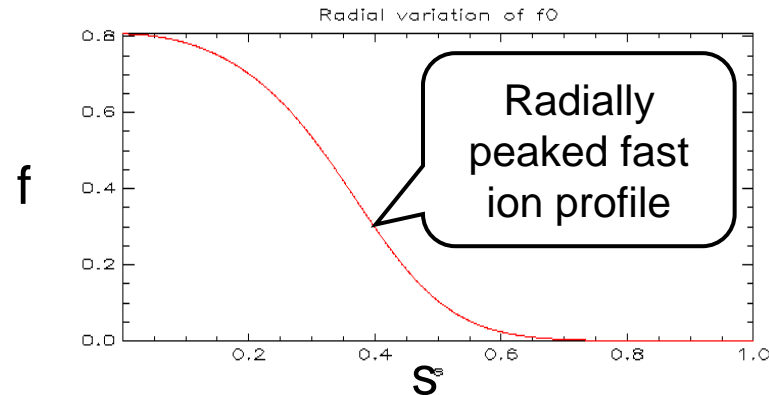
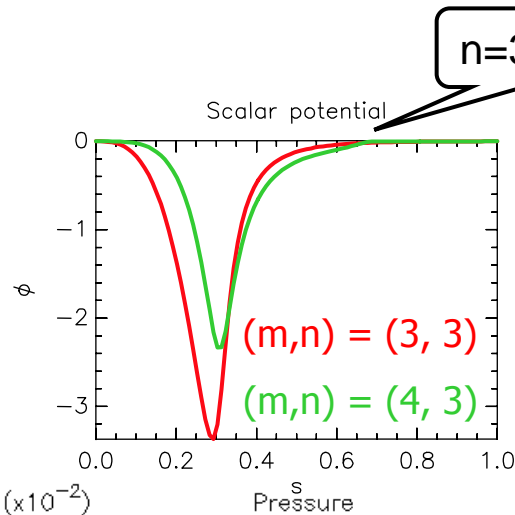
# Example of Linear Growth and Saturation of a TAE

- Equilibrium:

- $a/R_0 = 0.3$

- $q_0 = 1.1$

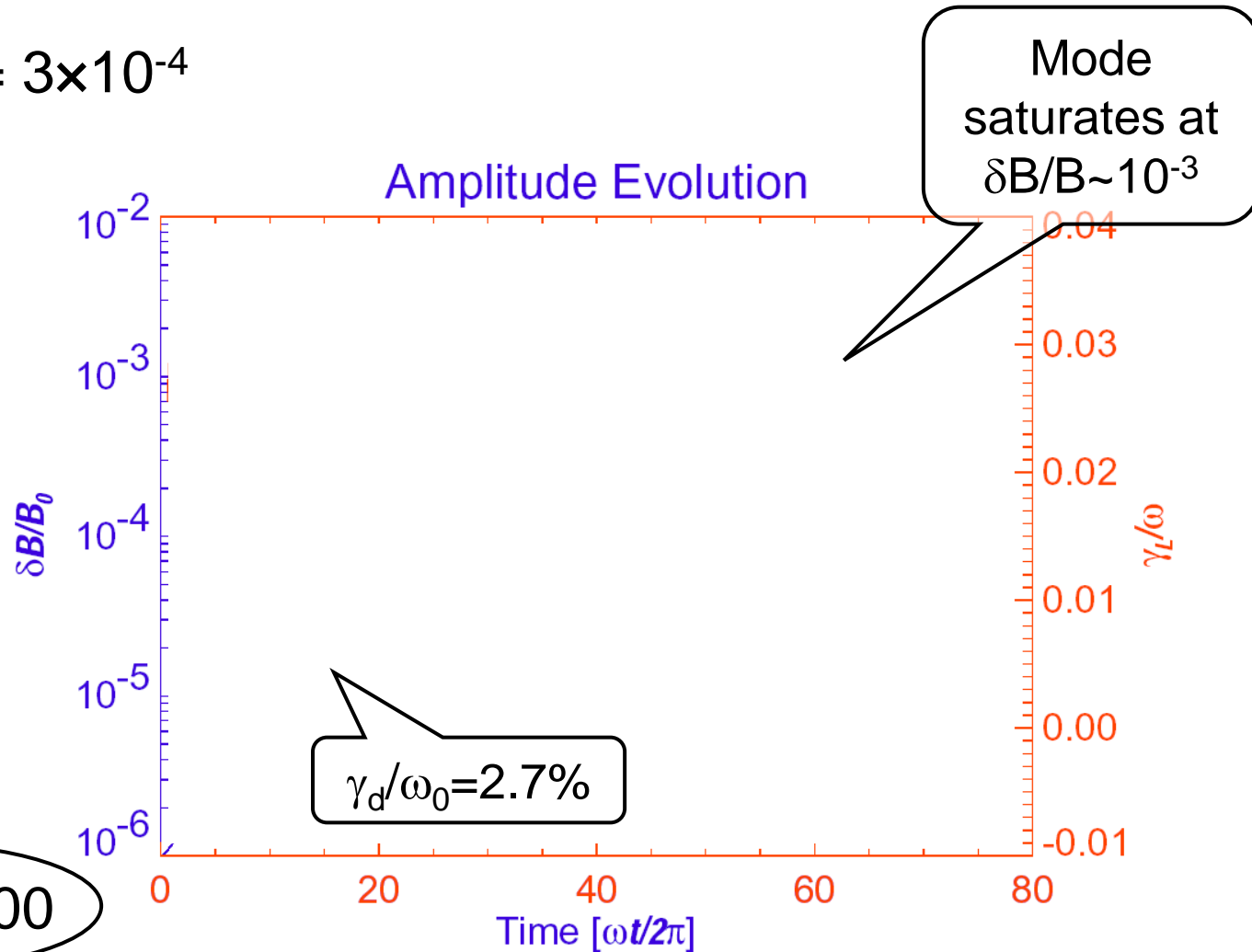
- $E_0 = 3.5 \text{ MeV}$



S. D. Pinches *et al.*, Comput. Phys. Commun. **111** (1998)

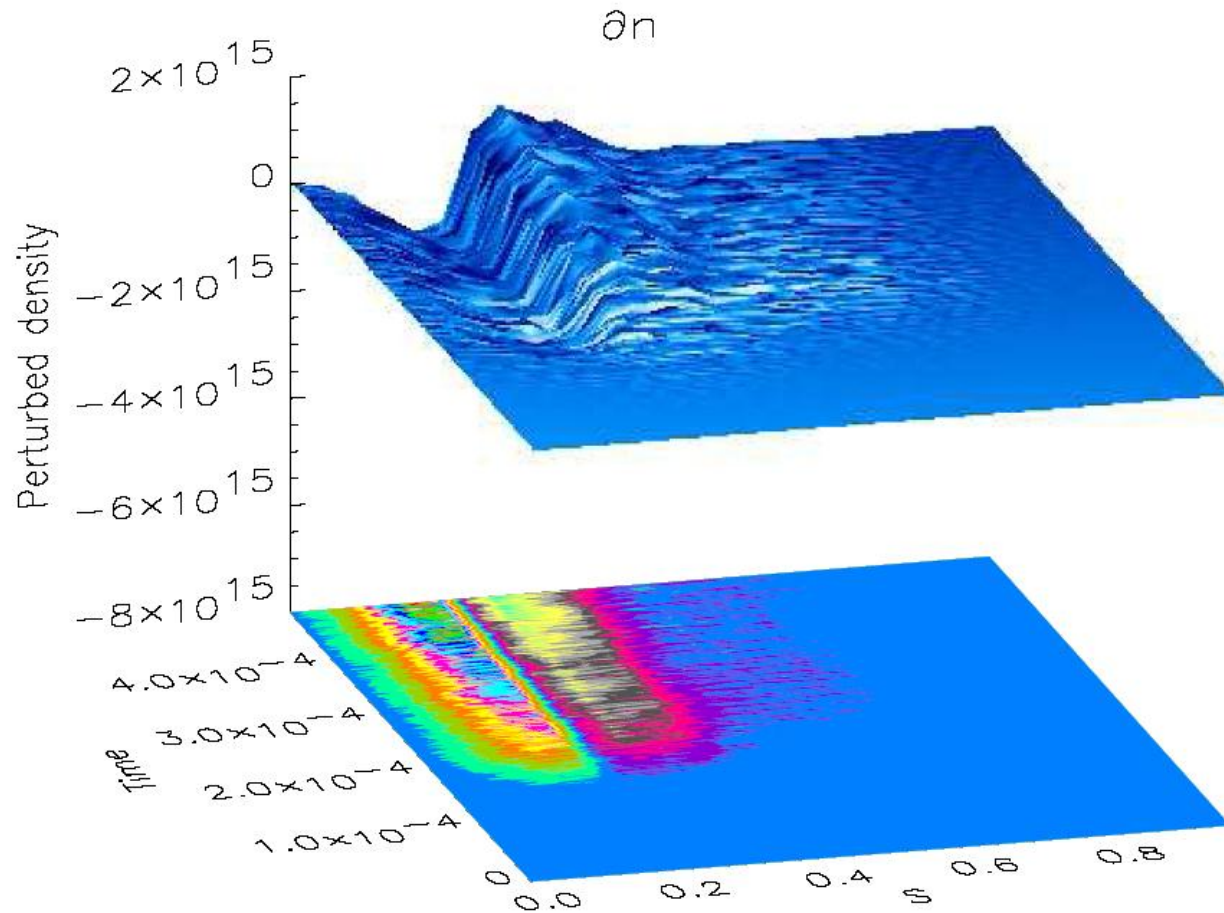
# Linear Growthrate

- $\langle \beta_f \rangle = 3 \times 10^{-4}$



$n_p = 52,500$

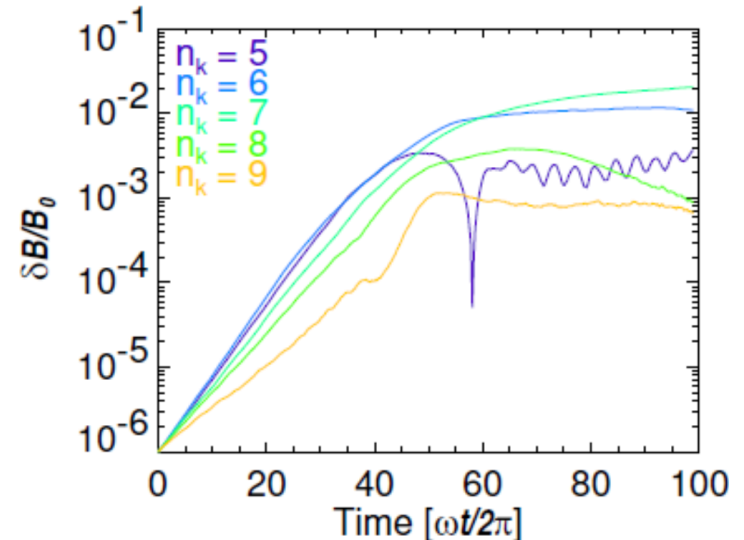
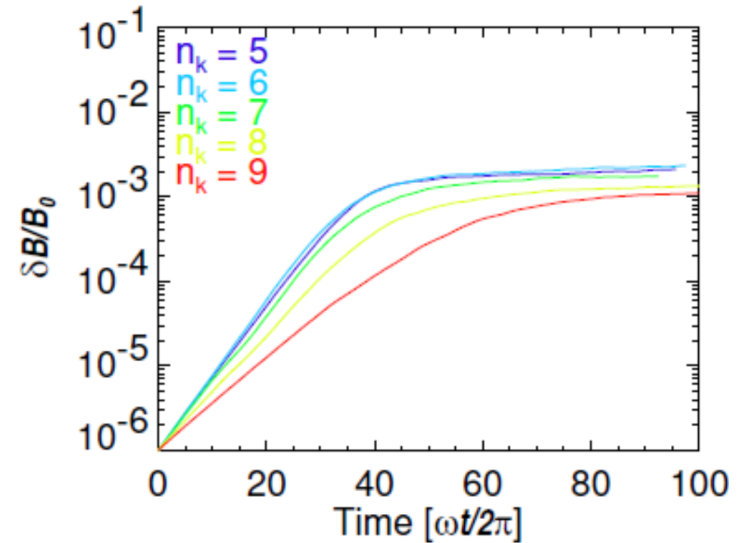
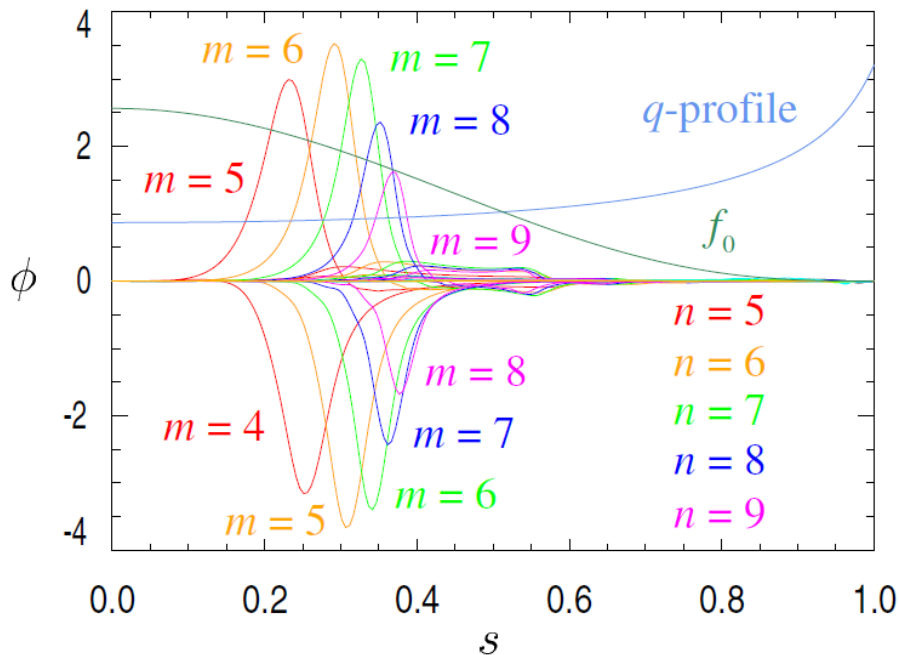
# Fast Ion Redistribution due to TAE





# Multiple KTAE in JET

- Multiple KTAE ( $n = 5 - 9$ ) in JET interacting through the driving alpha particle distribution



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# INCLUDING DISSIPATION

# Nonlinear Theory and Dissipative Effects

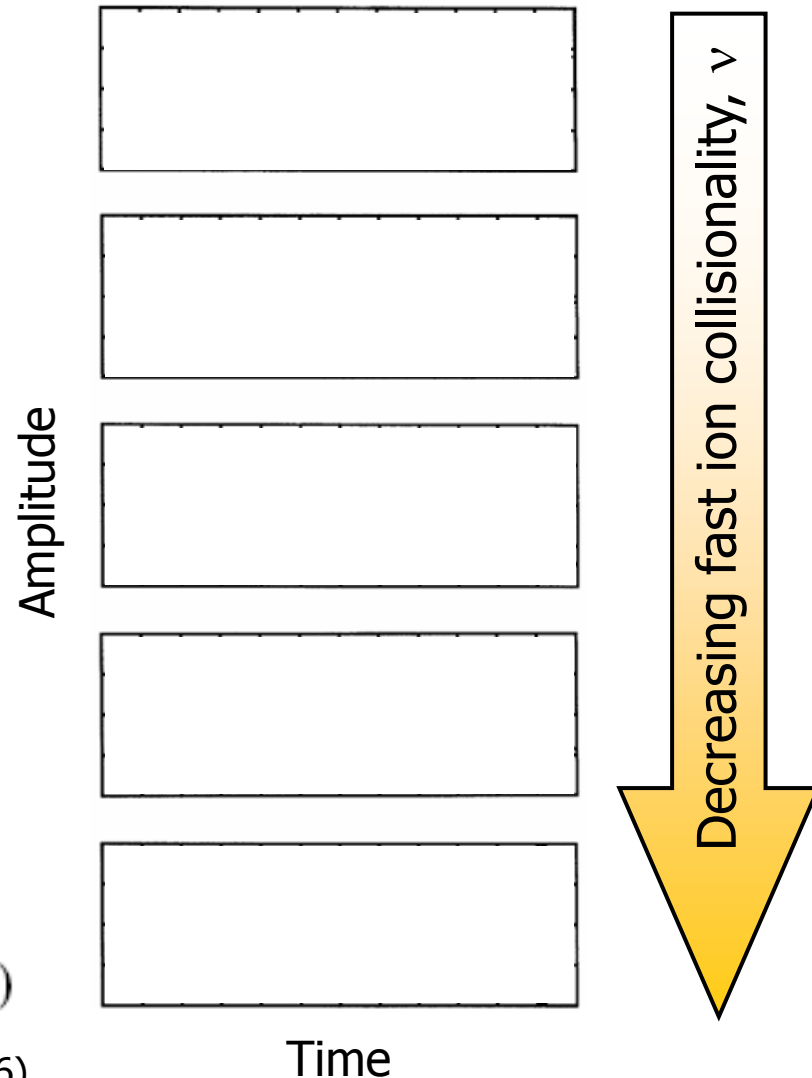
- When modes are near marginal stability then there are various competing effects
  - Drive from fast ions,  $\gamma_L$
  - Damping from background plasma,  $\gamma_D$
  - Reconstitution of profiles,  $v_{\text{eff}}$

$$|\gamma_L - \gamma_D| \sim v_{\text{eff}} \ll \gamma_L, \gamma_D$$

# Nonlinear Theory

- Nonlinear cubic equation describes Alfvén eigenmodes near threshold
  - $\nu$  is the collision frequency for fast particles

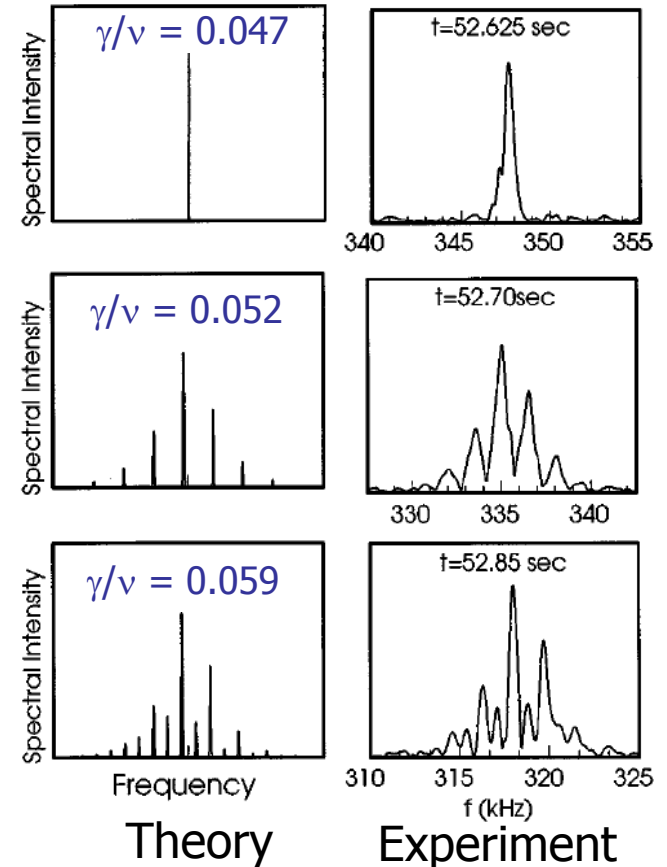
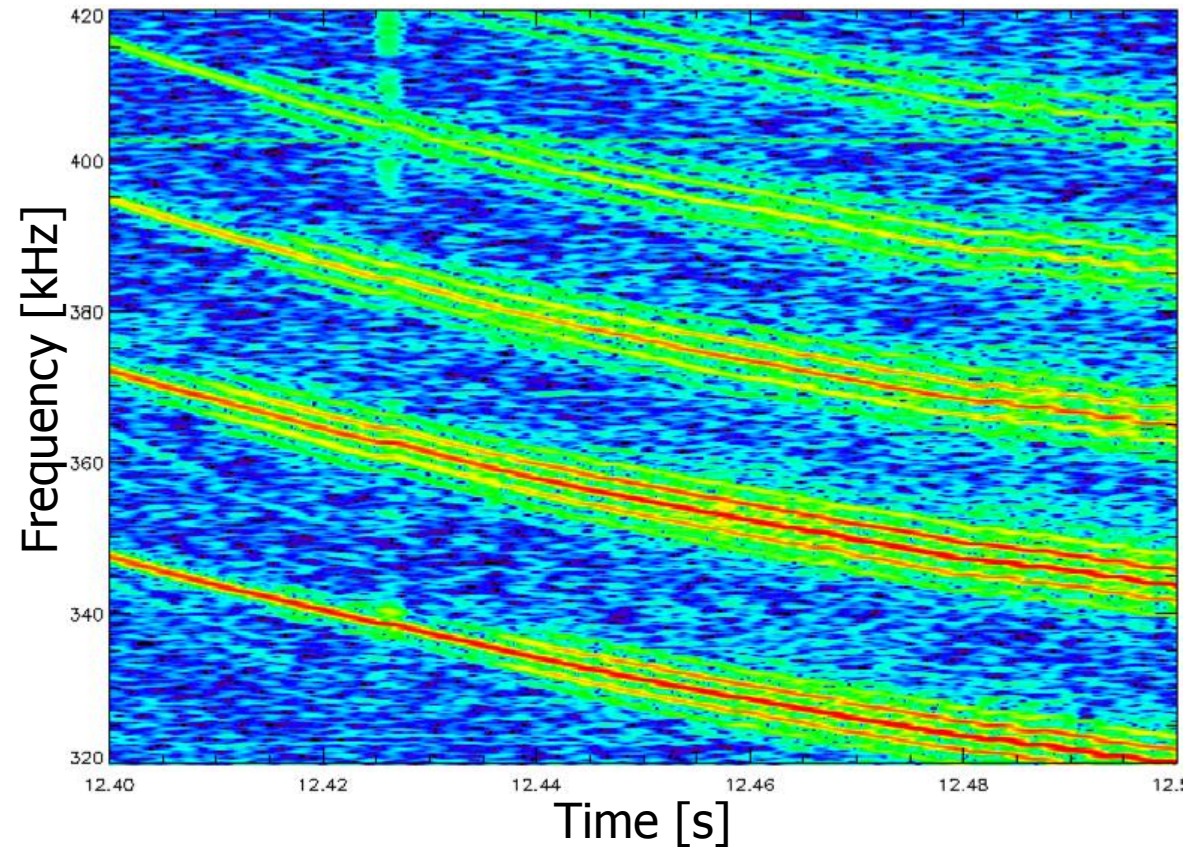
$$\frac{dA}{d\tau} = A(\tau) - \frac{1}{2} \int_0^{\tau/2} dz z^2 A(\tau - z) \times \int_0^{\tau-2z} dx \exp[-\hat{\nu}(2z + x)] \times A(\tau - z - x)A(\tau - 2z - x)$$



H.L. Berk, B. N. Breizman & M. Pekker. *Phys. Rev. Lett.* **76** (1996)

# Closer look at TAE...

- Resonant particles relax through collisions
- Single mode undergoes pitchfork splitting
  - Used to determine  $\gamma$  and  $\nu$

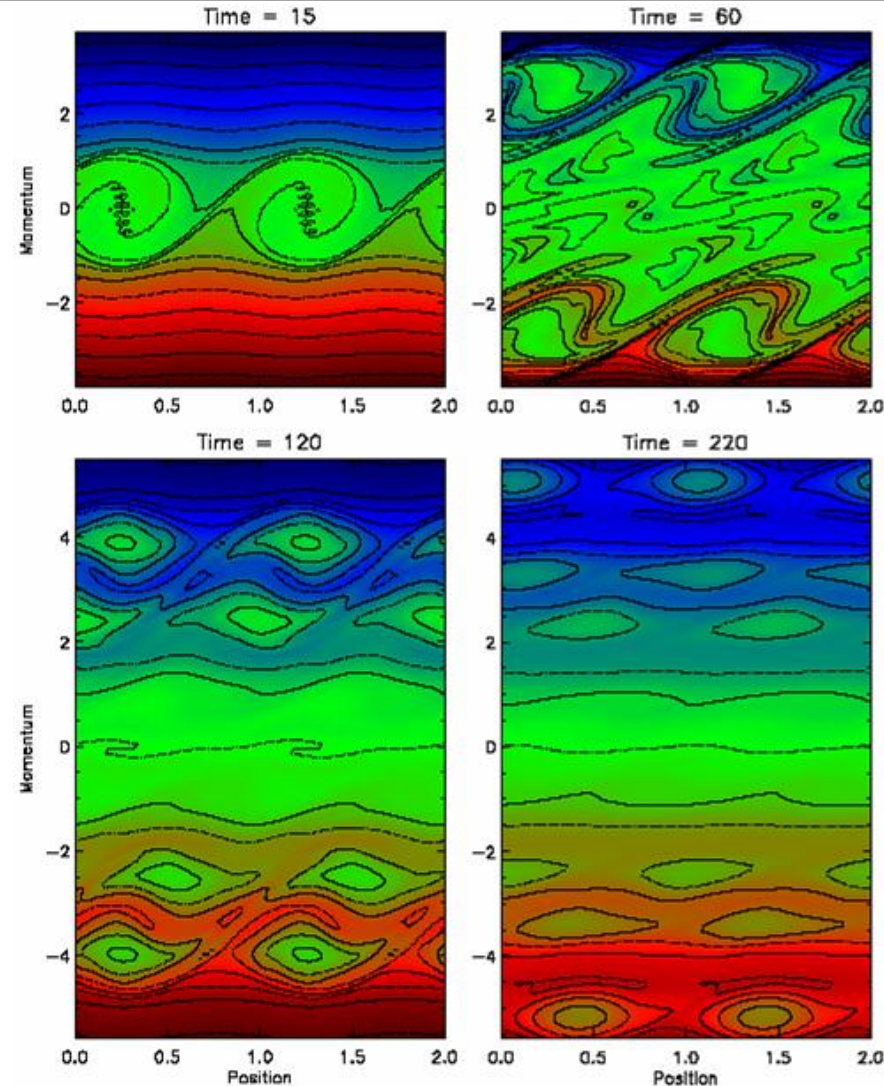


A. Fasoli *et al.* Phys. Rev. Lett. **81** (1998)



# Frequency Sweeping

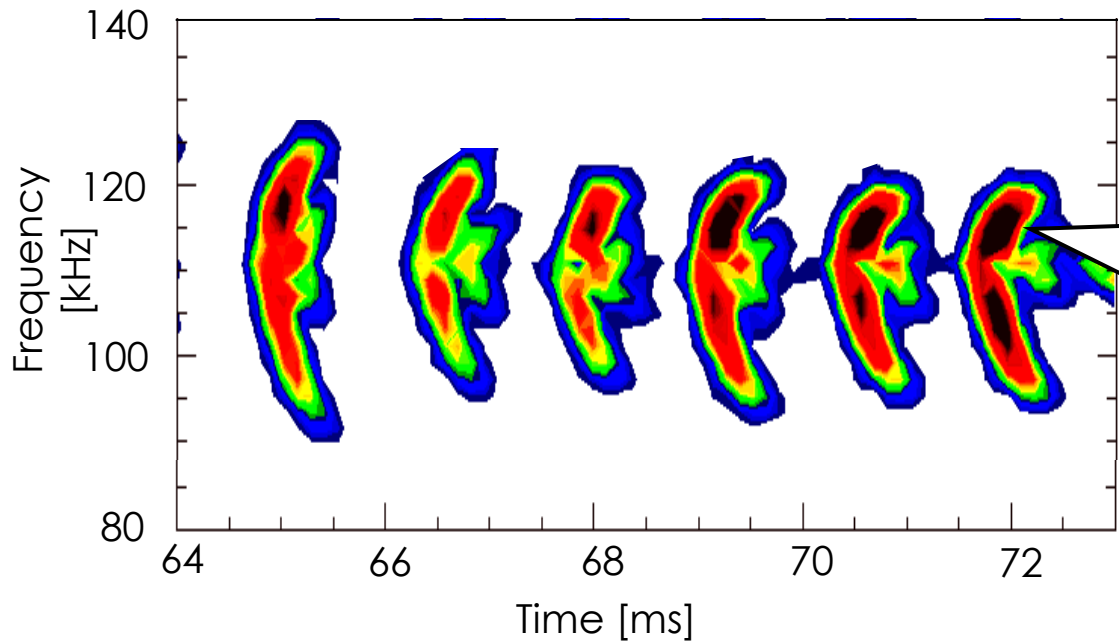
- Occurs when mode is close to marginality
  - Damping balancing drive
- Structures form in fast particle distribution function
  - Holes and clumps
- These support long-lived nonlinear BGK waves
- Background dissipation is balanced by frequency sweeping



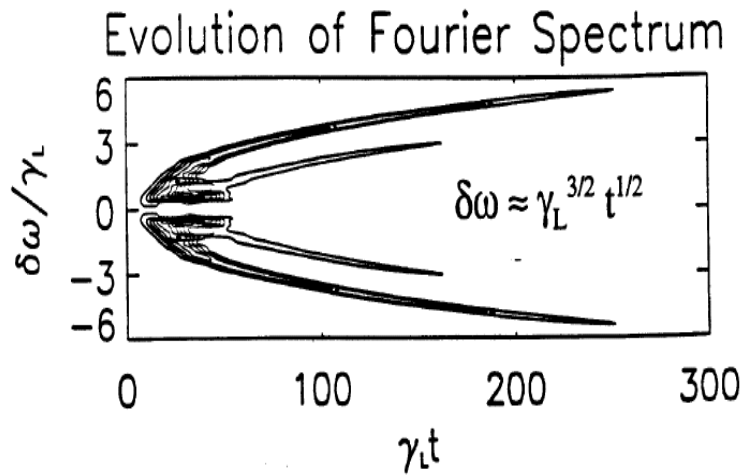
[H.L. Berk, B.N. Breizman & N.V. Petviashvili, *Phys. Lett. A* **234** 213 (1997), Errata *Phys. Lett. A* **238** 408 (1998)]

# Experimental Observations

- Frequency sweeping in MAST #5568



Simultaneous upwards and downwards frequency sweeping,  $\delta\omega/\omega_0 \sim 20\%$



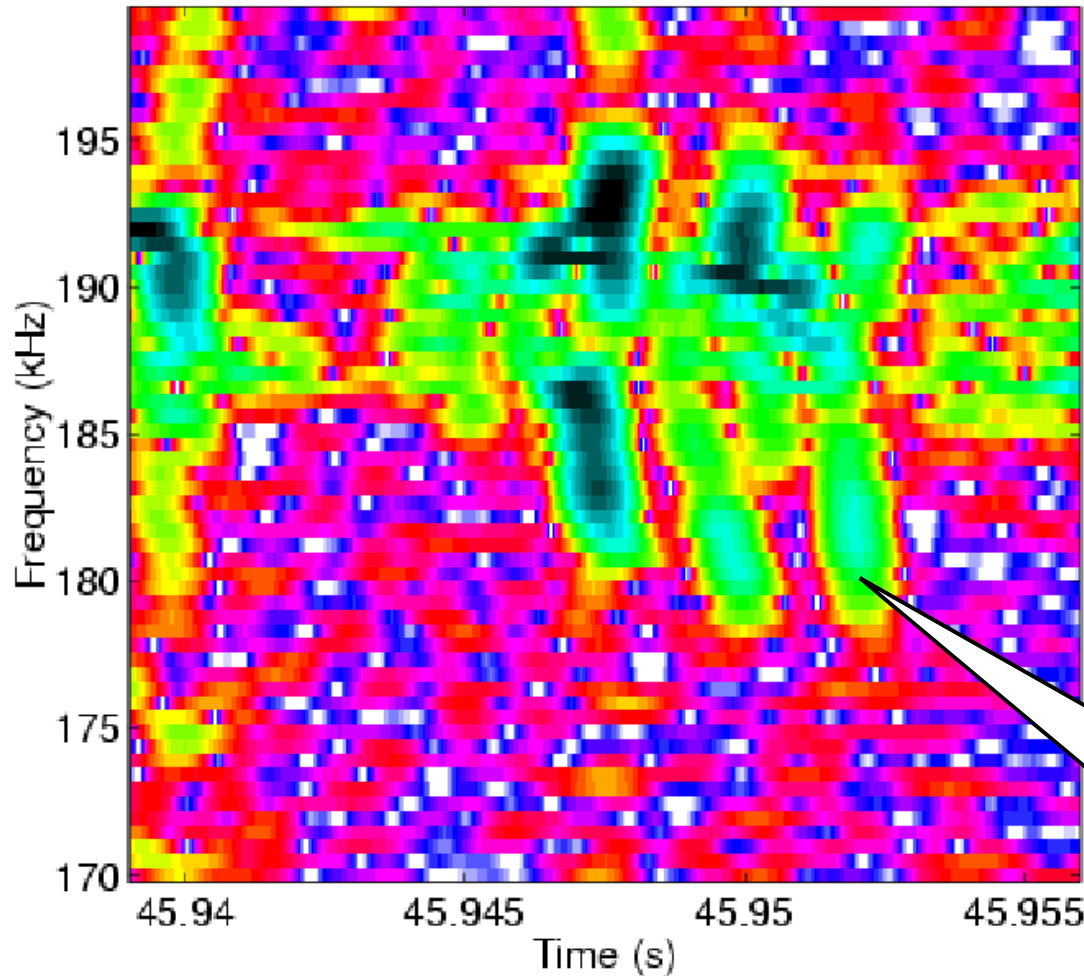
H.L. Berk, B.N. Breizman & N.V. Petviashvili. *Phys. Lett. A* **234** (1997), *Phys. Lett. A* **238** (1998)



# JET Observations

#42940: probe H302 via channel 131

Log(| $\delta B$ |)



- Shear optimised D-T pulse
- TAE modes during current ramp phase

# Using Theory for Diagnostic Purposes

- Trapping frequency is related to TAE amplitude

$$\omega_{b,l}(t) \propto |\delta B|^{1/2}$$

- Frequency sweep is related to trapping frequency

$$\delta\omega \propto \omega_b^{3/2} t^{1/2}$$

- Amplitude related to frequency sweep

[Berk, Breizman & Petviashvili, Phys. Lett. A **234** 213 (1997)]

$$\frac{\delta B}{B} = \frac{1}{C_1^2} \left( \frac{\delta\omega^2}{C_2^2 t} \right)^{2/3}$$

Analytic estimates give correct order of magnitude.  
Numerical simulation required for more accurate estimate.

[H.L. Berk, B. N. Breizman & M. Pekker. *Phys. Rev. Lett.* **76** (1996)]

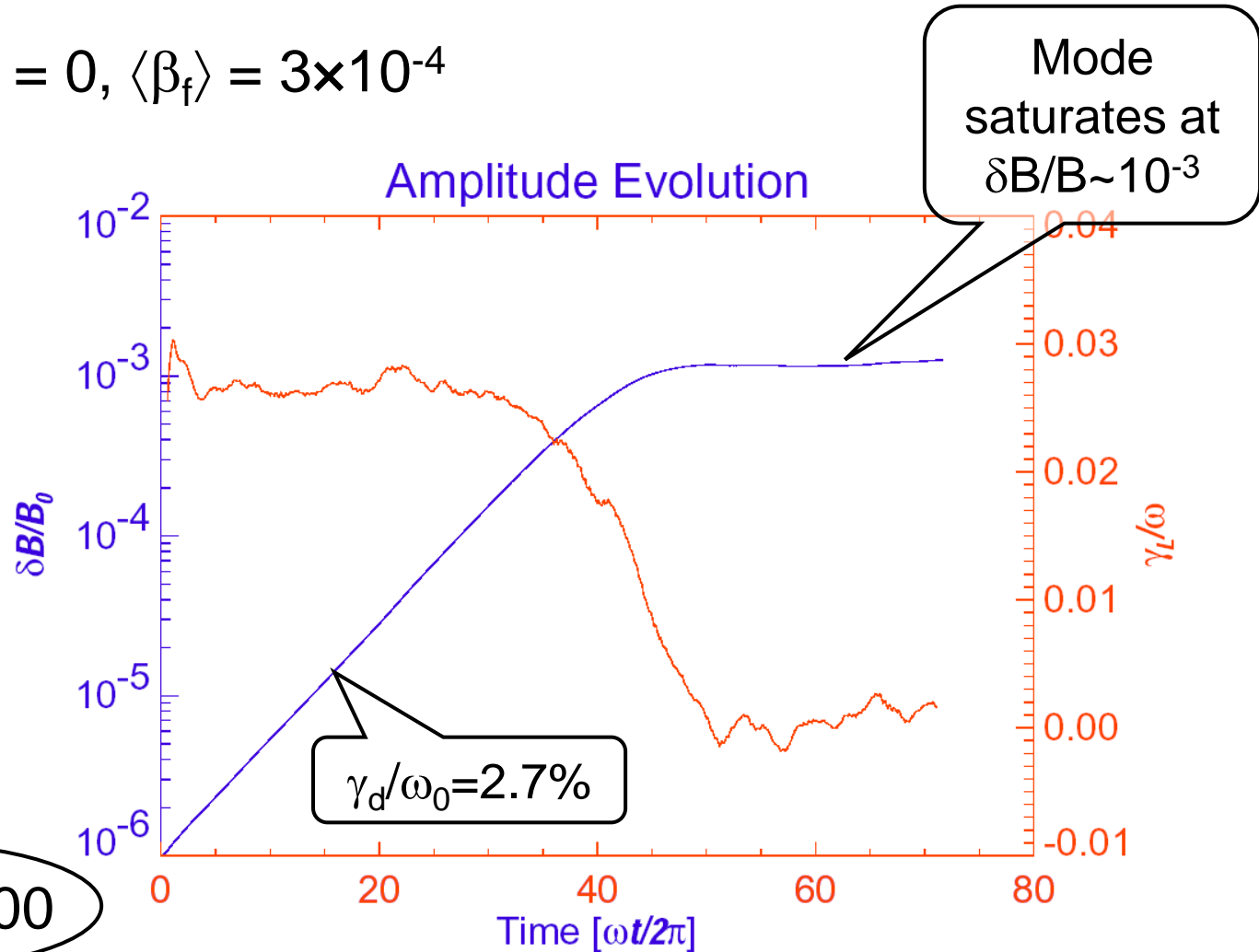
[S D Pinches *et al.*, *Plasma Phys. Control. Fusion* **46** S47-S57 (2004)]

# Validation of Nonlinear Modelling

- Use experimentally observed rate of frequency sweeping to determine wave amplitude and compare with independent measurements
  - In general, numerical modelling is needed to establish the form factor that relates  $\delta\omega$  and  $\delta B$
  - Verify HAGIS for model case
  - Employ HAGIS to establish  $\delta B$  in general case
    - General geometry (including tight-aspect ratio)
    - Mode structure: global mode analysis

# Recall $n = 3$ TAE example

- $\gamma_d/\omega_0 = 0$ ,  $\langle\beta_f\rangle = 3\times 10^{-4}$

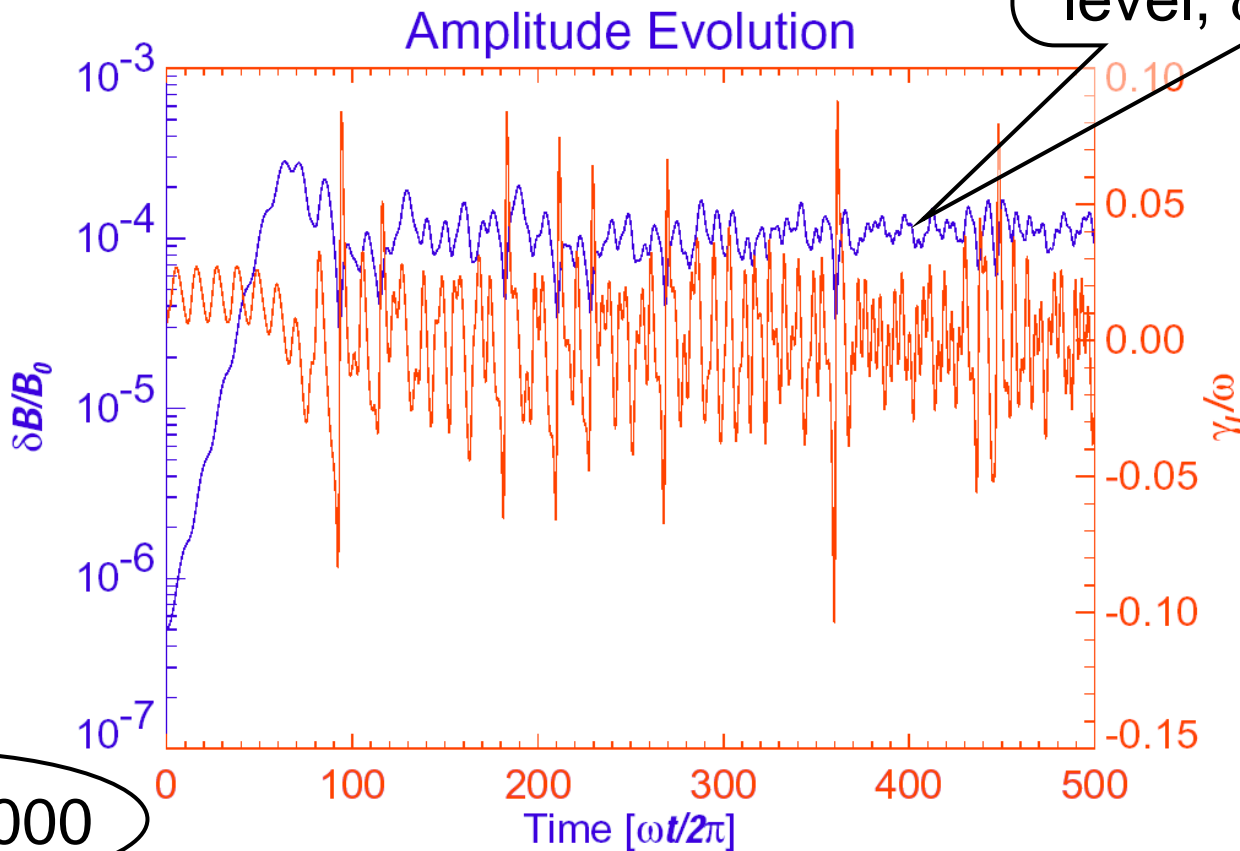


$n_p = 52,500$

# ...with additional damping

- $\gamma_d/\omega_0 = 2\%$ ,  $\langle\beta_f\rangle = 3\times 10^{-4}$

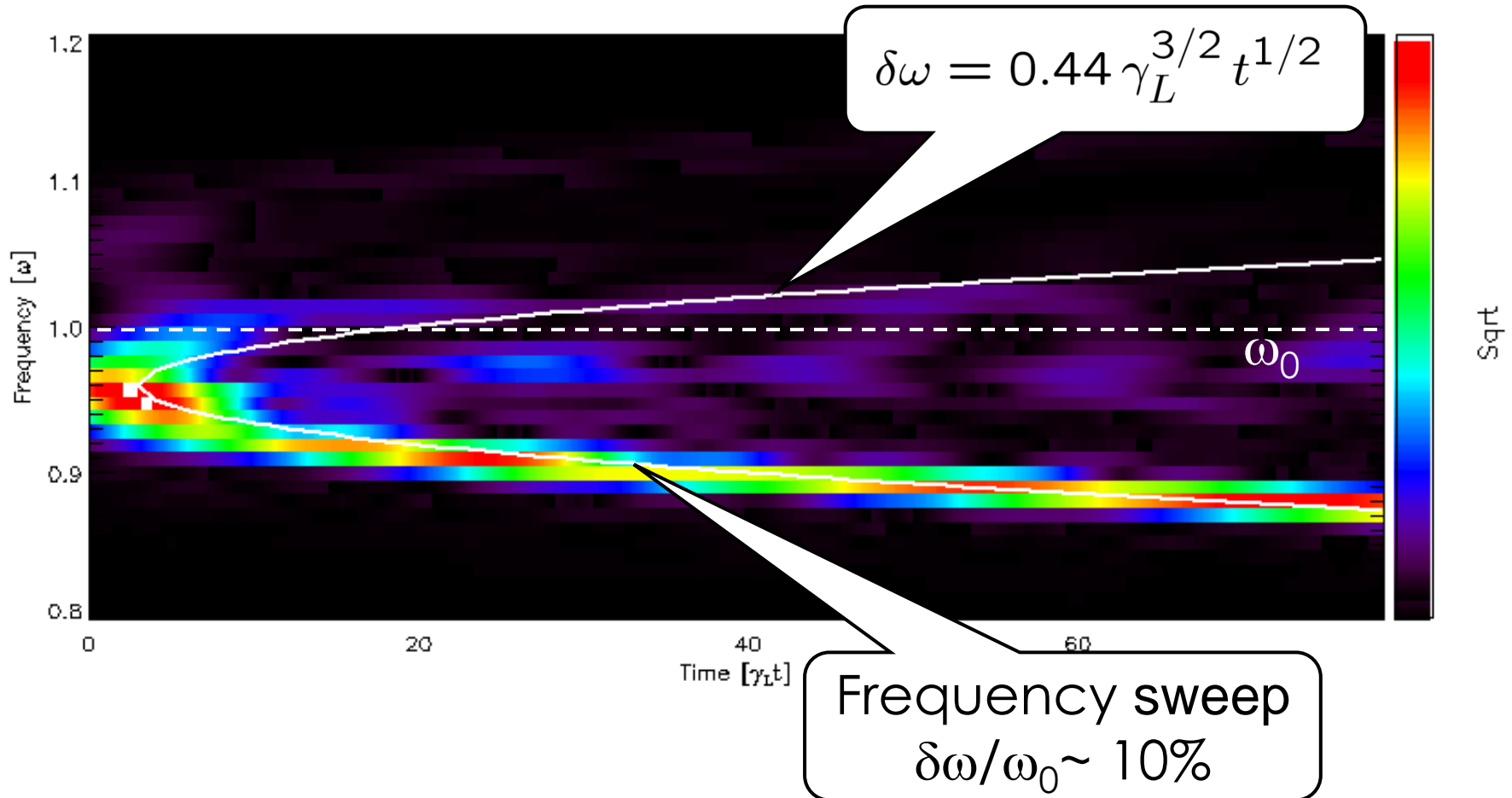
Mode saturates at much lower level,  $\delta B/B \sim 10^{-4}$



$n_p = 210,000$

# Frequency Sweeping

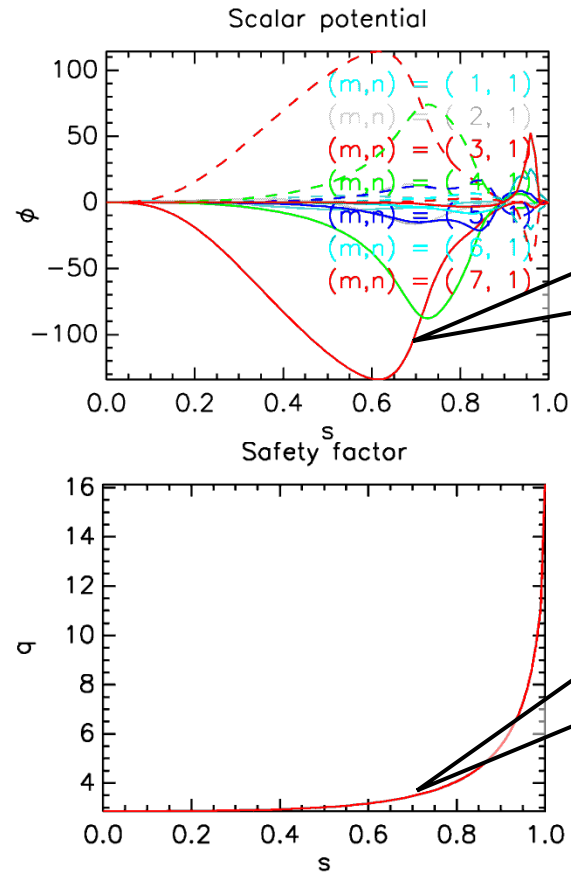
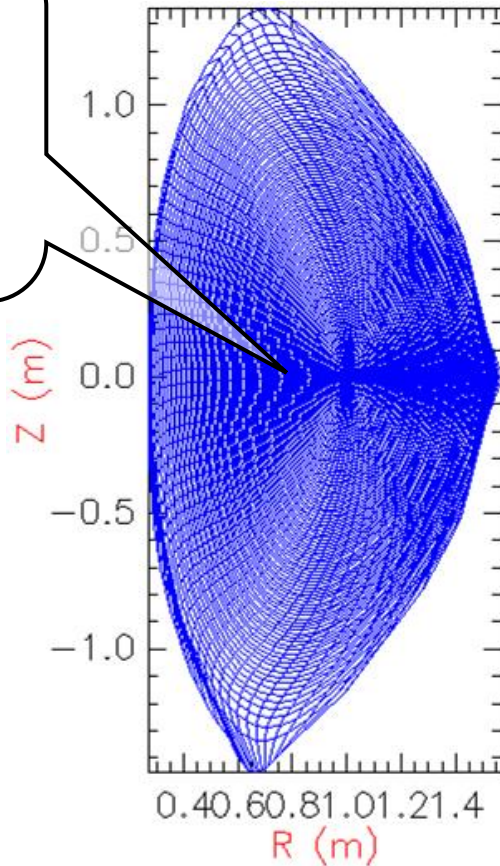
- Fourier spectrum of evolving mode



# MAST #5568

- Obtain factor relating  $\omega_b$  and  $\delta B$

$E_b = 40 \text{ keV}$   
 $a/R_0 = 0.7$   
 $B_0 = 0.5 \text{ T}$   
 $R_0 = 0.77 \text{ m}$



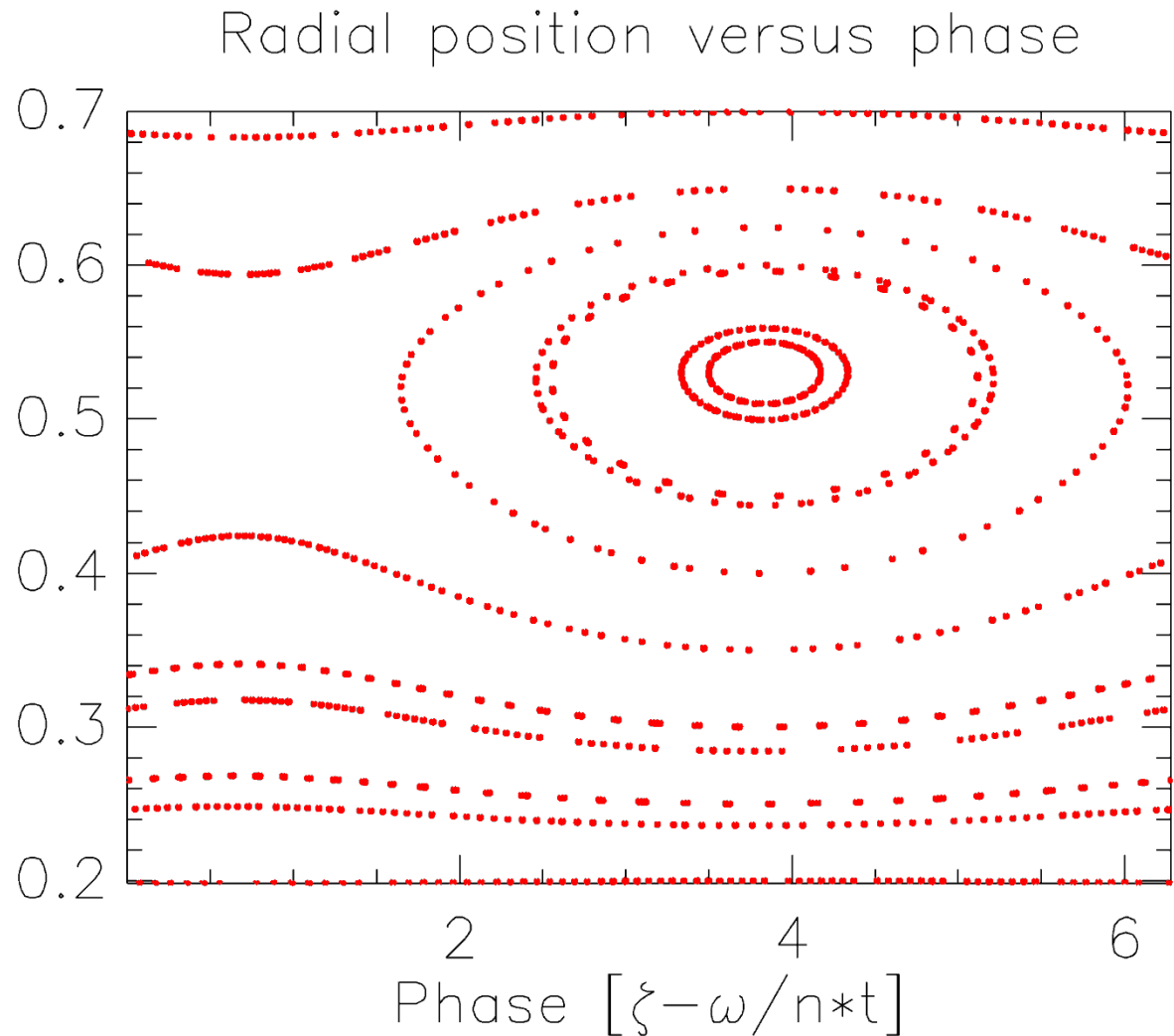
Global  
 $n=1$  TAE

Monotonic  
q-profile

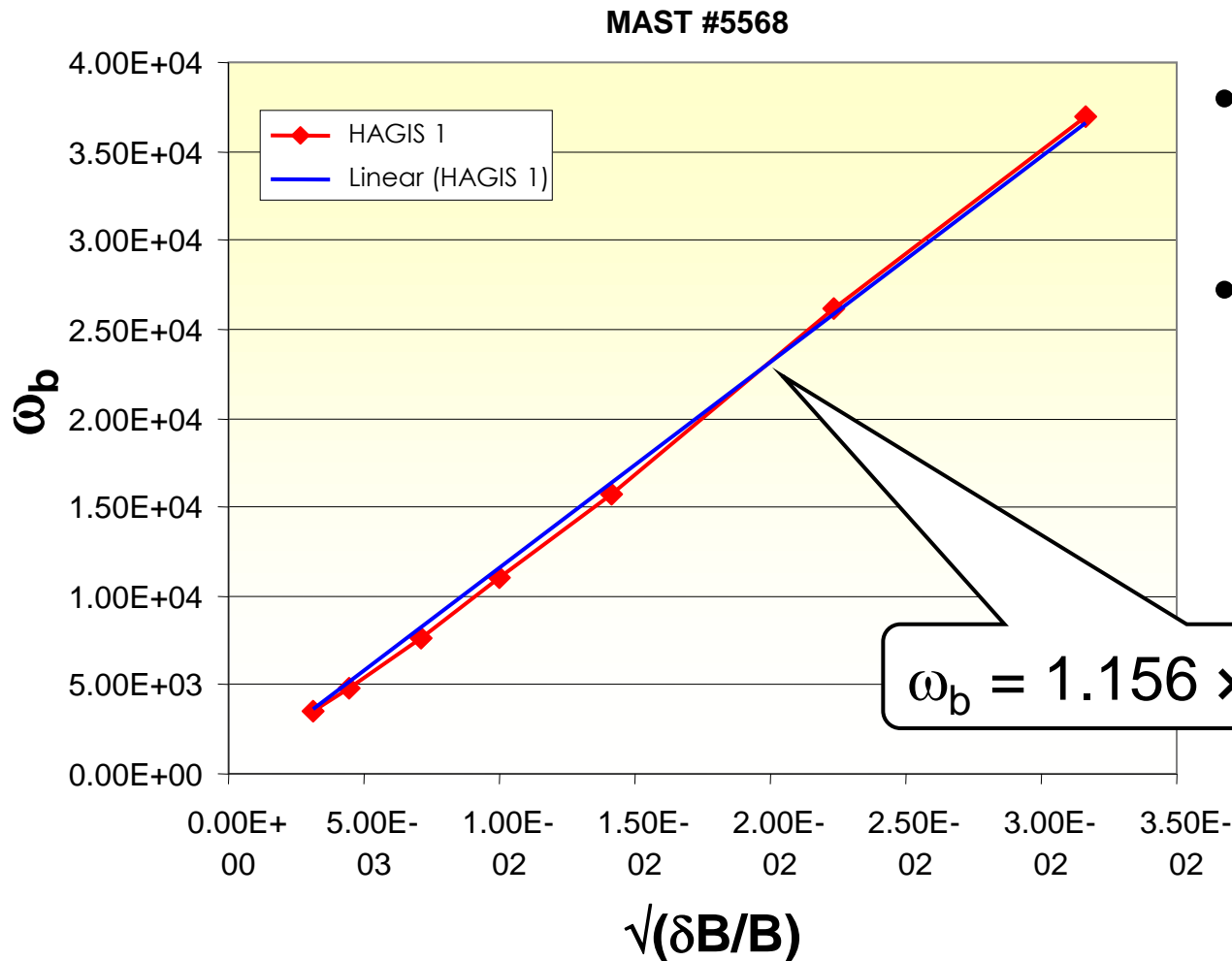


# Particle Trapping in MAST

- Particles trapped in TAE wave
  - All particles have same  $H' = E - \omega/n P_{\zeta} = 20 \text{ keV}$
  - TAE amplitude:  $\delta B/B = 10^{-3}$

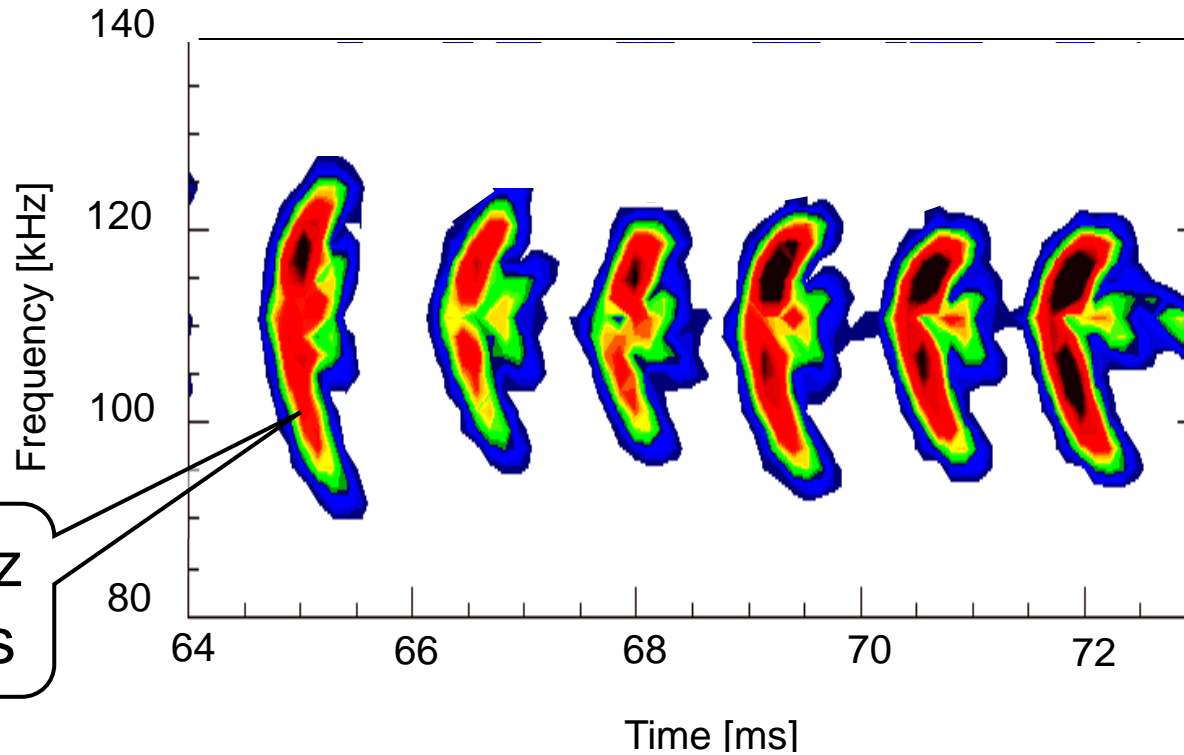


# Scaling of Nonlinear Bounce Frequency



- Monotonic  $q$  profile
- $H' = 20$  keV

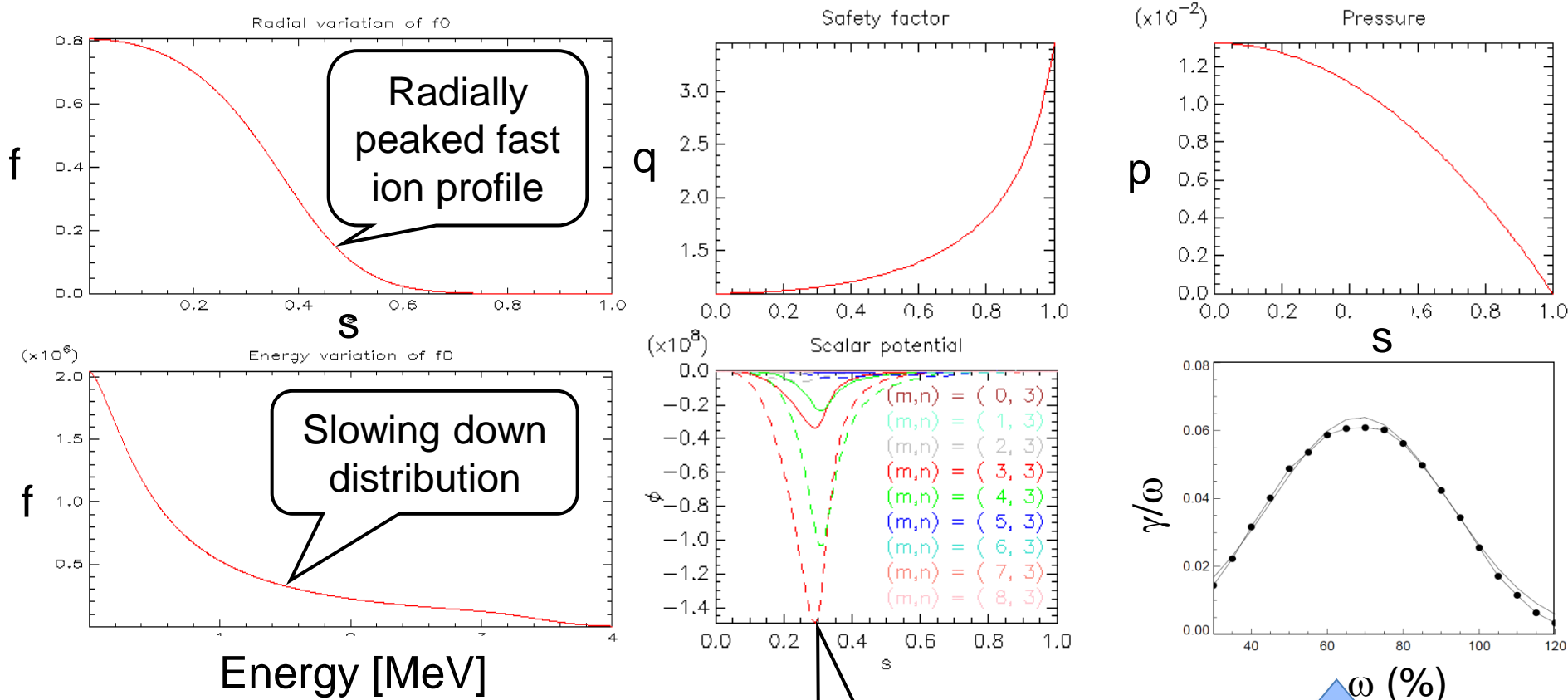
# TAE Amplitude in MAST




$$\frac{\delta B}{B} = \frac{1}{(1.156 \times 10^6)^2} \left( \frac{32 \delta f^2}{\delta t} \right)^{2/3}$$

$$= 4 \times 10^{-4}$$

# Consider again our $n = 3$ TAE case

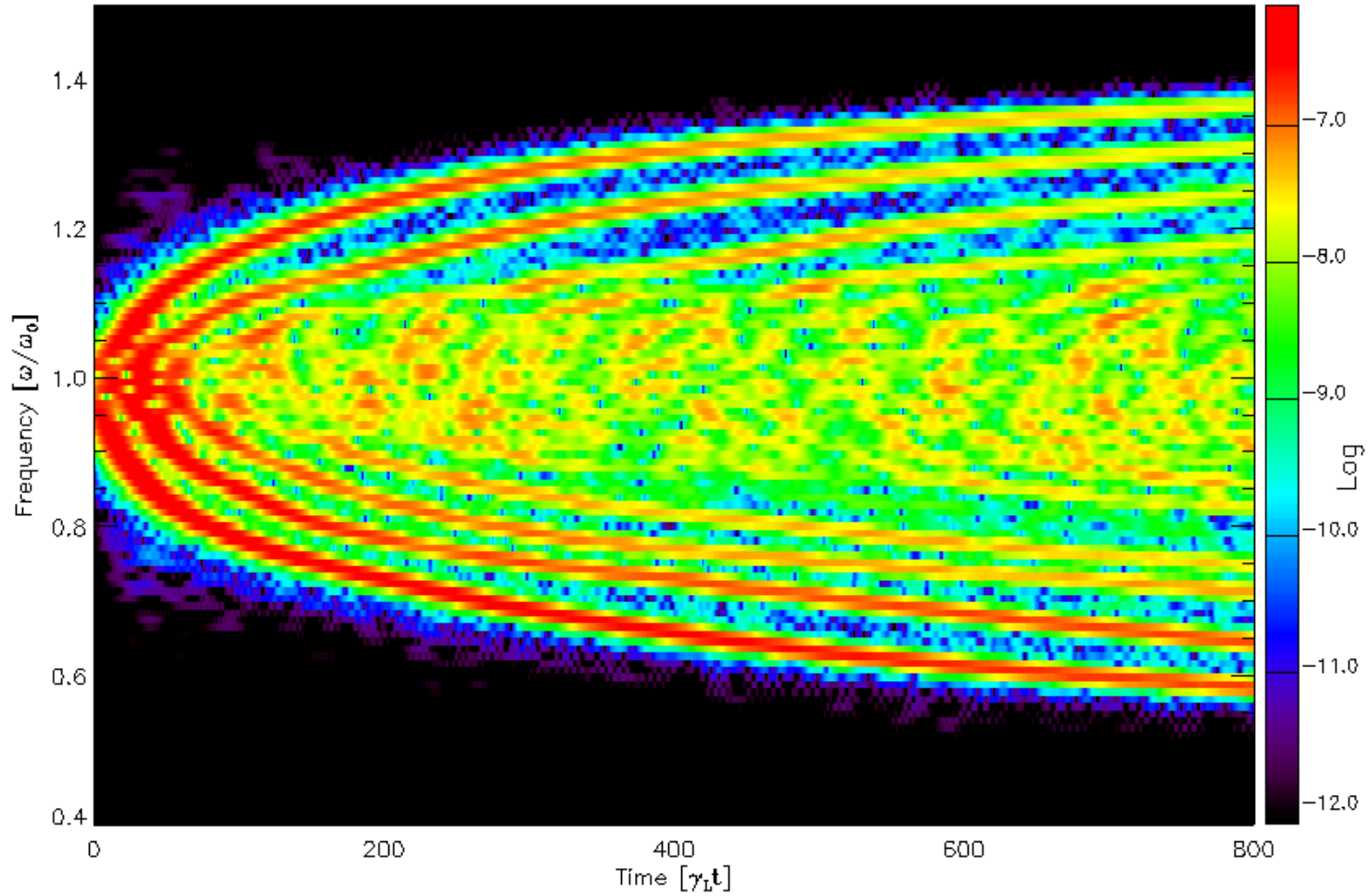


- Equilibrium:
  - $a/R_0 = 0.3$     –  $E_0 = 3.5$  MeV
  - $q_0 = 1.1$     –  $\beta_f = 3 \times 10^{-4}$


  
**Growthrate has a maximum ( $\sim 6\%$ ) at  $\sim 70\%$  of original frequency**

# Effect of damping


- $n_p = 262,500$ ,  $\gamma_d/\omega_0 = 6\%$



Long term symmetric frequency sweeping,  $\delta\omega \sim t^{1/2}$

# HAGIS Code: Fast Particle Drag

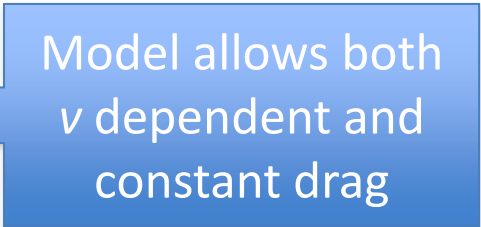
- Introducing drag into the kinetic equation:

$$\dot{f} = \frac{\partial f}{\partial t} + \mathbf{v} \cdot \frac{\partial f}{\partial \mathbf{x}} + \frac{\mathbf{F}}{m} \cdot \frac{\partial f}{\partial \mathbf{v}} = \underbrace{\nu_{ei} \frac{\partial}{\partial \mathbf{v}} (\mathbf{v} f)}_{\text{Drag term, } C} + S$$


- Manifests itself through a change in the characteristics of the kinetic equation (marker trajectories)

$$\frac{\partial f}{\partial t} + \frac{\partial}{\partial \mathbf{x}} (\mathbf{v} f) + \frac{\partial}{\partial \mathbf{v}} (\mathcal{D} f) = 0$$

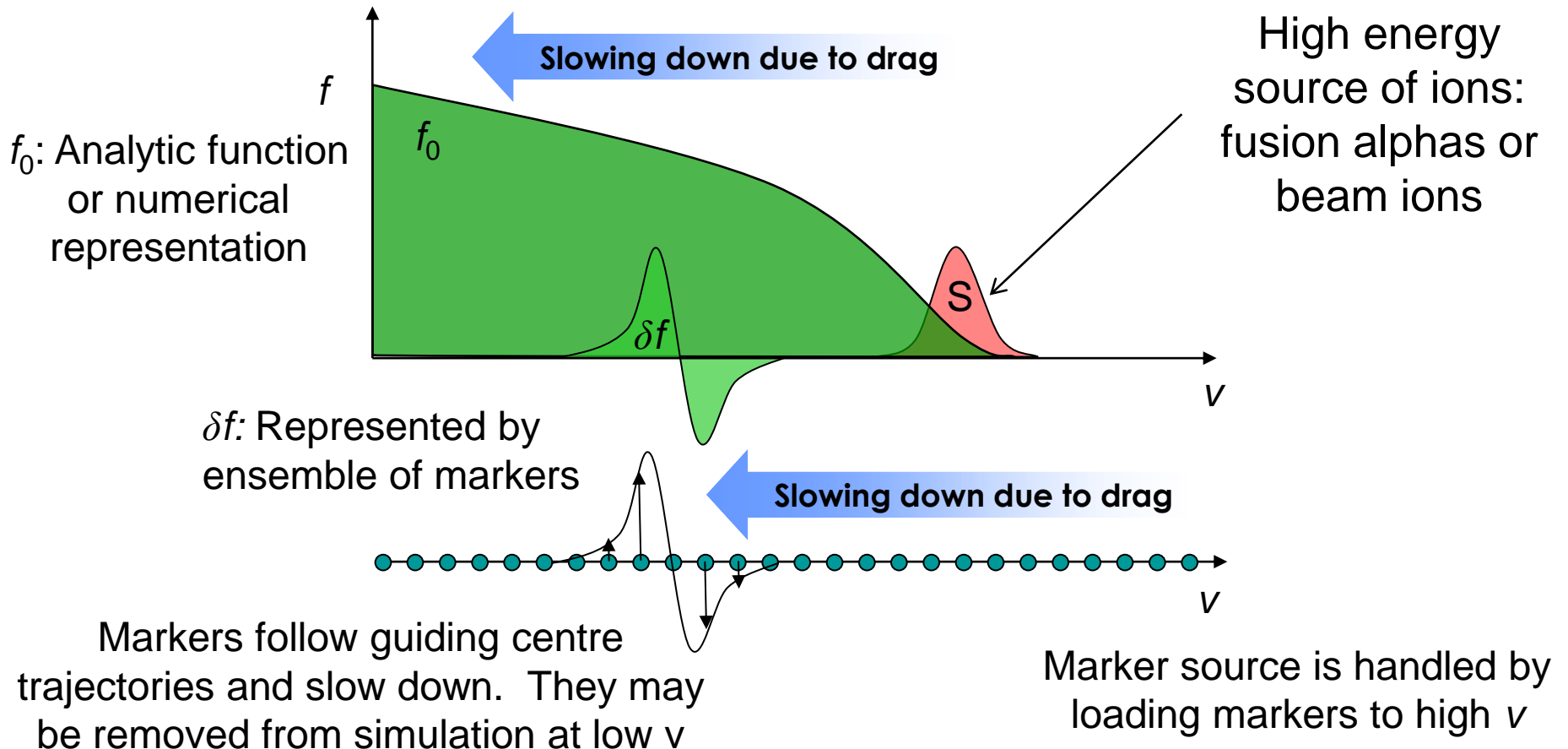
$$\frac{\partial \mathbf{v}_j}{\partial t} \longrightarrow \frac{\partial \mathbf{v}_j}{\partial t} - \nu_{ei} \mathbf{v}_j$$



Model allows both  $\nu$  dependent and constant drag

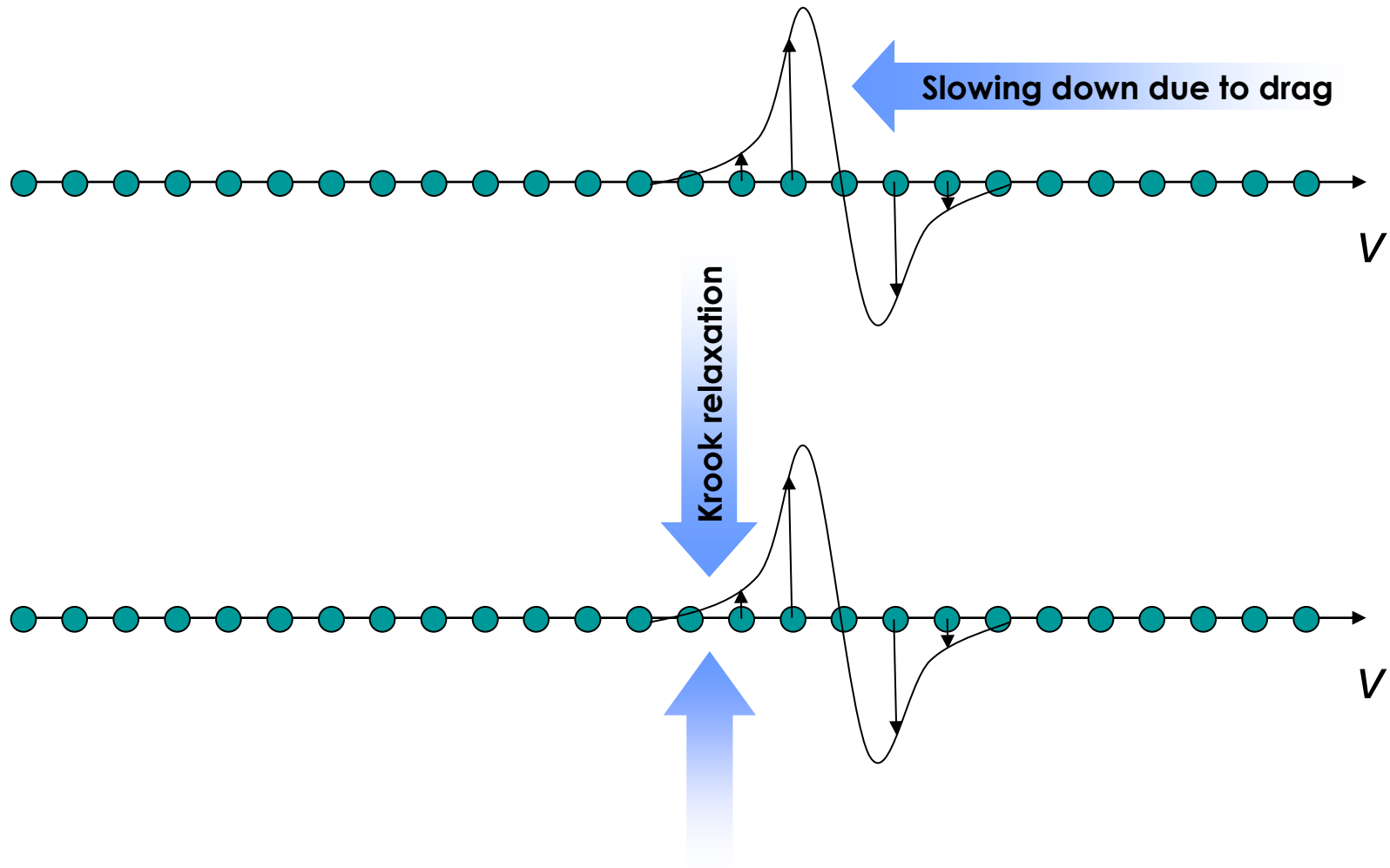
# HAGIS Code: Fast Particle Drag

- Including drag necessitates the inclusion of a fast ion source to maintain initial steady-state conditions

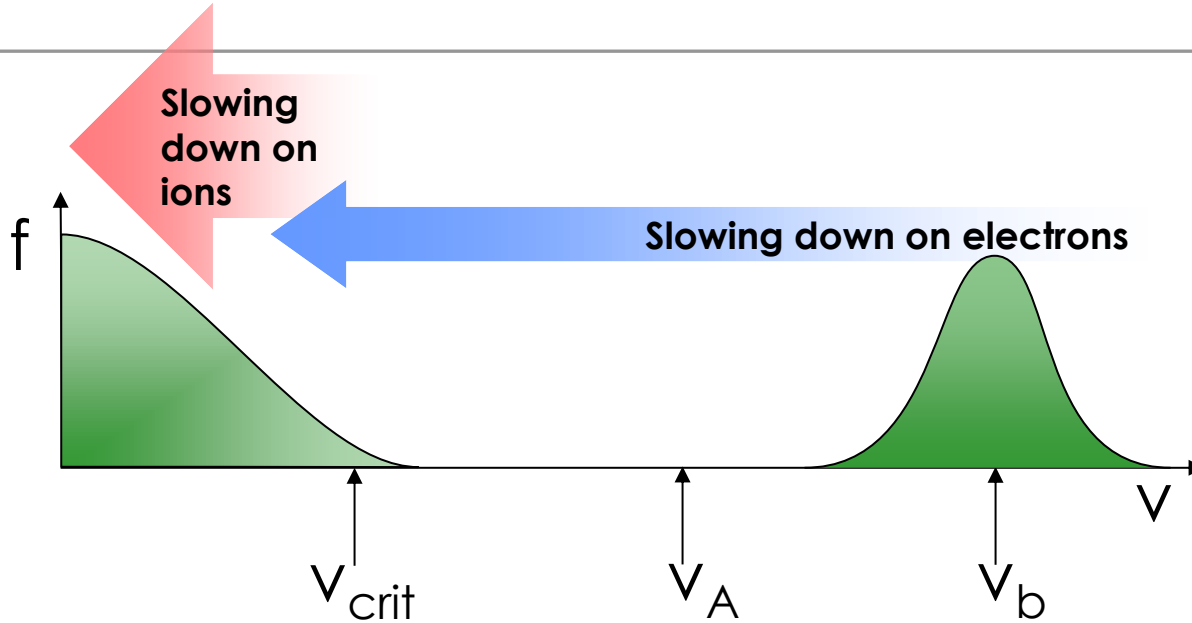




# Perturbation to distribution moves through phase space affecting gradients and stability

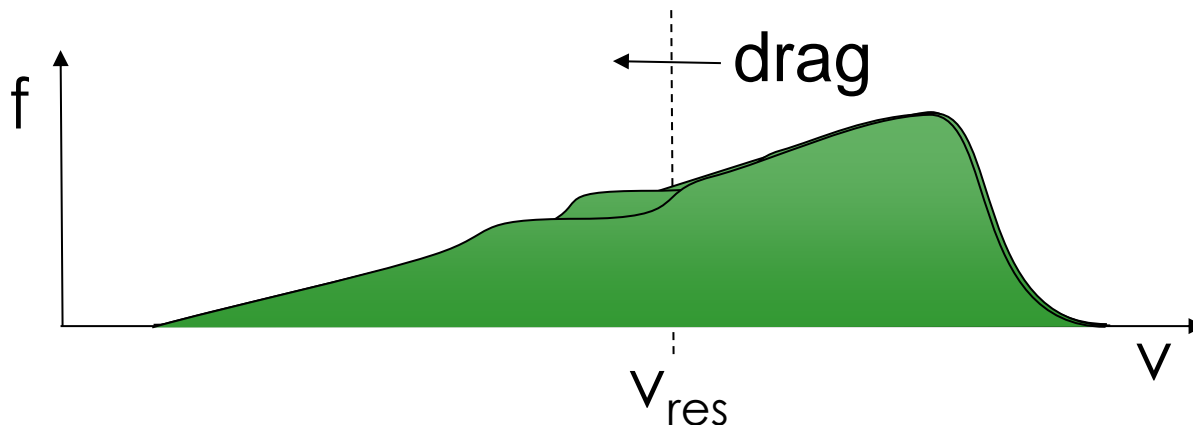


# Super-Alfvénic ion source and effect of drag



NBI blip or alpha source for  $\Delta t$

## Bump-on-tail distribution

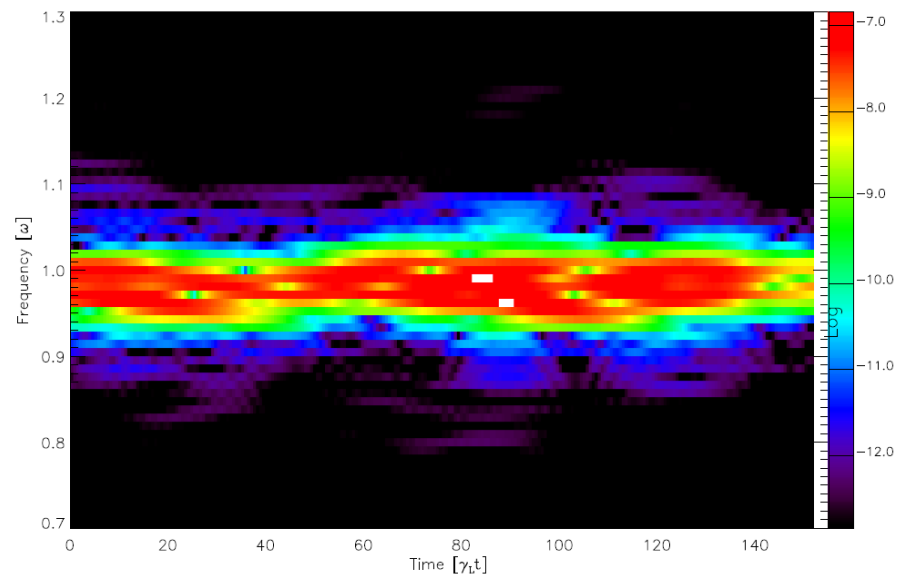
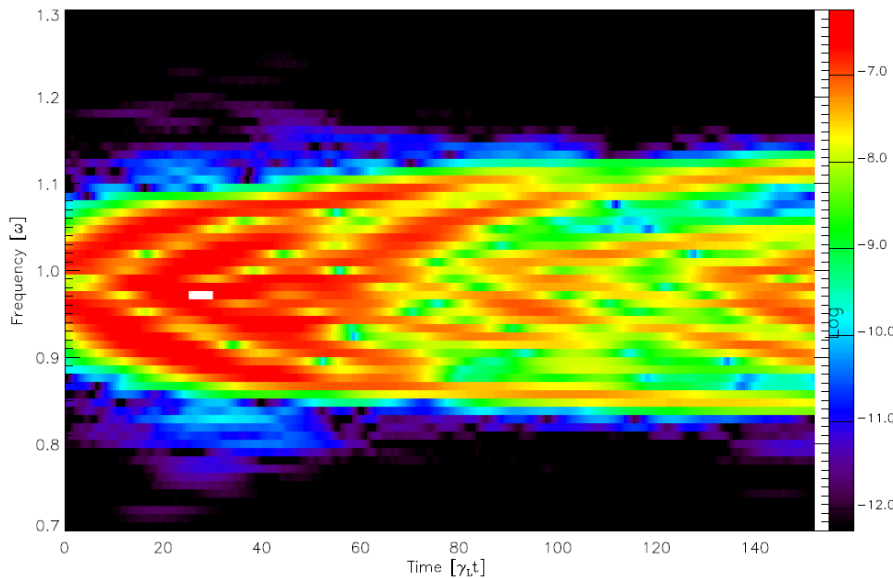


Wave flattens distribution and removes drive

Drag moves flat spot leading to increased drive and explosive growth!

# Effect of (Krook) relaxation

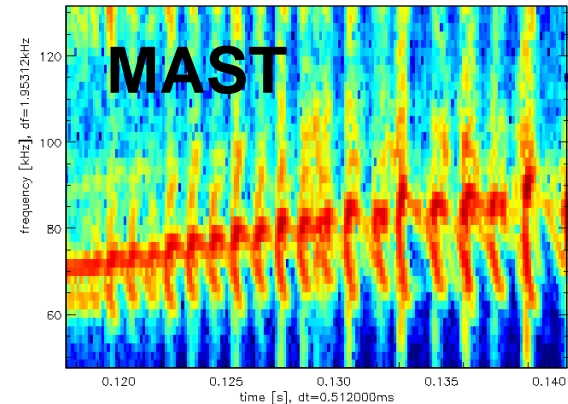
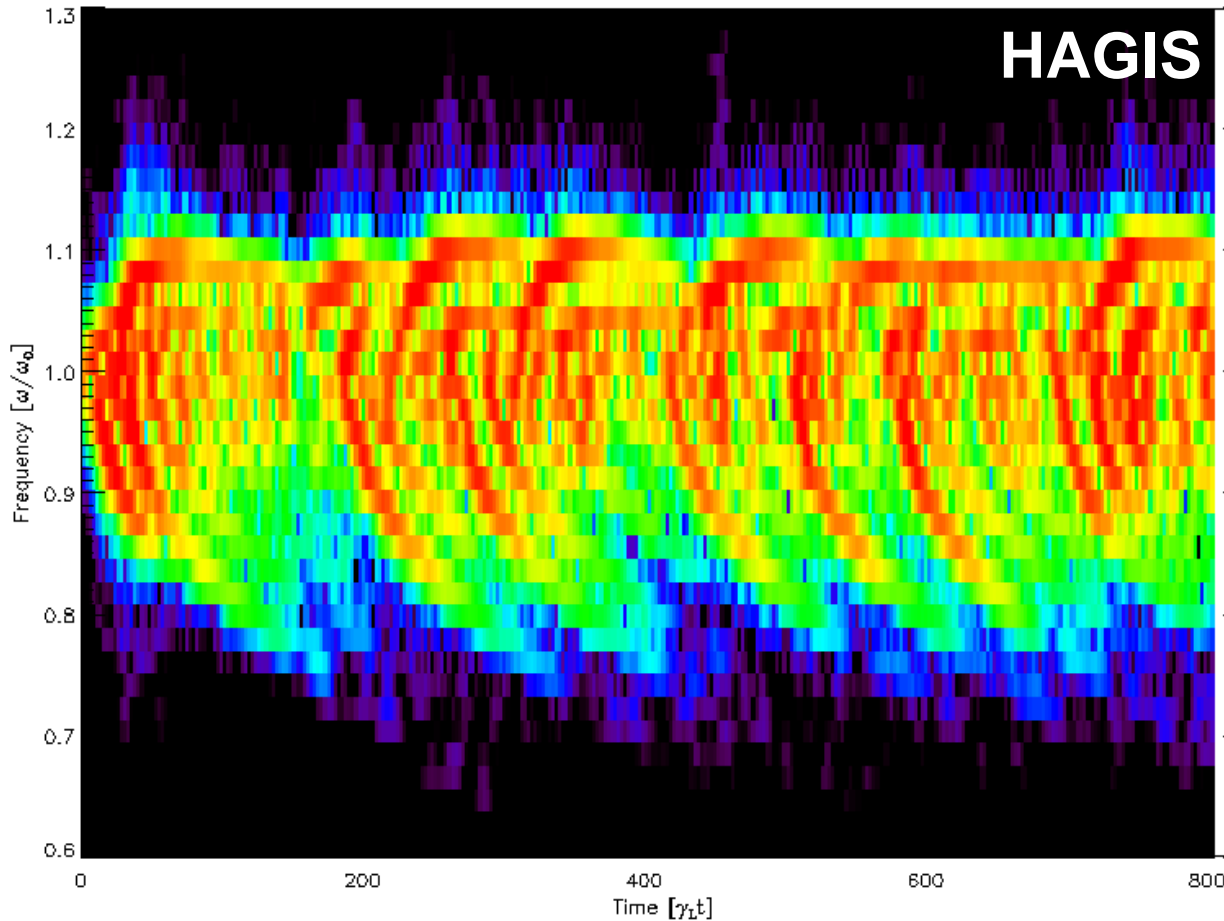
- If  $v_{\text{eff}}$  is  $\sim 1\%$  of  $\gamma_L$  then frequency sweeping structures are destroyed after  $\sim 100 \gamma_L t$



- Increasing Krook relaxation to 10% almost completely eradicates any mode sweeping

# Nonlinear Behaviour: Drag + Krook

- $n_p = 262,500$ ,  $\gamma_L/\omega_0 = 6.12\%$ ,  $\gamma_d/\omega_0 = 6\%$ ,  $v_{ei}/\omega_0 = 0.3\%$ ,  $v_{eff}/\omega_0 = 1\%$

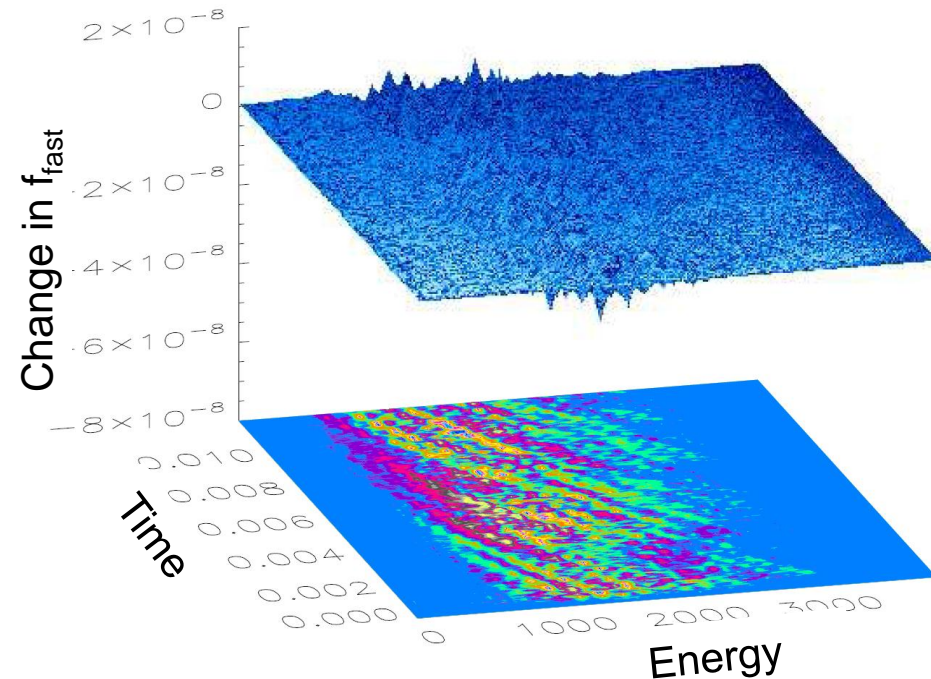
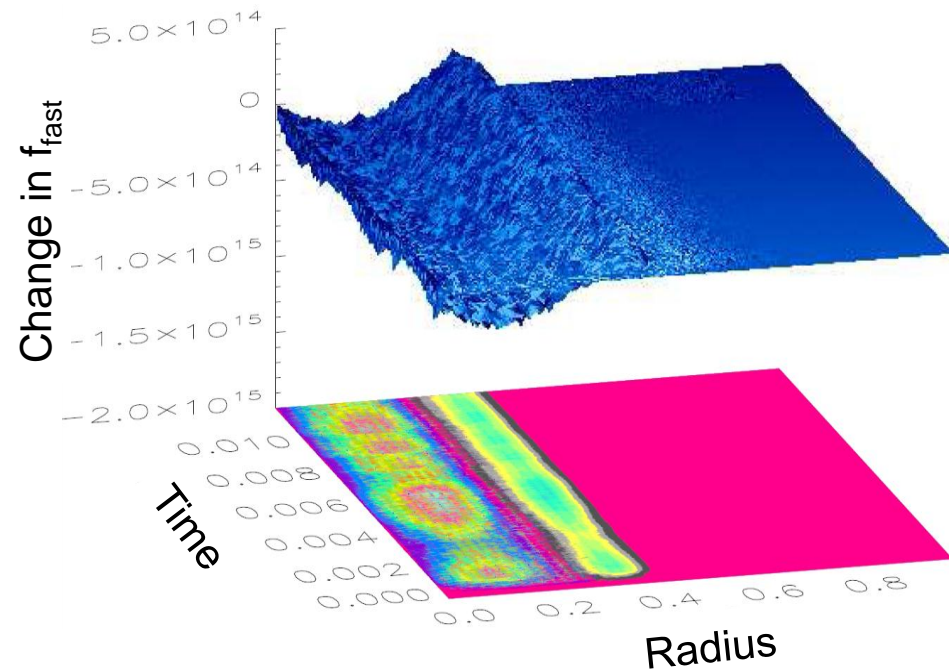


Frequency sweeping  
TAE in MAST #22807

- Asymmetric, repetitive, frequency sweeps:  $\delta\omega/\omega_0 \sim \pm 30\%$

# Fast Ion Redistribution: Drag + Krook

- Changes to fast ion distribution due to nonlinear self-consistent wave-particle interaction:
  - Extensive and sustained redistribution



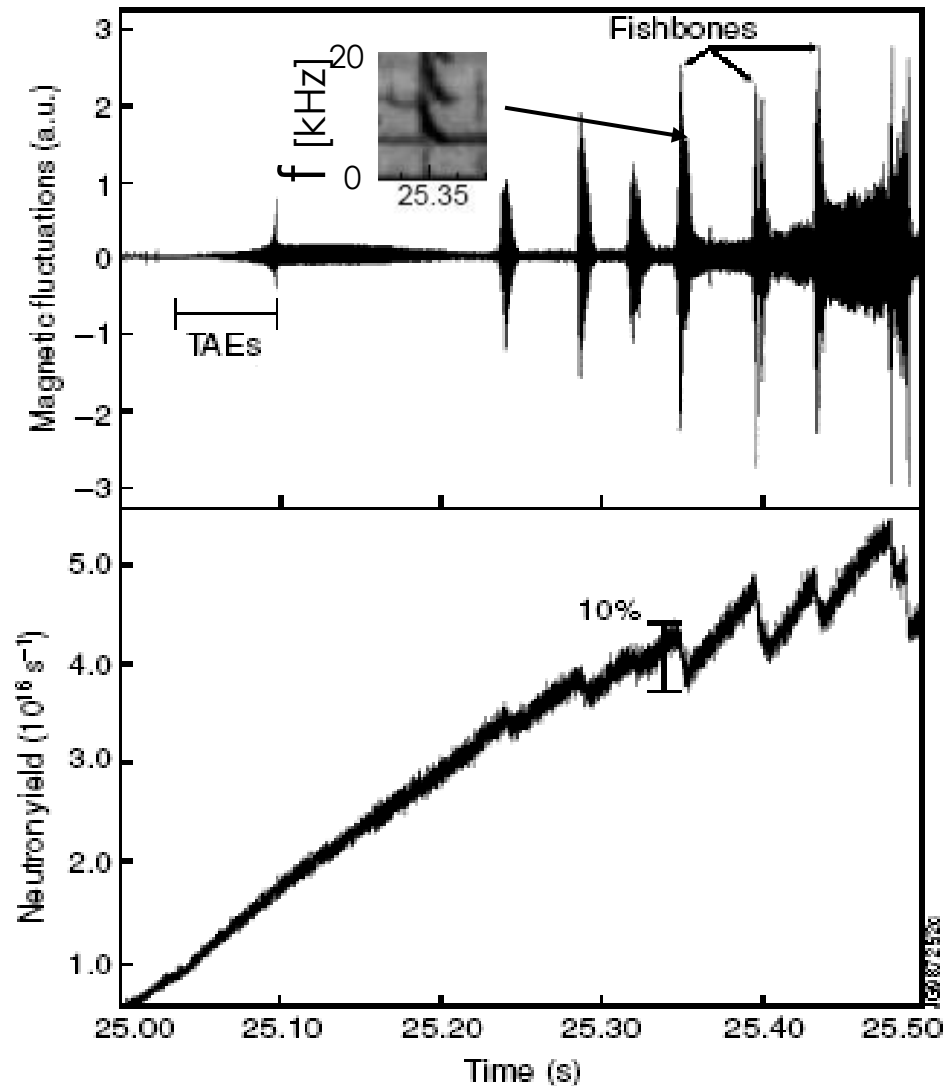
- $n_p = 262,500$ ,  $\gamma_L/\omega_0 = 6.12\%$ ,  $\gamma_d/\omega_0 = 6\%$ ,  $v_{ei}/\omega_0 = 0.3\%$ ,  $v_{eff}/\omega_0 = 1\%$

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# FISHBONES

# Fast Particle Losses in JET

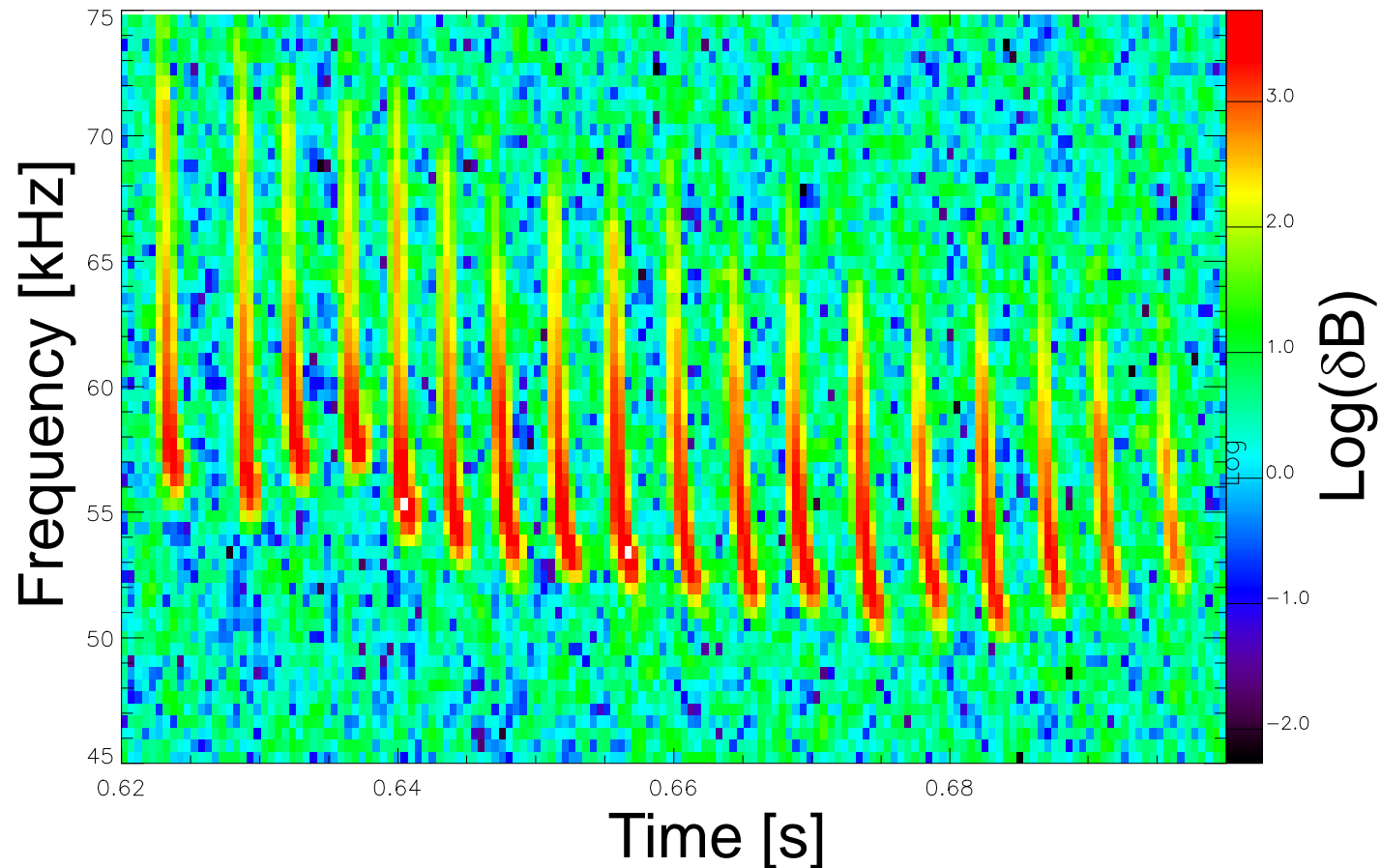
- NBI heating
  - $V_b \sim V_A$
- 10% drop in neutron yield due to ‘fishbones’



D.N. Borba *et al.*, Nucl. Fusion **40** (2000)



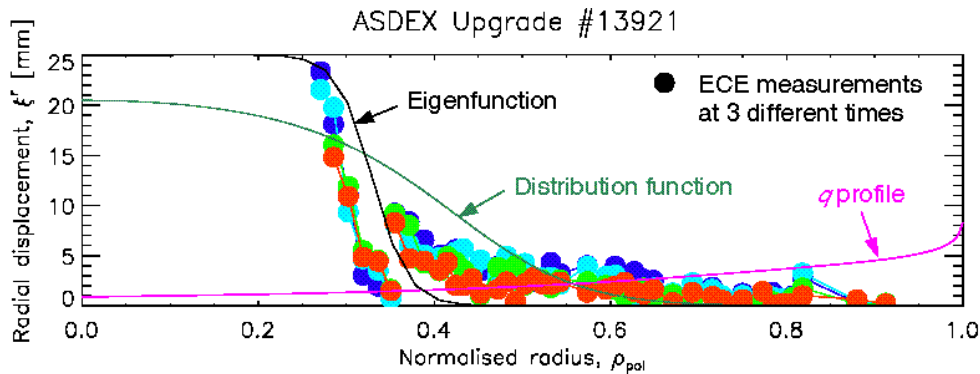
# Fishbone Instability



- Frequency sweeping mode driven by fast particles
- Consistent MHD/kinetic description being developed

A. Ödblom *et al.*, Phys. Plasmas **9** (2002) 155

# Modelling Fishbones in ASDEX Upgrade

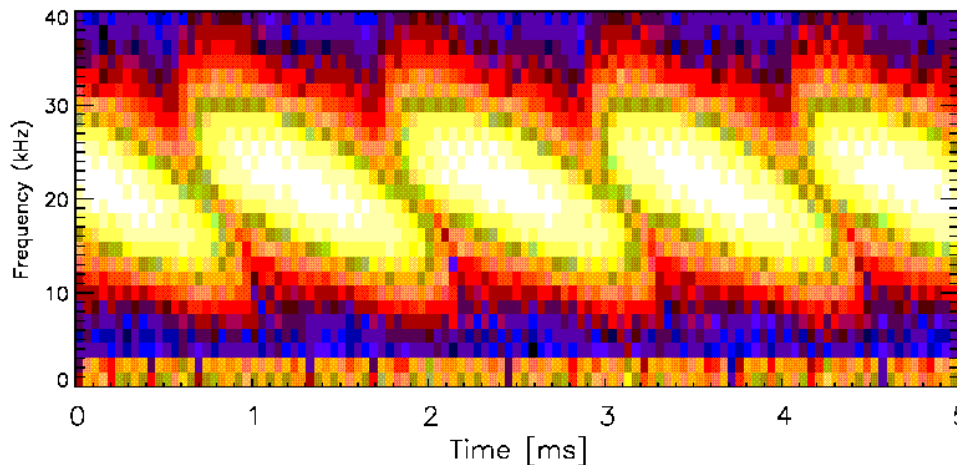
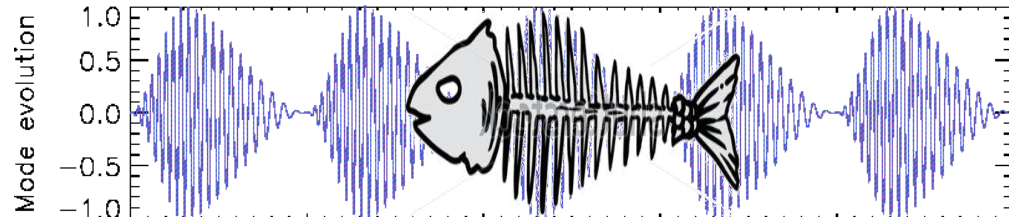


- $m=1, n=1$  internal kink
- Linear frequency chirps (27.5 → 20 kHz)

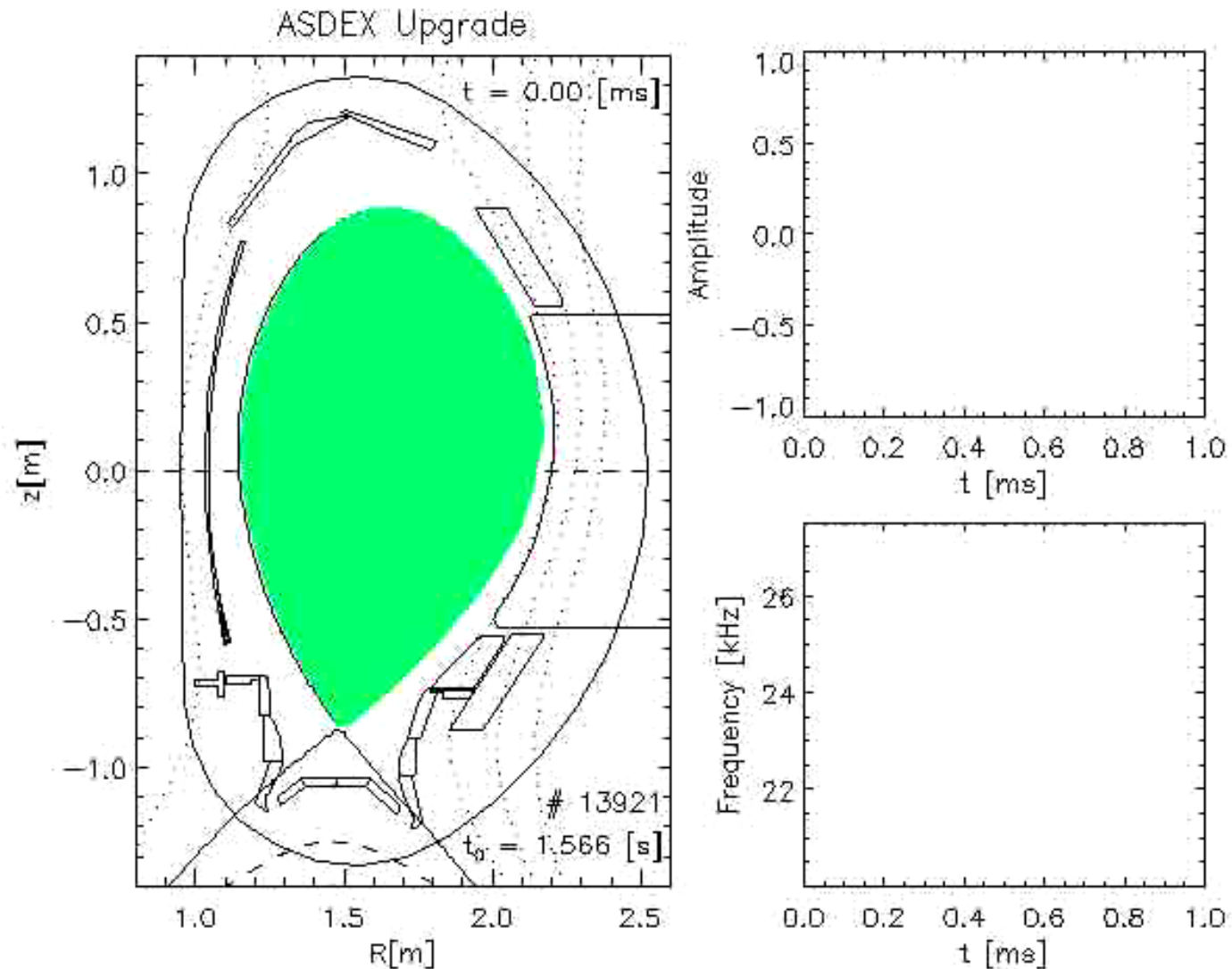
- Repetition rate: 1 ms

- Slowing down distribution of 60 keV NBI ions

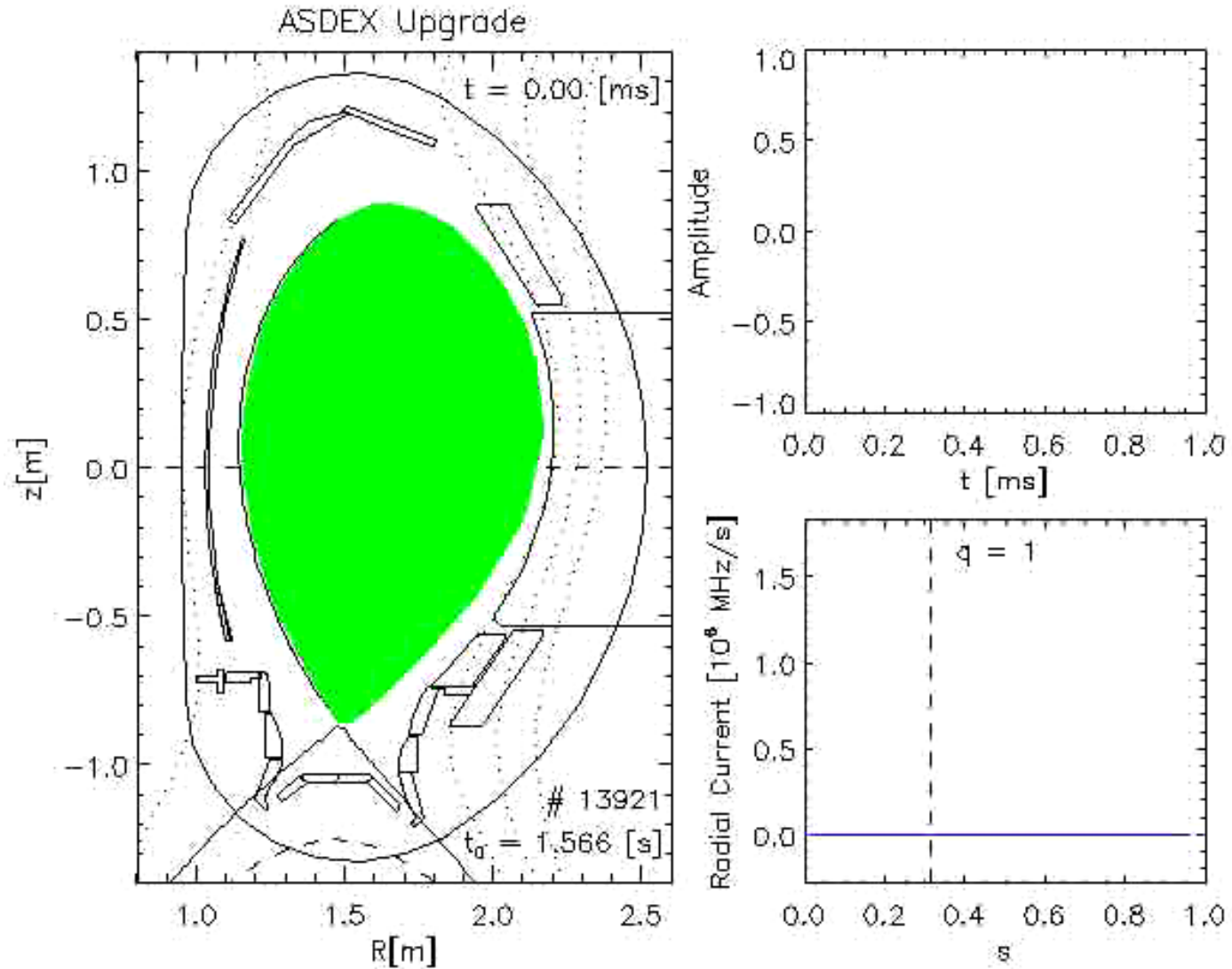
- $\langle \beta_{fast} \rangle = 0.36\%$



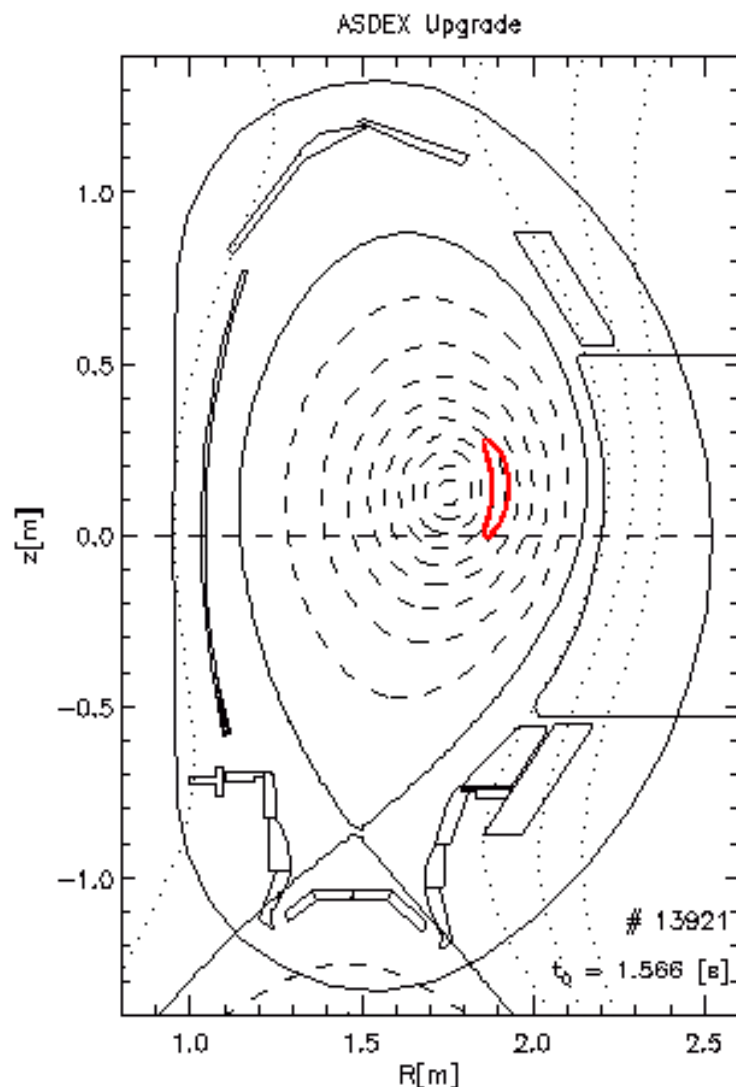
# Fishbone Evolution



# Fishbone Simulation



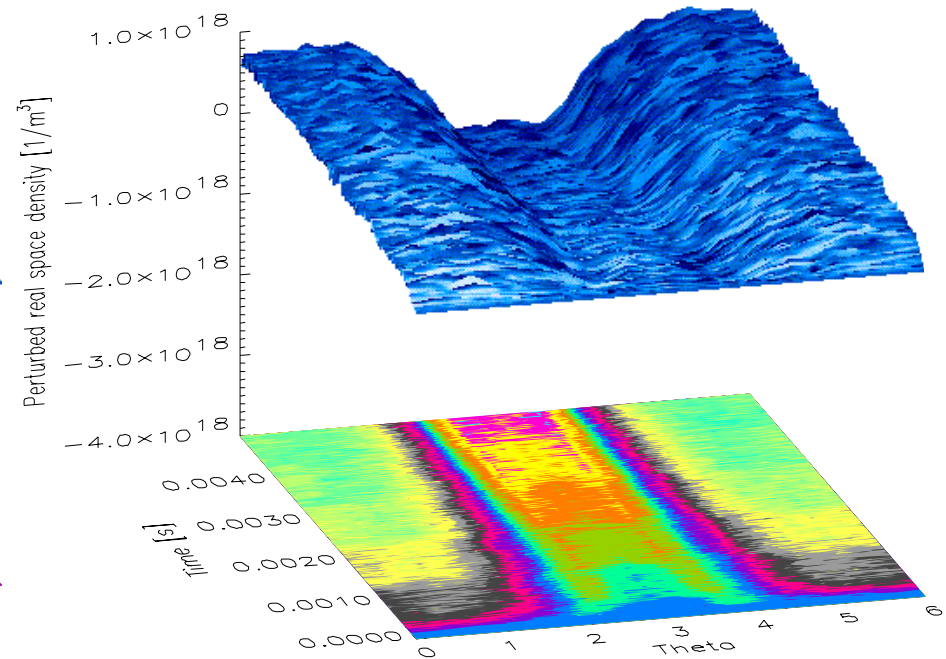
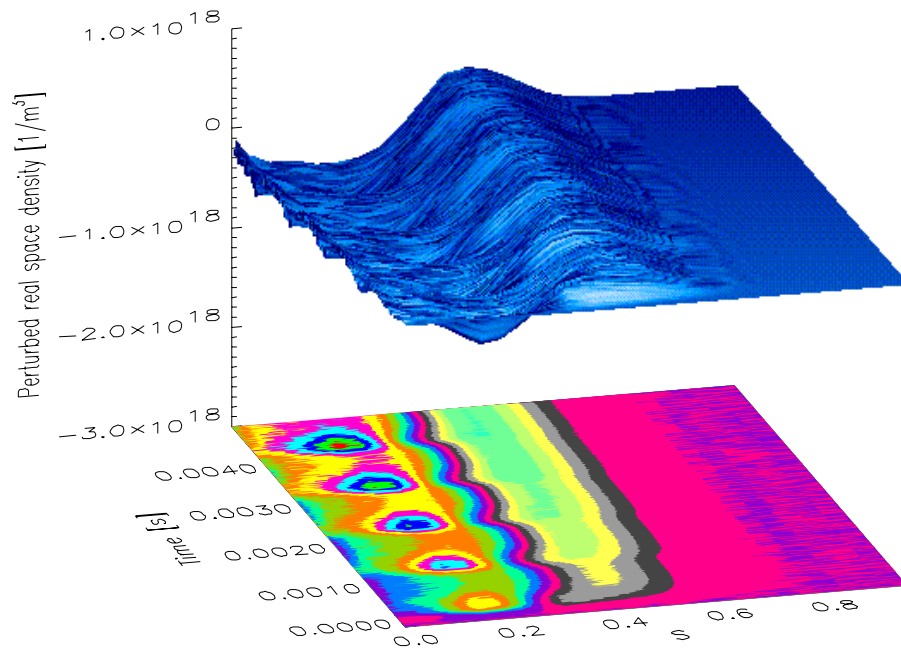
# Current Carrying Ion



- Trapped ion at  $q = 1$  surface
- Energy,  $E = 55$  keV
- Precession frequency,  $\omega_\phi = 7$  kHz
- Bounce frequency,  $\omega_b = 41$  kHz

# Spatial redistribution due to fishbones

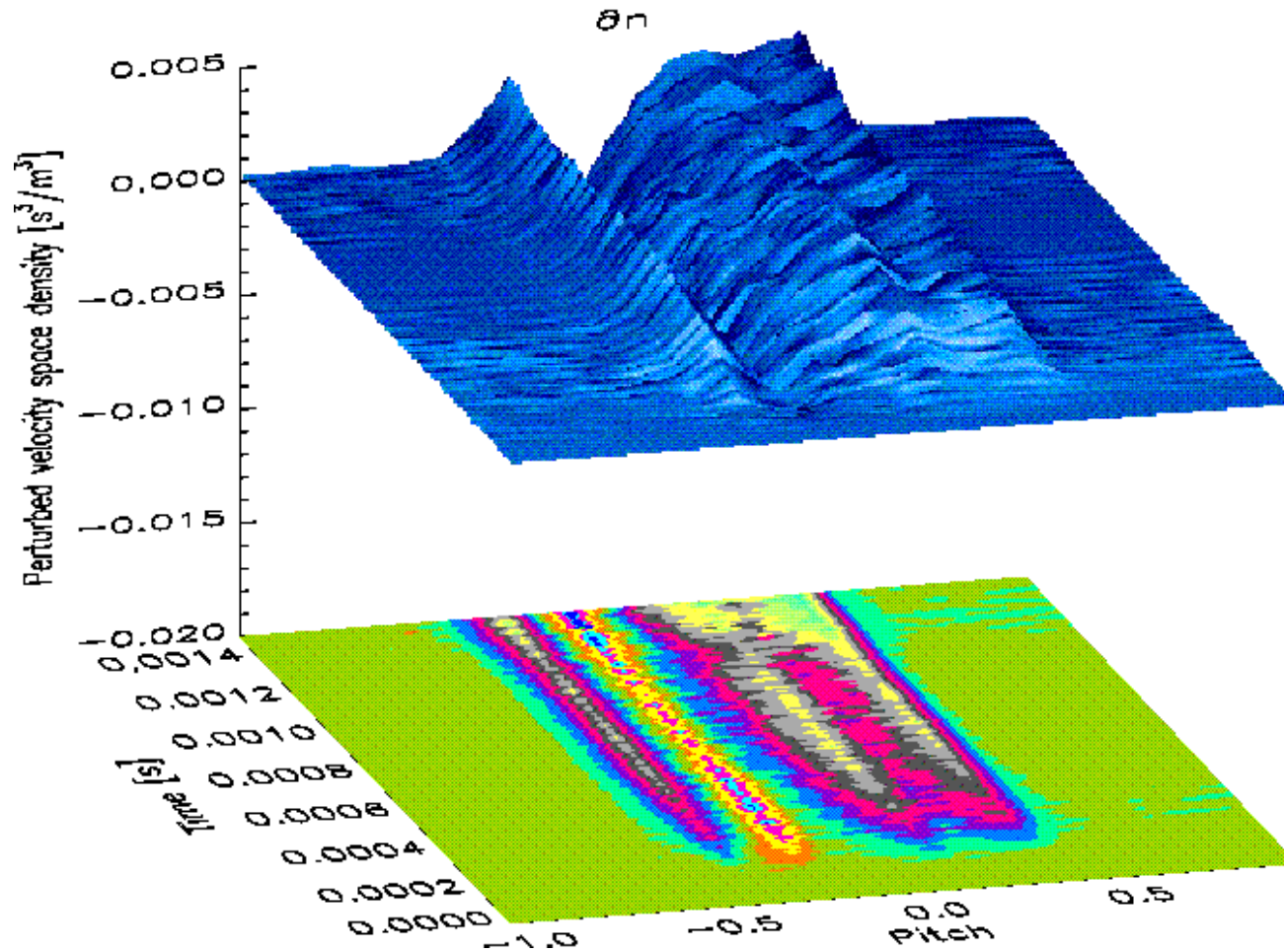
- Fast ions radially expelled towards low field side





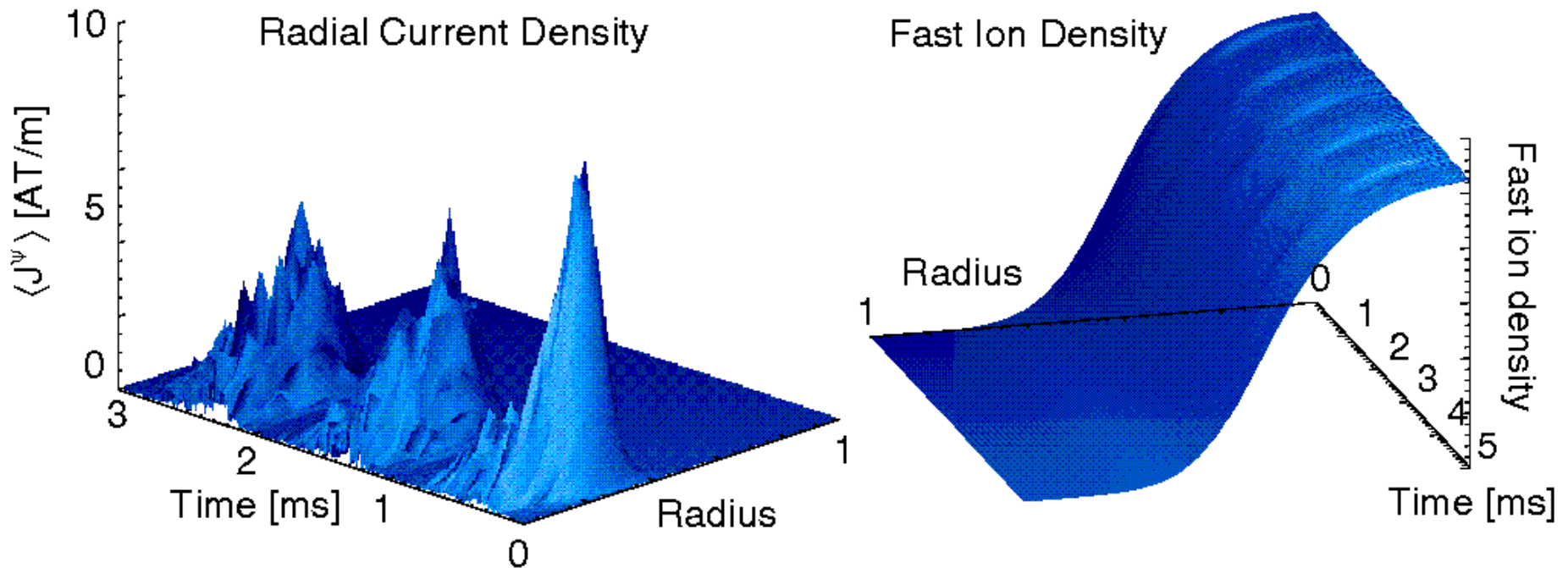
# Pitch Angle Redistribution

- Change in trapped/passing fast ion distribution



# Fast Ion Radial Current

- $\delta f$  simulation with HAGIS code gives  $\langle J^\psi(t) \rangle$  and variation of fast ion distribution function



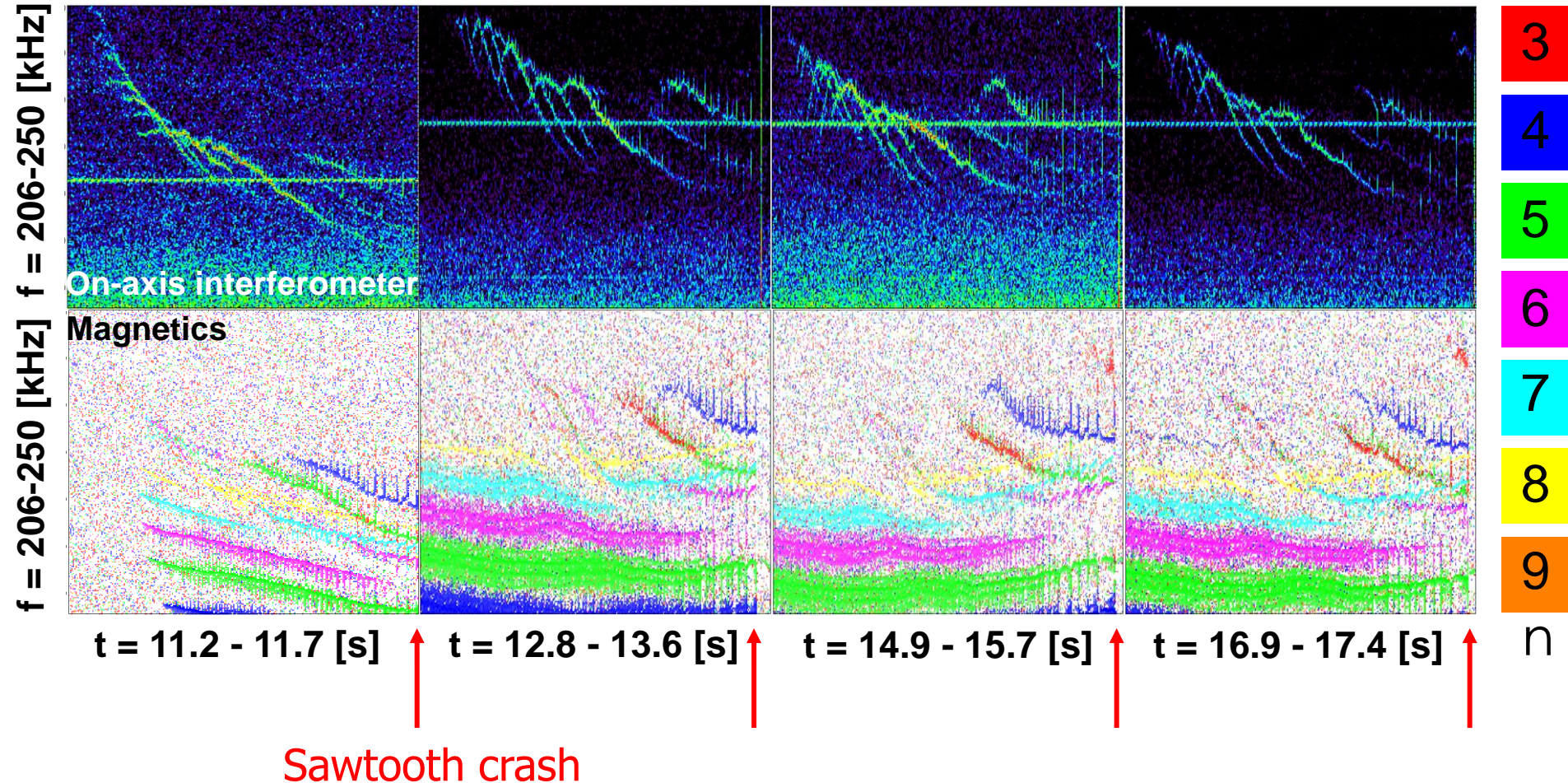


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# FAST ION LOSSES DUE TO TORNADO MODES IN JET

# Tornado modes in JET

- Every “monster” sawtooth crash preceded by tornado modes

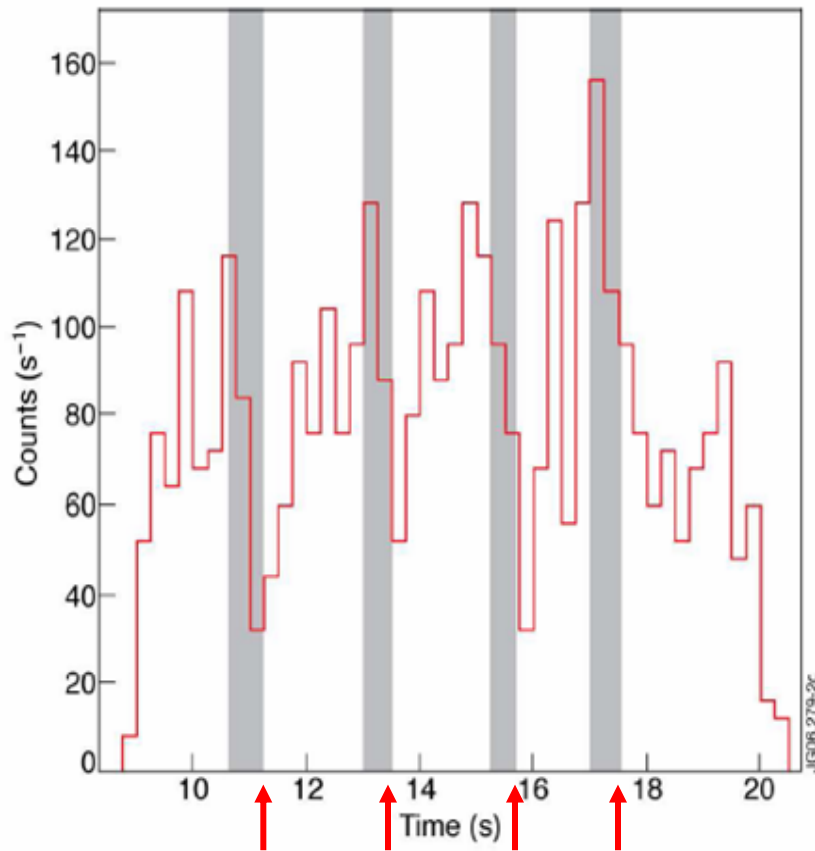


# Observations of Fast Ion Losses in JET

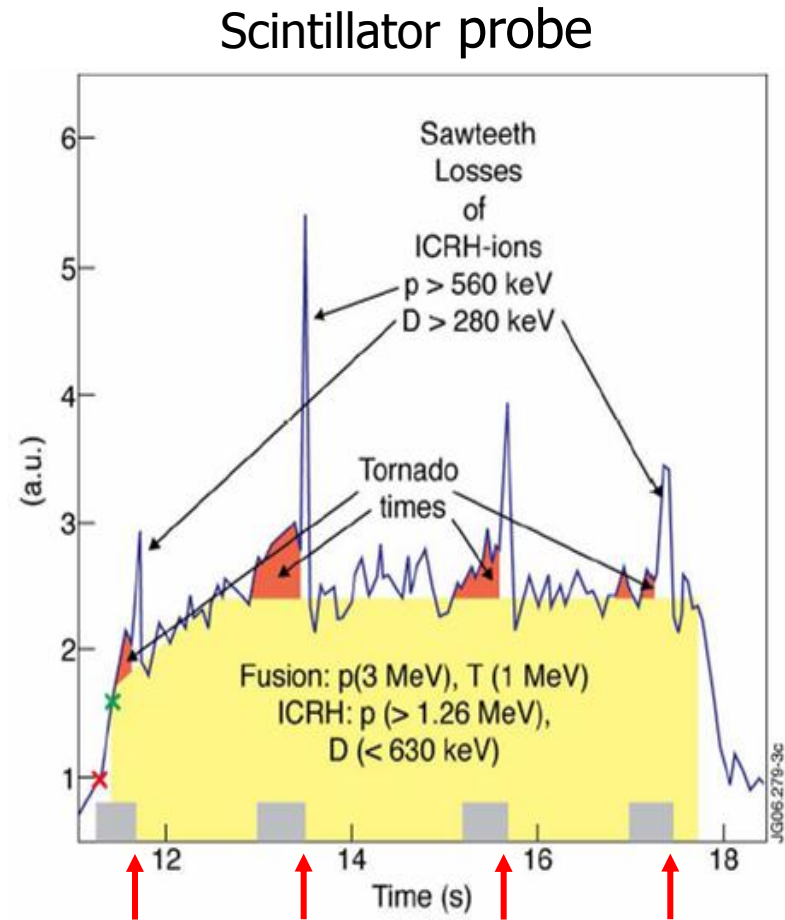
## Loss measurements increase during tornado mode activity

3.1-MeV  $\gamma$ -ray emission from  $^{12}\text{C}(d,p\gamma)^{13}\text{C}$ ;

Deuterons with  $E > 500$  keV



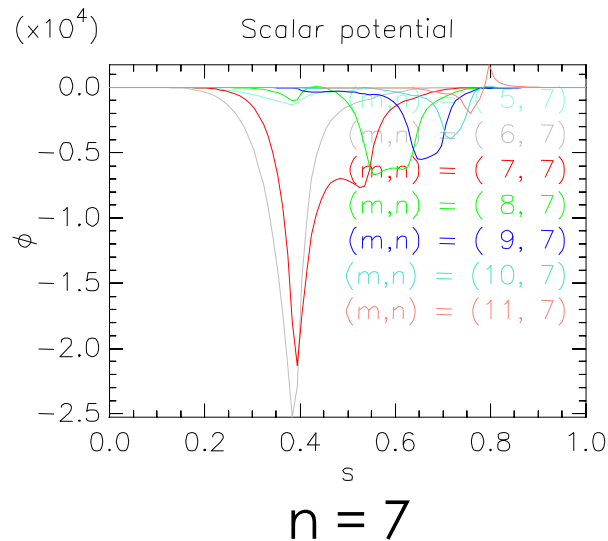
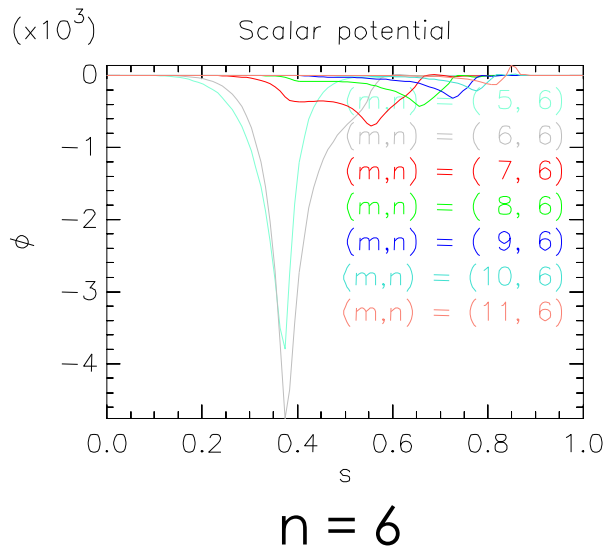
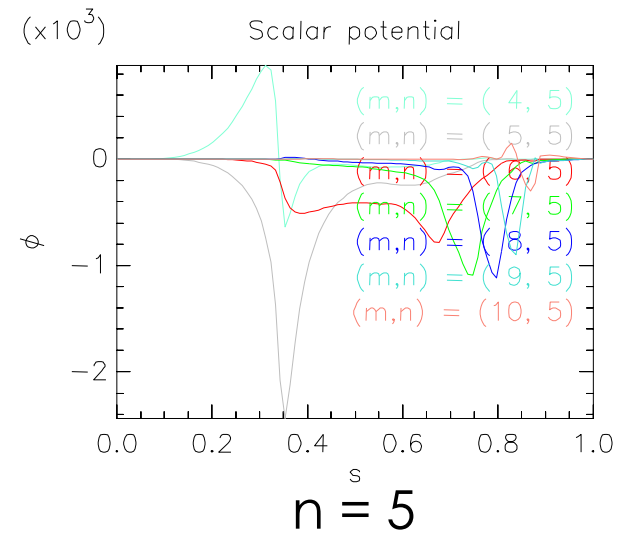
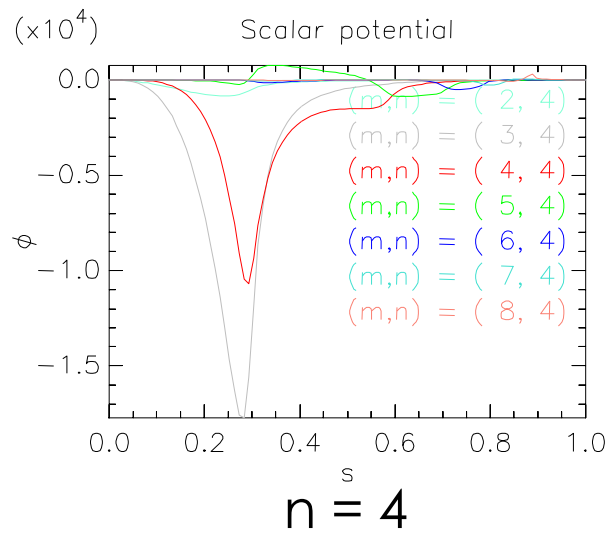
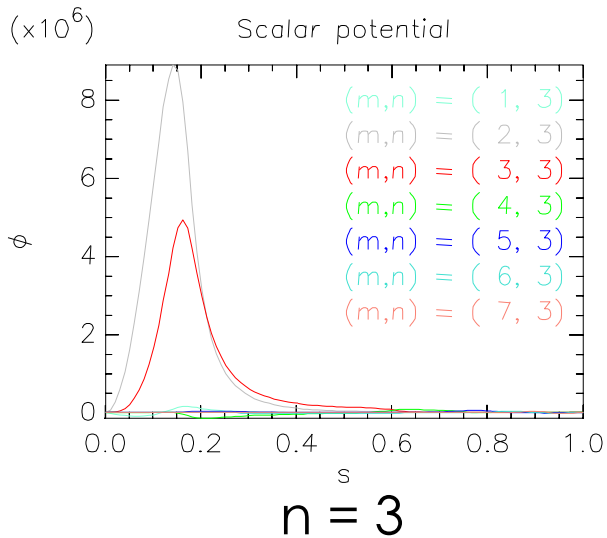
Sawtooth crashes



Sawtooth crashes

V Kiptily

# TAE Mode Structure

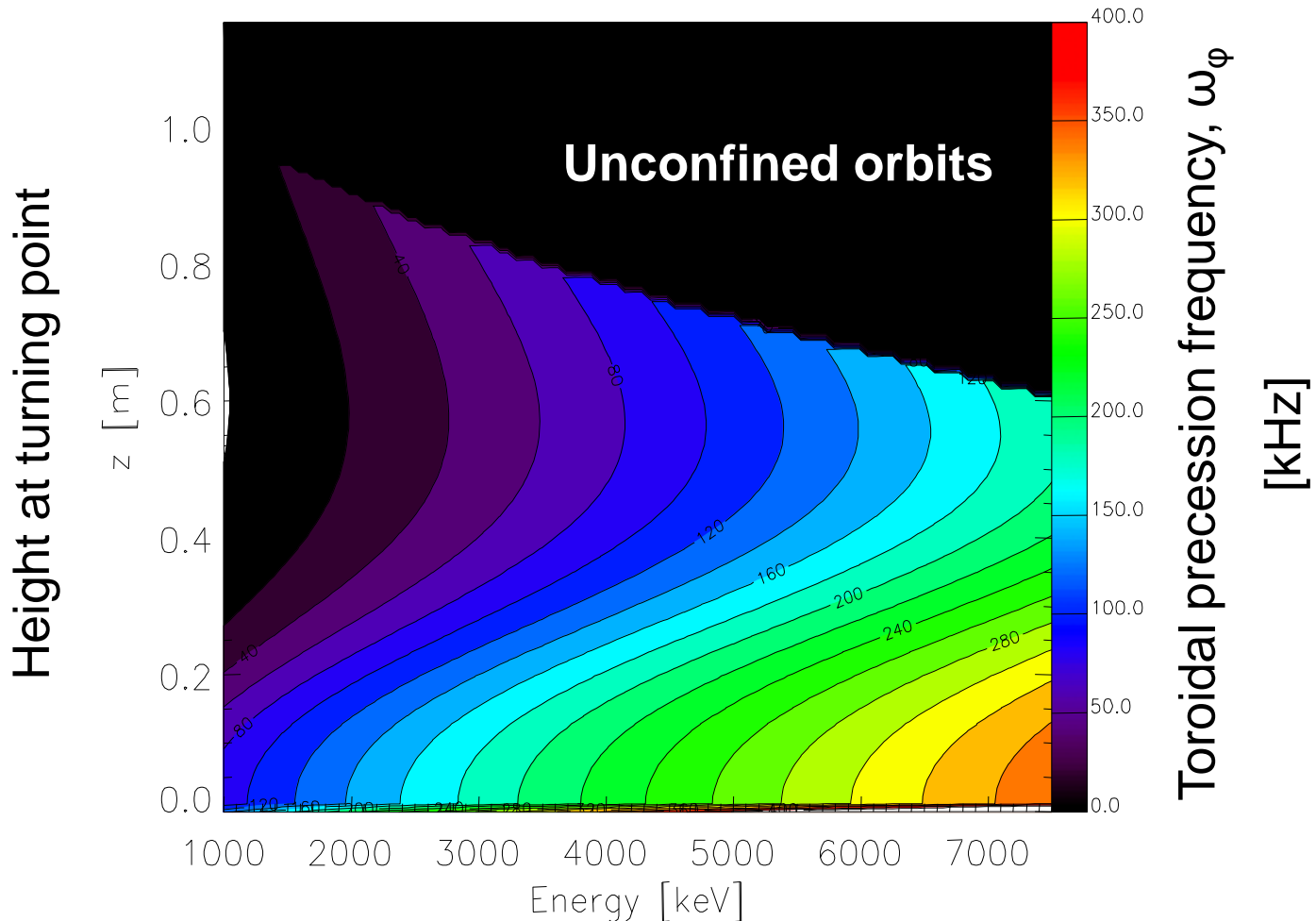


- Linear MHD eigenfunctions calculated with CASTOR code
  - Equilibrium from HELENA code



# Fast Ion Properties

- Determine natural particle frequencies,  $\omega_\phi$  and  $\omega_\theta$



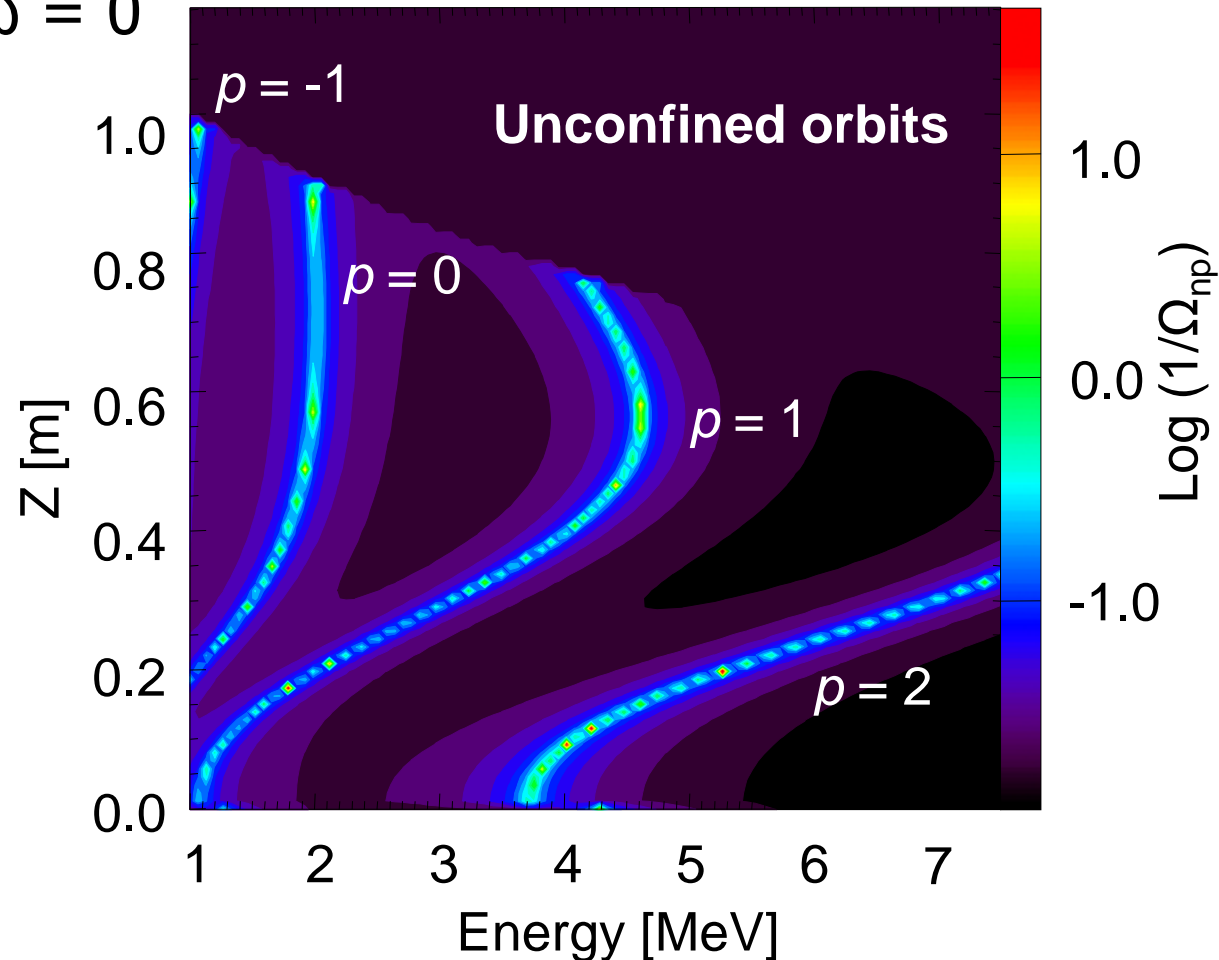
# Resonant ICRH ions

Resonance condition:

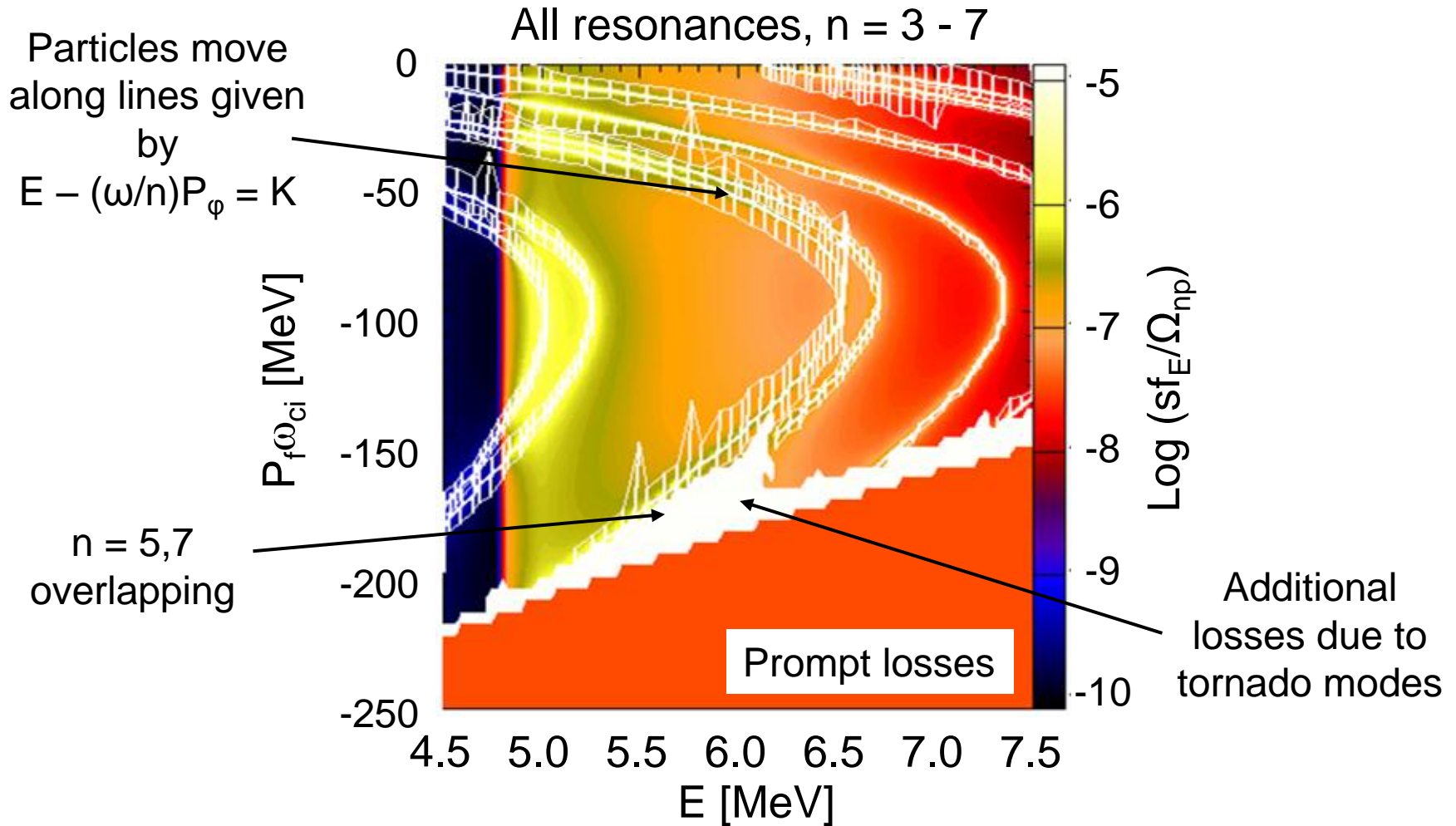
- $\Omega_{np} = n\omega_\phi - p\omega_\theta - \omega = 0$

$n = 3$  tornado mode:

- $p = -1 \rightarrow 2$
- $f = 283$  kHz



# Resonance Overlap



- Overlap between resonances explains observed loss

# Summary

- Physics of fast ion driven instabilities well understood
- Fast particles drive instabilities and are in turn re-distributed and, in some cases, lost
  - Consistent *nonlinear* story emerging
- Nonlinear modelling of fast ion driven instabilities
  - Multiple modes interacting through driving fast ion distribution
  - Determination of amplitude of frequency sweeping modes in MAST
  - Radial fast ion current due to fishbones in ASDEX Upgrade
  - Fast ion losses due to tornado modes in JET
- Models start to successfully describe rich nonlinear phenomena near marginal stability
  - Mode saturation, pitchfork splitting and frequency sweeping
- Fast particle driven modes remain a valuable diagnostic tool
  - MHD spectroscopy ( $q_{\min}(t)$  from Alfvén cascades)